



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
 HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	285
Provider Name	San Joaquin Assisted Living
Setting Name	San Joaquin Assisted Living
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	7/22/2019
Heightened Scrutiny Summary Sheet Completion Date	5/24/2022
Expected Date of Compliance	10/01/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- Setting has the effect of isolating individuals receiving Medicaid Home and Community Based Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

Summary of Evidence	Individuals living at San Joaquin Assisted Living have limited opportunities for interaction in and with the broader community. San Joaquin Assisted Living does not plan community activities as part of its plan for service, Individuals do not have the opportunity to engage with their community either with or without their housemates/roommates. San Joaquin Assisted Living has practices that limit individuals' access to the broader community by not permitting individuals to leave without family or staff, limiting how long individuals can be away from their home, requiring individuals to sign in and out when they leave, and using door chimes.
Summary of Remediation Strategy	<p>The Remediation Work Plan (RWP) submitted by San Joaquin Assisted Living said that San Joaquin Assisted Living would create a monthly calendar of activities and outings, based on input solicited from individuals and documented in their Individual Support Plans (ISPs). San Joaquin Assisted Living intends to collect information on various community activities and opportunities like parks and recreation programs, special day trips, shopping, restaurants, and other events that would be of interest. San Joaquin Assisted Living will accompany individuals who need support to access the community. Staff will be able to assist with arrangements and/or provide transportation to chosen activities for individuals and groups.</p> <p>San Joaquin Assisted Living explained that individuals are free to access the community as they choose. As described above, staff will provide support so that individuals who are not completely independent have the opportunity to interact with and in the broader community. They developed a process for ensuring that all restrictions or limitations on access to the community are based on an individual assessed need, which is documented in the ISP, and reviewed at least annually. San Joaquin Assisted Living created an updated visitor policy that addressed individuals leaving with family or</p>



	<p>friends for visits. In the policy San Joaquin Assisted Living said that individuals can leave their home with anyone they choose for as long as they choose unless there is an assessed need documented in their ISP. When a restriction is determined to be necessary, San Joaquin Assisted Living follows their defined process to ensure individuals rights are protected. Although, individuals will be asked to let staff know if they are going to be away from their home longer than usual, San Joaquin Assisted Living has ceased requiring individuals to sign in and out when they leave their home.</p> <p>San Joaquin Assisted Living described the use of the door chimes. They are intended for security to notify staff if the door is opened but do not restrict individuals from entering or exiting. San Joaquin Assisted Living will update their admission agreement to explain the purpose of the chimes to ensure that individuals are aware of the chimes at admission and annually after that. The gate was not locked but did have a latch that was not accessible to individuals who used a wheelchair. San Joaquin added a string to the latch that made it accessible.</p>
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Remediation Strategy Approved? Yes No

Remediation Start Date: 05/17/2022

Remediation End Date: 10/01/22



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	San Joaquin Assisted Living has practices that limit individuals' access to the broader community by not permitting individuals to leave without family or staff, limiting how long individuals can be away from their home, requiring individuals to sign in and out when they leave, and using door chimes.
Summary of Remediation Strategy	<p>San Joaquin Assisted Living explained that individuals are free to access the community as they choose. As described above, staff will provide support so that individuals who are not completely independent have the opportunity to interact with and in the broader community. They developed a process for ensuring that all restrictions or limitations on access to the community are based on an individual assessed need, which is documented in the ISP, and reviewed at least annually. San Joaquin Assisted Living created an updated visitor policy that addressed individuals leaving with family or friends for visits. In the policy San Joaquin Assisted Living said that individuals can leave their home with anyone they choose for as long as they choose unless there is an assessed need documented in their ISP. When a restriction is determined to be necessary, San Joaquin Assisted Living follows their defined process to ensure individuals rights are protected. Although, individuals will be asked to let staff know if they are going to be away from their home longer than usual, San Joaquin Assisted Living has ceased requiring individuals to sign in and out when they leave their home.</p> <p>San Joaquin Assisted Living described the use of the door chimes. They are intended for security to notify staff if the door is opened but do not restrict individuals from entering or exiting. San Joaquin Assisted Living will update their admission agreement to explain the purpose of the chimes to ensure that individuals are aware of the chimes at admission and annually after that. The gate was not locked but did have a latch that was not accessible to individuals who used a wheelchair. San Joaquin added a string to the latch that made it accessible.</p>

Remediation Strategy Approved? Yes No



Remediation Start Date: 05/17/2022

Remediation End Date: 10/01/2022



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____