



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	261
Provider Name	Pieces Group
Setting Name	Pieces Group
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	07/31/2019
Heightened Scrutiny Summary Sheet Completion Date	06/12/2022
Expected Date of Compliance	12/02/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- ☒ Setting has the effect of isolating individuals receiving Medicaid Home and Community Based Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - ☒ Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - ☒ Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - ☒ Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

Summary of Evidence	<p>Individuals living in Pieces Group have limited opportunities for interaction in and with the broader community. Pieces Group employs systems and practices that are potentially isolating for individuals receiving services. There were no individuals living in this home at the time of the assessment. Individuals had temporarily moved to other locations while Pieces Group was renovated. The practices and appearances were institutional. There was a nursing station and hospital beds. Pieces Group was installing video surveillance in the shared areas. Individuals were not permitted to leave their home except for emergencies or medical appointments. Individuals were not permitted to be in the kitchen. Pieces Group did not ensure that individuals had access to their home by keeping the front door locked and individuals did not have keys and relied on staff to open the door for them. There were alarms on doors.</p> <p>Pieces Group did not support individuals to be involved in the broader community. Individuals are not permitted to leave their home except for medical appointments or emergencies. Individuals are not allowed to go with family or friends for recreational activities. Pieces Group did not share information about community services, including religious services, senior centers, or adult day care. Individuals were not supported to participate in integrated community activities. Pieces Group did not plan activities as part of its plan for services. Individuals did not have the opportunity to participate in community activities with or without their housemates/roommates.</p>
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Summary of Remediation Strategy

In response, Pieces Group submitted a plan to address the summary of evidence. They developed a system to ensure that any restrictions were based on an individually assessed need, documented in the person-centered plan, and reviewed at least annually. Pieces Group said using person-centered planning they will ensure individuals will have opportunities for community engagement. The person-centered team will assess skills and identify needs associated with community integration. If the person-centered team recommends supports or restrictions it will be documented in the person-centered plan and reviewed at least annually. Pieces Group said individuals will be supported to leave their home as they want and not just for medical appointments or emergencies. Staff will support individuals to leave their home with family members unless otherwise documented in the person-centered plan.

Pieces Group will ensure that the use of chimes is explained in the admission agreement. Pieces group said chimes are used to alert staff that the door is opened but is not used to track or restrict individuals' freedoms. The administrator will talk with individuals and staff about the use of chimes at least annually. Pieces Group will use video monitoring in shared areas inside and outside. They developed a policy that is part of the admission agreement that describes why video monitoring is used, when it is used, who can listen and view the recording, when the recording can be released and for what purposes, and how long the recordings are retained. Pieces Group displays signs that informs everyone that video cameras are being used.

Individuals can be in the kitchen unless there is an assessed need documented in their person-centered plan and reviewed by the person-centered team at least annually. Individuals are given keys to their home unless there is an assessed need documented in their person-centered plan and reviewed at least annually. Pieces Group intends to implement their system to ensure that restrictions are based on an individually assessed need, documented, and reviewed by the person-centered team.

Pieces Group intends to support individuals to have opportunities to interact with and in the broader community. The administrator will provide information about community services at house meetings that occur at least semi-annually and during individual meetings. They intend to support individuals in participating in church or religious activities in the community. Staff will support individuals to participate in integrated community activities like senior centers or adult day programs. Staff will help individuals identify programs that match their interests and wants as documented in their person-centered plan. If an individual is interested in an integrated community program, the staff will assist with gathering information about the program. The staff will help individuals contact the program and coordinate communication.



The activity director at Pieces Group will plan community activities based on suggestions from individuals. The activity director will share information about local events on the monthly calendar along with the community activities requested by individuals. The administrator will talk discuss community events and how individuals can request community activities at regular house meetings. Individuals will be supported by staff, family, or friends to participate in community activities. Staff will be available to go with individuals with or without their housemates/roommates. Staff will assist with transportation for community activities. Individuals can use third party transportation services for medical and non-medical community activities. Staff will assist as described in the person-centered plan.

Although there is a nursing station and individuals use hospital beds that contributes to the institutional nature of Pieces Group, Pieces Group intends to support individuals to be integrated in their community.

Remediation Strategy Approved? Yes No

Remediation Start Date: 06/02/2022

Remediation End Date: 12/02/2022



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	<p>Individuals living in Pieces Group have limited opportunities for interaction in and with the broader community. Pieces Group employs systems and practices that are potentially isolating for individuals receiving services. There were no individuals living in this home at the time of the assessment. Individuals had temporarily moved to other locations while Pieces Group was renovated. The practices and appearances were institutional. There was a nursing station and hospital beds. Pieces Group was installing video surveillance in the shared areas. Individuals were not permitted to leave their home except for emergencies or medical appointments. Individuals were not permitted to be in the kitchen. Pieces Group did not ensure that individuals had access to their home by keeping the front door locked and individuals did not have keys and relied on staff to open the door for them. There were alarms on doors.</p>
Summary of Remediation Strategy	<p>In response, Pieces Group submitted a plan to address the summary of evidence. They developed a system to ensure that any restrictions were based on an individually assessed need, documented in the person-centered plan, and reviewed at least annually. Pieces Group said using person-centered planning they will ensure individuals will have opportunities for community engagement. The person-centered team will assess skills and identify needs associated with community integration. If the person-centered team recommends supports or restrictions it will be documented in the person-centered plan and reviewed at least annually. Pieces Group said individuals will be supported to leave their home as they want and not just for medical appointments or emergencies. Staff will support individuals to leave their home with family members unless otherwise documented in the person-centered plan.</p> <p>Pieces Group will ensure that the use of chimes is explained in the admission agreement. Pieces group said chimes are used to alert staff that the door is opened but is not used to track or restrict individuals' freedoms. The administrator will talk with individuals and staff about the use of chimes at least annually. Pieces Group will use video monitoring in shared</p>



	<p>areas inside and outside. They developed a policy that is part of the admission agreement that describes why video monitoring is used, when it is used, who can listen and view the recording, when the recording can be released and for what purposes, and how long the recordings are retained. Pieces Group displays signs that informs everyone that video cameras are being used.</p> <p>Individuals can be in the kitchen unless there is an assessed need documented in their person-centered plan and reviewed by the person-centered team at least annually. Individuals are given keys to their home unless there is an assessed need documented in their person-centered plan and reviewed at least annually. Pieces Group intends to implement their system to ensure that restrictions are based on an individually assessed need, documented, and reviewed by the person-centered team.</p>
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Remediation Strategy Approved? Yes No

Remediation Start Date: 06/02/2022

Remediation End Date: 12/02/2022



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: 03/22/2022

Remediation End Date: 06/01/2022