



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
 HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	157
Provider Name	Genesis Manor V
Setting Name	Genesis Manor V
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	07/17/2019
Heightened Scrutiny Summary Sheet Completion Date	05/30/2022
Expected Date of Compliance	10/01/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- Setting has the effect of isolating individuals receiving Medicaid Home and Community Based Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	Genesis Manor V does not support individuals to have opportunities for interaction in and with the broader community. Individuals rely on family members for community integration. Genesis Manor only supports individuals with transportation for medical appointments. Staff only provide supports in the community during medical appointments. Genesis Manor does not plan community activities for individuals or groups. Individuals do not have the opportunity to go out with or separate from their housemates/roommates. Individuals are not supported to participate in integrated activities such as adult day or senior programs. There are practices at Genesis Manor that impact an individual's ability to access the community. They are required to sign in/out when leaving and the use of door chimes and video monitoring. There are locked doors and gates to which individuals do not have keys.
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<p>Summary of Remediation Strategy</p>	<p>Genesis Manor has developed a plan that states they intend to support individuals to have opportunities for engagement in the broader community. They will share information regarding public transportation, ride share, and taxi services. Staff will be available to assist with contacting or arranging transportation for medical and other activities in the community. Using the Individual Service Plan (ISPs), the staff will document individuals' preferences, goals, and interests. Staff will have regular conversations with individuals about their likes and interests. Genesis Manor will use that information to create a monthly calendar that lists various community activities. Individuals will be supported to participate in outings of their choice and encouraged to provide input about what they would like to do and places they would like to go. The staff will support individuals by providing transportation or going with individuals based on their needs as documented in their ISP. Activities may be with other individuals in the home or separate from their housemates/roommates. Individuals are free to come and go on their own unless there is an assessed need documented in their ISP. Genesis Manor will provide a copy of a community resources handout to each individual and display one in the home. The handout will include information about local adult day programs, senior center programs, employment opportunities, or other community resources/programs. Staff will assist individuals in obtaining additional information, registration, and transportation to and from programs.</p> <p>Genesis Manor developed a process for ensuring that modifications or restrictions are put in place only based on an individual assessed need, documented in the ISP, and reviewed at least annually. Individuals are free to come and go as they choose unless there is an assessed need documented in their ISP. All restrictions will be reviewed no less than annually. If individuals are unable to access the community without assistance, family, friends, or staff can accompany the individual.</p> <p>Genesis Manor says that individuals are not required to sign in and out when they leave. Individuals do not have a curfew. Genesis Manor explained that they ask individuals to let the staff know if they expect to be out later than usual. It is a courtesy and not a requirement. Genesis Manor will continue to use chimes. However, they explained that the chimes are not used to track or restrict individuals. It is used to notify staff that someone has opened the door. Genesis</p>
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	<p>Manor will update their admission agreement to explain the purpose of the chimes so that individuals are aware of their use. Individuals will be given a key to the locked doors and gates at admission, unless there is a documented need that results in the person-centered team limiting that right. Any restrictions are based on an assessed need, documented in the ISP, and reviewed at least annually. Genesis Manor will create a video surveillance disclosure/policy to include the scope of video surveillance, placement of cameras, storage of and access to video, and destruction of video. Individuals will be told about the use of video monitoring at admission, when the admission agreement is reviewed, and there will be signs displayed at their home.</p>
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Remediation Strategy Approved? Yes No

Remediation Start Date: 05/24/2022

Remediation End Date: 10/01/2022

Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

<p>Summary of Evidence</p>	<p>There are practices at Genesis Manor that impact an individual’s ability to access the community. They are required to sign in/out when leaving and the use of door chimes and video monitoring. There are locked doors and gates to which individuals do not have keys.</p>
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<p>Summary of Remediation Strategy</p>	<p>Genesis Manor developed a process for ensuring that modifications or restrictions are put in place only based on an individual assessed need, documented in the ISP, and reviewed at least annually. Individuals are free to come and go as they choose unless there is an assessed need documented in their ISP. All restrictions will be reviewed no less than annually. If individuals are unable to access the community without assistance, family, friends, or staff can accompany the individual.</p> <p>Genesis Manor says that individuals are not required to sign in and out when they leave. Individuals do not have a curfew. Genesis Manor explained that they ask individuals to let the staff know if they expect to be out later than usual. It is a courtesy and not a requirement. Genesis Manor will continue to use chimes. However, they explained that the chimes are not used to track or restrict individuals. It is used to notify staff that someone has opened the door. Genesis Manor will update their admission agreement to explain the purpose of the chimes so that individuals are aware of their use. Individuals will be given a key to the locked doors and gates at admission, unless there is a documented need that results in the person-centered team limiting that right. Any restrictions are based on an assessed need, documented in the ISP, and reviewed at least annually. Genesis Manor will create a video surveillance disclosure/policy to include the</p>
	<p>scope of video surveillance, placement of cameras, storage of and access to video, and destruction of video. Individuals will be told about the use of video monitoring at admission, when the admission agreement is reviewed, and there will be signs displayed at their home.</p>

Remediation Strategy Approved? Yes No

Remediation Start Date: 05/24/2022

Remediation End Date: 10/01/2022



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	The administrator said the setting does not impose restrictions regarding access to the community but noted that they do not assist individuals when leaving the site for non-medical reasons. They said family members are responsible for assisting individuals off site for personal business. They said all individuals in the setting require assistance when accessing the community. They said family members must sign individuals out when taking them off site. When asked if the site enforced a curfew, the administrator seemed hesitant to say "no" but acknowledged that it would be rare for individuals to be out past sunset due to their dementia diagnoses, expressing that those who receive memory care often experience more acute symptoms in the evening hours. They described how family members are responsible for taking individuals outside of the home if they wish to engage in evening activities and noted that curfews may be considered on a case-by-case basis, especially when recommended by an individual's physician.
Summary of Remediation Strategy	SME will complete

Remediation Strategy Approved? Yes No

Remediation Start Date: 05/24/2022

Remediation End Date: _____



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual’s person-centered plan

Summary of Evidence	<p>The administrator said people and their families are regularly informed about church services, businesses, and providers in the community. They said people receive information about various events, clubs, and assemblies. The administrator said they check in with people often to stay abreast of their needs and preferences, adding that they try to motivate people to stay active in their day-to-day lives. They noted that individuals in this setting require higher levels of care due to their dementia diagnoses and added that this site does not utilize an activity calendar. The administrator said most activities take place in the home, noting that most of the people in this location are non-ambulatory and prefer to stay onsite. They said people are more inclined to leave the site with friends and family but noted that the staff assists individuals if they have transportation needs. The administrator said staff members like to host parties in the home for birthdays, anniversaries, and other auspicious occasions. They said people occasionally take walks with staff members around the neighborhood but noted that it is rare for staff members to take individuals outside of the setting. The</p>
	<p>administrator said group activities only take place onsite but noted that individuals are able to access the community with family members.</p>
Summary of Remediation Strategy	<p>SME will complete</p>

Remediation Strategy Approved? Yes No



Remediation Start Date: 05/24/2022

Remediation End Date: _____