



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
 HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	079
Provider Name	Caring Families-BV1
Setting Name	Caring Families-BV1
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	09/03/2019
Heightened Scrutiny Summary Sheet Completion Date	06/10/2022
Expected Date of Compliance	11/01/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- Setting has the effect of isolating individuals receiving Medicaid Home and Community Base Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

<p>Summary of Evidence</p>	<p>Caring Families-BV1 has systemic and physical barriers that limit opportunities for individuals to interact in and with the broader community. All doors are locked and alarmed. The doors have keypad access and individuals do not have the access code and rely on staff. The gate on the fence surrounding the property is locked. There is video monitoring. Individuals do not have information about community services. Caring Families-BV1 does not support individuals to participate in integrated community activities including day programs or senior centers. They do plan activities in the community as part of their plan for services. Individuals do not have opportunities to participate in their community separate from or together with their housemates/roommates.</p>
<p>Summary of Remediation Strategy</p>	<p>In response to the summary of evidence, Caring Families-BV1, developed a process to ensure that any restrictions or limitations on community participation were based on individual assessed needs, documented in the person-centered plan, and reviewed at least annually. Caring Families-BV1 is a licensed secure setting serving individuals with. Prior to admission, individuals and their families are informed of that Caring Families-BV1 has a locked gate on the fence around the property and there are locked and alarmed doors. At admission and at least annually thereafter, assessments are completed to determine skills and assistance needed and to document assessed needs. The intent is that individuals can leave their home as they choose unless there is an assessed need documented in the Individual Service Plan (ISP). The alarms on the door are for security and to notify staff when the door is opened. The admission agreement has been updated to explain the use of the alarms. The use of alarms is reviewed at admission and annually after that. Individuals will be given a key or keypad code to the door unless there is an assessed need documented in their ISP. Any restrictions will be based on assessed needs, documented in the ISP, and reviewed at least annually. The administrator will create a video monitoring and disclosure policy to include the placement of cameras, access to recordings, storage of recordings, and disposal of recordings. They will place signs notifying everyone that they are being recorded.</p> <p>Caring Families-BV1 intends to provide information about community services based on individual needs, preferences, and abilities at admission and no less than annually after that. The administrator collects and maintains information about various programs and services, including adult day care and senior center programs. Caring Families-BV1 will support individuals to participate in integrated community activities unless there is an assessed need documented in the ISP. All restrictions will be reviewed at least annually.</p>



	<p>Individuals will have transportation to participate in community activities. Caring Families-BV1 will at least annually provide information about public transportation, rideshare, e-Van, and/or by private transportation services. Information about transportation options including schedules, websites, phone numbers, and fees will be available to individuals. Staff will assist individual in arranging transportation.</p> <p>The administrator intends to use information documented in ISPs and ongoing input from individuals to plan community activities. Staff will support individuals to know about what opportunities there are, support transportation, and/or go with individuals into the community based on their ISP. Individuals will have opportunities to participate in community activities separate from or together with their housemates/roommates. If there are restrictions to participating in community activities, they will be based on individual assessed needs, documented in the ISP, and reviewed at least annually.</p>
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Remediation Strategy Approved? Yes No

Remediation Start Date: 06/07/2022

Remediation End Date: 11/01/2022



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	Caring Families-BV1 has systemic and physical barriers that limit opportunities for individuals to interact in and with the broader community. All doors are locked and alarmed. The doors have keypad access and individuals do not have the access code and rely on staff. The gate on the fence surrounding the property is locked. There is video monitoring.
Summary of Remediation Strategy	In response to the summary of evidence, Caring Families-BV1, developed a process to ensure that any restrictions or limitations on community participation were based on individual assessed needs, documented in the person-centered plan, and reviewed at least annually. Caring Families-BV1 is a licensed secure setting serving individuals with. Prior to admission, individuals and their families are informed of that Caring Families-BV1 has a locked gate on the fence around the property and there are locked and alarmed doors. At admission and at least annually thereafter, assessments are completed to determine skills and assistance needed and to document assessed needs. The intent is that individuals can leave their home as they choose unless there is an assessed need documented in the Individual Service Plan (ISP). The alarms on the door are for security and to notify staff when the door is opened. The admission agreement has been updated to explain the use of the alarms. The use of alarms is reviewed at admission and annually after that. Individuals will be given a key or keypad code to the door unless there is an assessed need documented in their ISP. Any restrictions will be based on assessed needs, documented in the ISP, and reviewed at least annually. The administrator will create a video monitoring and disclosure policy to include the placement of cameras, access to recordings, storage of recordings, and disposal of recordings. They will place signs notifying everyone that they are being recorded.

Remediation Strategy Approved? Yes No

Remediation Start Date: 06/07/2022

Remediation End Date: 11/01/2022



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____