



State of California—Health and Human Services Agency
Department of Health Care Services



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**CALIFORNIA DEPARTMENT OF HEALTH CARE SERVICES
 HEIGHTENED SCRUTINY EVALUATION SETTING SUMMARY SHEET**

Home and Community-Based Services

SITE OVERVIEW

Heightened Scrutiny Identification Number	047
Provider Name	Baldwin Park Congregate Home
Setting Name	Baldwin Park Congregate Home
Setting Type	
Waiver(s) Served	
Setting Address	
Site Assessment Completion Date	07/19/2019
Heightened Scrutiny Summary Sheet Completion Date	03/29/2022
Expected Date of Compliance	09/01/2022

REASON(S) FOR HEIGHTENED SCRUTINY (HS)

- Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment
- Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

- Setting has the effect of isolating individuals receiving Medicaid Home and Community Base Services (HCBS) from the broader community of individuals not receiving Medicaid HCBS
 - Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS
 - Setting restricts individual choice to receive services or to engage in activities outside of the setting
 - Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan



SETTING SUMMARY: COMPLIANCE OVERVIEW AND REMEDIATION STRATEGY

Setting is located in a building that is also a publicly or privately-operated facility that provides inpatient institutional treatment

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Setting is in a building located on the grounds of, or immediately adjacent to, a public institution

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____



Due to the design or model of service provision in the setting, individuals have limited, if any, opportunities for interaction in and with the broader community, including individuals not receiving Medicaid-funded HCBS

Summary of Evidence	Ballwin Park Congregate Home did not plan community activities with individuals as part of its plan for service and imposed restrictions that limited community access including reliance on the physician to determine if and for how long individuals could participate in the community or leave their home. Individuals were not permitted to go with family for visits. Ballwin Park Congregate Home used video surveillance and locked gates that impacted an individual’s freedom to participate in the community. Medical supplies and equipment, staff wearing scrubs, and call lights in the bedrooms were part of their design for services.
Summary of Remediation Strategy	<p>Ballwin Park Congregate Home addressed the issues that did not support an individual’s full access to the greater community.</p> <p>In response, Baldwin Park Congregate Home plans to meet routinely with individuals to discuss what type of things they would like to do in the community. Activities will be planned so that individuals can participate in community activities with their housemates/roommates or alone. The physician’s recommendation for community participation will be based on assessed needs and any restrictions will be documented in the individual’s person-centered plan. Individuals are now supported to go with family members for a meal, shopping, or for a longer visit, including overnight.</p> <p>Baldwin Park Congregate Home will ensure the policy about video monitoring identifies why it is used, who can view the recording, when the recordings will be released, and how long they are retained. The policy will be reviewed at admission and annually. They will post a sign notifying everyone that video cameras are in use. The gates will be closed but not locked.</p> <p>Although having medical supplies and equipment throughout the home, staff being required to wear scrubs, or the use of call lights contributes to Baldwin Park Congregate home appearing to be institutional, they do not directly impact the individual’s access to the community.</p>

Remediation Strategy Approved? Yes No



Remediation Start Date: 01/11/2022

Remediation End Date: 09/01/2022



Setting restricts individual choice to receive services or to engage in activities outside of the setting

Summary of Evidence	Baldwin Park Congregate Home did not plan community activities with individuals as part of its plan for service and imposed restrictions that limited individual choice to participate in activities outside of the setting including reliance on the physician to determine if and for how long individuals could participate in the community or leave their home. Individuals were not permitted to go with family for visits.
Summary of Remediation Strategy	<p>Baldwin Park Congregate Home addressed the issues that did not support an individual’s full access to the greater community.</p> <p>In response, Baldwin Park Congregate Home plans to meet routinely with individuals to discuss what type of things they would like to do in the community. Activities will be planned so that individuals can participate in community activities with their housemates/roommates or alone. The physician’s recommendation for community participation will be based on assessed needs and any restrictions will be documented in the individual’s person-centered plan. Individuals are now supported to go with family members for a meal, shopping, or for a longer visit, including overnight.</p>

Remediation Strategy Approved? Yes No

Remediation Start Date: 01/11/2022

Remediation End Date: 09/01/2022



Setting is located separate and apart from the broader community without facilitating individual opportunity to access the broader community and participate in community services, consistent with an individual's person-centered plan

Summary of Evidence	
Summary of Remediation Strategy	

Remediation Strategy Approved? Yes No

Remediation Start Date: _____

Remediation End Date: _____