Statewide Transition Plan Milestone and Timelines

Statewide Transition Plan Milestones and Timelines	Timeline																										
	2016			2017				2018				2019				2020				2021				2022				2023
	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1
STATEWIDE TRANSITION PLAN																												
Respond to questions about California's STP posed in CMS' letter and conference calls	x	x			x															x	x	x						
Present revised STP for public comment; analyze and respond to public comments as appropriate		x																			x	x						
Formally submit revised STP, with analysis of public comments, to CMS			x			x																x						
POLICY CHANGES																												
Conduct system assessment of state law and regulations to identify areas that are silent on, or in conflict with, CMS' HCBS settings regulations	x					x																						
Develop and circulate to providers necessary policies, procedures, program directives to conform to the federal regulations							x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			
Obtain the legislative authority and funding needed to implement identified changes/clarifications					x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		x	x	x	x			
OUTREACH AND TRAINING																												
Develop and post STP information on websites; expand and revise as program rolls out	x		x		x			x			x		x		x		x	x	x	x	x	x	x	x		x		x
Develop training plans and materials specific to members/families, providers, and the public	x	x	x				x				x							x	x	x	x	x	x		x	x	x	x
Schedule and hold periodic training sessions for members/families, providers, and the public		x	x	x			x				x				x	x	x	x	x	x								

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DATA COLLECTION AND ANALYSIS																												
Identify the type and sources for data for sampling, on-site assessment selection, validation, remediation, heightened scrutiny, and monitoring	x	x	x			x								x	x							x	x	x	x	x		
Compile and analyze data; prepare reports for state management and CMS			x			x		x		x		x		x						x	x	x	x	x	x	x	x	x
CARE MANAGEMENT ENTITY SELF- SURVEYS																												
Develop care management entity self-survey template			x																									
Distribute self-surveys with instructions				x			x	x	x	x	x																	
Receive, compile and analyze survey results					x																							
Identify and implement needed program modifications to comply with the federal regulations						x	x								x													
PROVIDER SELF-SURVEYS																												
Distribute provider self-surveys with instructions								x	x	x	x					x	x	x										
Conduct webinars about the self-survey process for providers and other interested parties						x	x	x								x												
Implement survey return process, including follow up								x		x		x																
Analyze self-surveys and categorize providers by compliance level										x		x		x		x			x									

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Identify providers needing on-site assessments based on self-survey responses							x	x	x	x	x	x	x	x	x				x									
MEMBER SURVEYS																												
Participate in multi-departmental and stakeholder survey development process	x	x	x			x	x	x											x	x								
Distribute surveys; conduct interviews				x	x	x	x	x	x	x	x	x	x						x	x	x							
Analyze responses and match with provider self-assessments and on-site surveys					x	x	x	x	x	x	x	x	x	x						x	x	x						
ON-SITE ASSESSMENTS																												
Develop on-site assessment template		x	x								x	x	x															
Select and train members of on-site assessment teams								x	x										x	x								
Conduct webinars about the on-site assessment process for providers and other interested parties			x	x					x	x									x	x								
Conduct on-site assessments; categorize assessed providers by compliance level				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				
HEIGHTENED SCRUTINY																												
Develop comprehensive list of providers eligible for heightened scrutiny from departmental information, provider self-surveys and on-site assessments			x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x				x	x				
Post public notices identifying each provider eligible for heightened scrutiny, detailing the Department's analysis, and asking for public input; analyze public comments					x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x			x	x			

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Submit heightened scrutiny documentation to CMS for concurrence							x	x	x	x	x	x	x	x	x								x	x				
REMEDIATION ACTIVITIES																												
Identify providers not fully compliant with the HCB Settings requirements, by type and number of deficiencies				x	x	x	x	x	x	x	x	x	x	x	x				x	x	x	x	x	x				

Request written corrective action plans and schedules for all identified deficiencies				x	x	x	x	x	x	x	x	x	x	x	x				x	x	x	x	x	x	x			
Monitor implementation of corrective action plans; conduct follow up on-sites as needed					x	x	x	x	x	x	x	x	x	x	x				x	x	x	x	x	x	x	x	x	x
Relocation of members to compliant settings																					x	x	x	x	x	x	x	x
ONGOING COMPLIANCE MONITORING																												
Integrate critical elements of the on-site assessment and the consumer survey processes into regularly scheduled provider monitoring visits and consumer complaint investigations				x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x