

**Fiscal Year 2014-15
Budget Act Allocation
Substance Abuse Prevention and Treatment Block Grant
Exchange Program**

This exhibit provides information on the history and evaluation of the Substance Abuse Prevention and Treatment (SAPT) Block Grant (BG) Exchange Program. It also explains the methodology used to calculate each county's share of SAPT Discretionary, Perinatal, and Adolescent and Youth Treatment funds to be exchanged in FY 2014-15. The attached spreadsheets display each county's FY 2014-15 base allocation amounts BEFORE the exchange, and the FY 2014-15 allocation amounts AFTER the exchange of SAPT funds.

Program History and Evaluation

1. The SAPT BG Pilot Exchange Program was implemented with the FY 2008-09 Budget Act Allocation. The program was subsequently renamed the SAPT BG Exchange Program.
2. The SAPT BG Exchange Program began with the FY 2004-05 Budget Act Allocation. It originally allowed counties with populations less than 40,000 to exchange HIV, Perinatal, and Adolescent and Youth Treatment categorical funding for Discretionary funding from larger counties. Beginning in FY 2007-08, HIV Set-Aside funds were no longer exchanged because those funds, from that point, were allocated based on a needs-based allocation methodology.

The SAPT BG Exchange Program was created because California's least populous counties lack sufficient populations to result in an ongoing demand for specialized treatment services for Perinatal and Adolescent/Youth. Exchanging Perinatal and Adolescent/Youth treatment funds for Discretionary funds allows the least populous counties to best respond to treatment needs and reduces the return of unspent SAPT funds to the federal government. Counties receiving additional Discretionary funds are expected to utilize these funds to treat substance use disorder clients, including Perinatal and Adolescent/Youth treatment clients, to the extent they have such clients. Counties providing perinatal services must meet the requirements set forth in the Perinatal Services Network Guidelines 2014 located on the Department of Health Care Services website at

<http://www.dhcs.ca.gov/individuals/Documents/PSNG2014Final21214.pdf>

In providing Youth Treatment services, the Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs. The guidelines are available on the website at:

<http://www.dhcs.ca.gov/individuals/Pages/Youth-Treatment-SUD.aspx>

3. In May 2008, the former Department of Alcohol and Drug Programs (ADP) evaluated the SAPT BG Exchange Program to determine if the least populous counties were more successful in spending SAPT BG categorical dollars than before the SAPT BG Exchange Program. ADP evaluated the program for two federal fiscal years (FFY)

preceding initiation of the SAPT BG Exchange Program (FFY 2003 and FFY 2004), and for the first two SAPT BG Exchange Program years (FFY 2005 and FFY 2006).

SAPT HIV funds were not included in the SAPT BG Exchange Program evaluation. The only SAPT categorical funds included in the Exchange Program evaluation were Perinatal and Adolescent and Youth Treatment funds.

4. The evaluation found that the smallest counties (least populous) benefited from receiving additional SAPT Discretionary funding and these counties no longer reverted Perinatal and Adolescent and Youth Treatment funds as they had previously done. These small Minimum Base Allocation (MBA) counties had increased flexibility to meet clients' needs as a result of the SAPT BG Exchange Program and none of these counties reverted funds during the pilot exchange years.

SAPT BG Exchange Program Methodology

The following describes the steps for determining the share of SAPT Discretionary funds to be exchanged for SAPT Perinatal funds. The process is identical for calculating the exchange of SAPT Discretionary funds for SAPT Adolescent and Youth Treatment funds.

- STEP 1 For each county with a population less than 40,000, identify the amount of SAPT Perinatal funds that each would receive from the SAPT Perinatal allocation methodology. These are the funds those counties will exchange in the FY 2012-13 SAPT BG Exchange Program. This equals the amount of SAPT Discretionary funds the counties will receive in exchange.
- STEP 2 For each given county with a population greater than or equal to 40,000, calculate the ratio of that county's SAPT Perinatal funds to the total SAPT Perinatal funds (without including the amount of Perinatal funds for counties with a population less than 40,000).
- STEP 3 Multiply the ratio calculated in STEP 2 by the total amount of SAPT Perinatal funds to be exchanged in STEP 1. This will determine the amount of additional SAPT Perinatal funds that each county with a population greater than or equal to 40,000 will receive in exchange for its SAPT Discretionary funds.
- STEP 4 The amount of additional SAPT Perinatal funds calculated in STEP 3 equals the amount of SAPT Discretionary funds a county with a population less than 40,000 will receive in exchange for its SAPT Perinatal funds.
- STEP 5 Repeat the above steps for SAPT Adolescent and Youth Treatment funds.

**Fiscal Year 2014-15
Budget Act Allocation
SAPT Block Grant Exchange Program
Summary of Exchanges**

County	SAPT Discretionary Exchange	SAPT Perinatal Exchange	SAPT Adolescent/Youth Treatment Exchange
A	B	C	D
ALAMEDA	(\$29,651)	\$15,060	\$14,591
ALPINE*	\$56,745	\$0	(\$56,745)
AMADOR*	\$14,015	(\$5,065)	(\$8,950)
BUTTE	(\$4,714)	\$3,983	\$731
CALAVERAS	(\$240)	\$54	\$186
COLUSA*	\$12,962	(\$5,051)	(\$7,911)
CONTRA COSTA	(\$28,999)	\$15,668	\$13,331
DEL NORTE*	\$13,583	(\$5,058)	(\$8,525)
EL DORADO	(\$1,018)	\$515	\$503
FRESNO	(\$15,485)	\$2,454	\$13,031
GLENN*	\$176,608	(\$118,143)	(\$58,465)
HUMBOLDT	(\$6,514)	\$539	\$5,975
IMPERIAL	(\$4,946)	\$0	\$4,946
INYO*	\$12,922	(\$5,048)	(\$7,874)
KERN	(\$3,091)	\$2,752	\$339
KINGS	(\$1,112)	\$556	\$556
LAKE	(\$434)	\$54	\$380
LASSEN*	\$13,982	(\$5,062)	(\$8,920)
LOS ANGELES	(\$95,895)	\$39,211	\$56,684
MADERA	(\$1,191)	\$668	\$523
MARIN	(\$1,726)	\$885	\$841
MARIPOSA*	\$12,786	(\$5,048)	(\$7,738)
MENDOCINO	(\$6,188)	\$231	\$5,957
MERCED	(\$1,570)	\$824	\$746
MODOC*	\$12,357	(\$5,040)	(\$7,317)
MONO*	\$12,435	(\$5,045)	(\$7,390)
MONTEREY	(\$2,214)	\$1,013	\$1,201
NAPA	(\$975)	\$429	\$546
NEVADA	(\$657)	\$191	\$466
ORANGE	(\$31,815)	\$10,305	\$21,510
PLACER	(\$1,931)	\$1,306	\$625
PLUMAS*	\$13,066	(\$5,049)	(\$8,017)
RIVERSIDE	(\$12,646)	\$8,746	\$3,900
SACRAMENTO	(\$18,334)	\$15,174	\$3,160
SAN BENITO	(\$420)	\$54	\$366
SAN BERNARDINO	(\$12,942)	\$1,775	\$11,167
SAN DIEGO	(\$38,917)	\$17,207	\$21,710
SAN FRANCISCO	(\$16,223)	\$3,201	\$13,022
SAN JOAQUIN	(\$3,398)	\$1,796	\$1,602
SAN LUIS OBISPO	(\$7,037)	\$766	\$6,271
SAN MATEO	(\$4,341)	\$2,346	\$1,995
SANTA BARBARA	(\$8,445)	\$1,781	\$6,664
SANTA CLARA	(\$20,903)	\$5,621	\$15,282
SANTA CRUZ	(\$7,079)	\$797	\$6,282
SHASTA	(\$4,616)	\$3,974	\$642
SIERRA*	\$61,908	(\$5,034)	(\$56,874)
SISKIYOU	(\$1,832)	\$1,787	\$45
SOLANO	(\$3,006)	\$1,806	\$1,200
SONOMA	(\$8,296)	\$1,546	\$6,750
STANISLAUS	(\$2,816)	\$1,514	\$1,302
SUTTER/YUBA	(\$1,348)	\$522	\$826
TEHAMA	(\$4,997)	\$2,783	\$2,214
TRINITY*	\$12,576	(\$5,044)	(\$7,532)
TULARE	(\$2,057)	\$932	\$1,125
TUOLUMNE	(\$427)	\$54	\$373
VENTURA	(\$4,036)	\$1,977	\$2,059
YOLO	(\$1,463)	\$830	\$633
STATEWIDE TOTAL	\$0	\$0	\$0

*13 Counties with population less than 40,000

**Fiscal Year 2014-15
Budget Act Allocation
SAPT Block Grant Exchange Program
Discretionary**

County	FY 2014-15 (FFY 2015) Award Discretionary Base	Discretionary funds to be exchanged for Perinatal and Adolescent/Youth funds	Perinatal and Adolescent/Youth funds to be exchanged for Discretionary	FY 2014-15 (FFY 2015) Award Discretionary
A	B	C	D	E
				B+C+D
ALAMEDA	4,592,394	(\$29,651)	\$0	\$4,562,743
ALPINE*	221,050	\$0	\$56,745	\$277,795
AMADOR*	325,553	\$0	\$14,015	\$339,568
BUTTE	822,529	(\$4,714)	\$0	\$817,815
CALAVERAS	339,730	(\$240)	\$0	\$339,490
COLUSA*	308,493	\$0	\$12,962	\$321,455
CONTRA COSTA	3,009,462	(\$28,999)	\$0	\$2,980,463
DEL NORTE*	320,467	\$0	\$13,583	\$334,050
EL DORADO	681,418	(\$1,018)	\$0	\$680,400
FRESNO	2,745,406	(\$15,485)	\$0	\$2,729,921
GLENN*	282,138	\$0	\$176,608	\$458,746
HUMBOLDT	573,595	(\$6,514)	\$0	\$567,081
IMPERIAL	598,647	(\$4,946)	\$0	\$593,701
INYO*	306,908	\$0	\$12,922	\$319,830
KERN	2,669,068	(\$3,091)	\$0	\$2,665,977
KINGS	568,522	(\$1,112)	\$0	\$567,410
LAKE	379,422	(\$434)	\$0	\$378,988
LASSEN*	324,140	\$0	\$13,982	\$338,122
LOS ANGELES	38,101,161	(\$95,895)	\$0	\$38,005,266
MADERA	532,012	(\$1,191)	\$0	\$530,821
MARIN	1,262,341	(\$1,726)	\$0	\$1,260,615
MARIPOSA*	305,835	\$0	\$12,786	\$318,621
MENDOCINO	470,387	(\$6,188)	\$0	\$464,199
MERCED	919,105	(\$1,570)	\$0	\$917,535
MODOC*	293,485	\$0	\$12,357	\$305,842
MONO*	300,931	\$0	\$12,435	\$313,366
MONTEREY	1,681,022	(\$2,214)	\$0	\$1,678,808
NAPA	677,822	(\$975)	\$0	\$676,847
NEVADA	480,726	(\$657)	\$0	\$480,069
ORANGE	12,430,319	(\$31,815)	\$0	\$12,398,504
PLACER	979,203	(\$1,931)	\$0	\$977,272
PLUMAS*	313,321	\$0	\$13,066	\$326,387
RIVERSIDE	6,498,949	(\$12,646)	\$0	\$6,486,303
SACRAMENTO	3,393,303	(\$18,334)	\$0	\$3,374,969
SAN BENITO	363,969	(\$420)	\$0	\$363,549
SAN BERNARDINO	6,917,105	(\$12,942)	\$0	\$6,904,163
SAN DIEGO	10,942,314	(\$38,917)	\$0	\$10,903,397
SAN FRANCISCO	5,614,263	(\$16,223)	\$0	\$5,598,040
SAN JOAQUIN	2,158,044	(\$3,398)	\$0	\$2,154,646
SAN LUIS OBISPO	999,215	(\$7,037)	\$0	\$992,178
SAN MATEO	3,155,290	(\$4,341)	\$0	\$3,150,949
SANTA BARBARA	1,575,862	(\$8,445)	\$0	\$1,567,417
SANTA CLARA	7,599,904	(\$20,903)	\$0	\$7,579,001
SANTA CRUZ	1,147,657	(\$7,079)	\$0	\$1,140,578
SHASTA	665,723	(\$4,616)	\$0	\$661,107
SIERRA*	281,607	\$0	\$61,908	\$343,515
SISKIYOU	238,045	(\$1,832)	\$0	\$236,213
SOLANO	1,568,171	(\$3,006)	\$0	\$1,565,165
SONOMA	1,612,207	(\$8,296)	\$0	\$1,603,911
STANISLAUS	1,945,561	(\$2,816)	\$0	\$1,942,745
SUTTER/YUBA	814,450	(\$1,348)	\$0	\$813,102
TEHAMA	295,668	(\$4,997)	\$0	\$290,671
TRINITY*	302,320	\$0	\$12,576	\$314,896
TULARE	1,472,078	(\$2,057)	\$0	\$1,470,021
TUOLUMNE	363,560	(\$427)	\$0	\$363,133
VENTURA	3,286,273	(\$4,036)	\$0	\$3,282,237
YOLO	704,840	(\$1,463)	\$0	\$703,377
STATEWIDE TOTAL	\$141,732,990	(\$425,945)	\$425,945	\$141,732,990

*13 Counties with population less than 40,000

**Fiscal Year 2014-15
Budget Act Allocation
SAPT Block Grant Exchange Program
Perinatal**

Exhibit C

COUNTY	FY 2014-15 (FFY 2015) Award Perinatal BASE	Percent of County Perinatal Funds compared to Total Perinatal Funding	Perinatal funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Perinatal Funds	FY 2014-15 (FFY 2015) Award Perinatal
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 173,687	B + D + E
ALAMEDA	\$1,411,920	8.67%	\$0	\$15,060	\$1,426,980
ALPINE*	\$0	0.00%	\$0	\$0	\$0
AMADOR*	\$5,065	0.00%	(\$5,065)	\$0	\$0
BUTTE	\$373,413	2.29%	\$0	\$3,983	\$377,396
CALAVERAS	\$5,073	0.03%	\$0	\$54	\$5,127
COLUSA*	\$5,051	0.00%	(\$5,051)	\$0	\$0
CONTRA COSTA	\$1,468,947	9.02%	\$0	\$15,668	\$1,484,615
DEL NORTE*	\$5,058	0.00%	(\$5,058)	\$0	\$0
EL DORADO	\$48,278	0.30%	\$0	\$515	\$48,793
FRESNO	\$230,070	1.41%	\$0	\$2,454	\$232,524
GLENN*	\$118,143	0.00%	(\$118,143)	\$0	\$0
HUMBOLDT	\$50,519	0.31%	\$0	\$539	\$51,058
IMPERIAL	\$0	0.00%	\$0	\$0	\$0
INYO*	\$5,048	0.00%	(\$5,048)	\$0	\$0
KERN	\$257,994	1.58%	\$0	\$2,752	\$260,746
KINGS	\$52,088	0.32%	\$0	\$556	\$52,644
LAKE	\$5,091	0.03%	\$0	\$54	\$5,145
LASSEN*	\$5,062	0.00%	(\$5,062)	\$0	\$0
LOS ANGELES	\$3,676,363	22.58%	\$0	\$39,211	\$3,715,574
MADERA	\$62,667	0.38%	\$0	\$668	\$63,335
MARIN	\$82,931	0.51%	\$0	\$885	\$83,816
MARIPOSA*	\$5,048	0.00%	(\$5,048)	\$0	\$0
MENDOCINO	\$21,619	0.13%	\$0	\$231	\$21,850
MERCED	\$77,230	0.47%	\$0	\$824	\$78,054
MODOC*	\$5,040	0.00%	(\$5,040)	\$0	\$0
MONO*	\$5,045	0.00%	(\$5,045)	\$0	\$0
MONTEREY	\$94,936	0.58%	\$0	\$1,013	\$95,949
NAPA	\$40,228	0.25%	\$0	\$429	\$40,657
NEVADA	\$17,939	0.11%	\$0	\$191	\$18,130
ORANGE	\$966,096	5.93%	\$0	\$10,305	\$976,401
PLACER	\$122,454	0.75%	\$0	\$1,306	\$123,760
PLUMAS*	\$5,049	0.00%	(\$5,049)	\$0	\$0
RIVERSIDE	\$819,982	5.04%	\$0	\$8,746	\$828,728
SACRAMENTO	\$1,422,588	8.74%	\$0	\$15,174	\$1,437,762
SAN BENITO	\$5,084	0.03%	\$0	\$54	\$5,138
SAN BERNARDINO	\$166,439	1.02%	\$0	\$1,775	\$168,214
SAN DIEGO	\$1,613,220	9.91%	\$0	\$17,207	\$1,630,427
SAN FRANCISCO	\$300,102	1.84%	\$0	\$3,201	\$303,303
SAN JOAQUIN	\$168,375	1.03%	\$0	\$1,796	\$170,171
SAN LUIS OBISPO	\$71,830	0.44%	\$0	\$766	\$72,596
SAN MATEO	\$219,904	1.35%	\$0	\$2,346	\$222,250
SANTA BARBARA	\$167,000	1.03%	\$0	\$1,781	\$168,781
SANTA CLARA	\$526,983	3.24%	\$0	\$5,621	\$532,604
SANTA CRUZ	\$74,713	0.46%	\$0	\$797	\$75,510
SHASTA	\$372,563	2.29%	\$0	\$3,974	\$376,537
SIERRA*	\$5,034	0.00%	(\$5,034)	\$0	\$0
SISKIYOU	\$167,524	1.03%	\$0	\$1,787	\$169,311
SOLANO	\$169,359	1.04%	\$0	\$1,806	\$171,165
SONOMA	\$144,925	0.89%	\$0	\$1,546	\$146,471
STANISLAUS	\$141,933	0.87%	\$0	\$1,514	\$143,447
SUTTER/YUBA	\$48,897	0.30%	\$0	\$522	\$49,419
TEHAMA	\$260,873	1.60%	\$0	\$2,783	\$263,656
TRINITY*	\$5,044	0.00%	(\$5,044)	\$0	\$0
TULARE	\$87,420	0.54%	\$0	\$932	\$88,352
TUOLUMNE	\$5,082	0.03%	\$0	\$54	\$5,136
VENTURA	\$185,353	1.14%	\$0	\$1,977	\$187,330
YOLO	\$77,852	0.48%	\$0	\$830	\$78,682
STATEWIDE TOTAL	\$16,457,544	100.00%	(\$173,687)	\$173,687	\$16,457,544

*13 Counties with populations less than 40,000

**Fiscal Year 2014-15
Budget Act Allocation
SAPT Block Grant Exchange Program
Adolescent and Youth Program**

County	FY 2014-15 (FFY 2015) Award Adolescent/Youth BASE	Percent of County Adolescent/Youth Funds compared to Total Adolescent/Youth Funds	Adolescent/Youth Funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Adolescent/Youth Funds	FY 2014-15 (FFY 2015) Award Adolescent Youth
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 252,258	B + D + E
ALAMEDA	\$397,940	5.78%	\$0	\$14,591	\$412,531
ALPINE*	\$56,745	0.00%	(\$56,745)	\$0	\$0
AMADOR*	\$8,950	0.00%	(\$8,950)	\$0	\$0
BUTTE	\$19,946	0.29%	\$0	\$731	\$20,677
CALAVERAS	\$5,072	0.07%	\$0	\$186	\$5,258
COLUSA*	\$7,911	0.00%	(\$7,911)	\$0	\$0
CONTRA COSTA	\$363,586	5.28%	\$0	\$13,331	\$376,917
DEL NORTE*	\$8,525	0.00%	(\$8,525)	\$0	\$0
EL DORADO	\$13,719	0.20%	\$0	\$503	\$14,222
FRESNO	\$355,396	5.17%	\$0	\$13,031	\$368,427
GLENN*	\$58,465	0.00%	(\$58,465)	\$0	\$0
HUMBOLDT	\$162,974	2.37%	\$0	\$5,975	\$168,949
IMPERIAL	\$134,910	1.96%	\$0	\$4,946	\$139,856
INYO*	\$7,874	0.00%	(\$7,874)	\$0	\$0
KERN	\$9,256	0.13%	\$0	\$339	\$9,595
KINGS	\$15,176	0.22%	\$0	\$556	\$15,732
LAKE	\$10,364	0.15%	\$0	\$380	\$10,744
LASSEN*	\$8,920	0.00%	(\$8,920)	\$0	\$0
LOS ANGELES	\$1,545,955	22.47%	\$0	\$56,684	\$1,602,639
MADERA	\$14,252	0.21%	\$0	\$523	\$14,775
MARIN	\$22,940	0.33%	\$0	\$841	\$23,781
MARIPOSA*	\$7,738	0.00%	(\$7,738)	\$0	\$0
MENDOCINO	\$162,482	2.36%	\$0	\$5,957	\$168,439
MERCED	\$20,346	0.30%	\$0	\$746	\$21,092
MODOC*	\$7,317	0.00%	(\$7,317)	\$0	\$0
MONO*	\$7,390	0.00%	(\$7,390)	\$0	\$0
MONTEREY	\$32,743	0.48%	\$0	\$1,201	\$33,944
NAPA	\$14,901	0.22%	\$0	\$546	\$15,447
NEVADA	\$12,715	0.18%	\$0	\$466	\$13,181
ORANGE	\$586,670	8.53%	\$0	\$21,510	\$608,180
PLACER	\$17,039	0.25%	\$0	\$625	\$17,664
PLUMAS*	\$8,017	0.00%	(\$8,017)	\$0	\$0
RIVERSIDE	\$106,368	1.55%	\$0	\$3,900	\$110,268
SACRAMENTO	\$86,178	1.25%	\$0	\$3,160	\$89,338
SAN BENITO	\$9,973	0.14%	\$0	\$366	\$10,339
SAN BERNARDINO	\$304,579	4.43%	\$0	\$11,167	\$315,746
SAN DIEGO	\$592,114	8.61%	\$0	\$21,710	\$613,824
SAN FRANCISCO	\$355,160	5.16%	\$0	\$13,022	\$368,182
SAN JOAQUIN	\$43,706	0.64%	\$0	\$1,602	\$45,308
SAN LUIS OBISPO	\$171,025	2.49%	\$0	\$6,271	\$177,296
SAN MATEO	\$54,412	0.79%	\$0	\$1,995	\$56,407
SANTA BARBARA	\$181,754	2.64%	\$0	\$6,664	\$188,418
SANTA CLARA	\$416,792	6.06%	\$0	\$15,282	\$432,074
SANTA CRUZ	\$171,322	2.49%	\$0	\$6,282	\$177,604
SHASTA	\$17,521	0.25%	\$0	\$642	\$18,163
SIERRA*	\$56,874	0.00%	(\$56,874)	\$0	\$0
SISKIYOU	\$1,220	0.02%	\$0	\$45	\$1,265
SOLANO	\$32,723	0.48%	\$0	\$1,200	\$33,923
SONOMA	\$184,106	2.68%	\$0	\$6,750	\$190,856
STANISLAUS	\$35,502	0.52%	\$0	\$1,302	\$36,804
SUTTER/YUBA	\$22,534	0.33%	\$0	\$826	\$23,360
TEHAMA	\$60,397	0.88%	\$0	\$2,214	\$62,611
TRINITY*	\$7,532	0.00%	(\$7,532)	\$0	\$0
TULARE	\$30,692	0.45%	\$0	\$1,125	\$31,817
TUOLUMNE	\$10,185	0.15%	\$0	\$373	\$10,558
VENTURA	\$56,148	0.82%	\$0	\$2,059	\$58,207
YOLO	\$17,253	0.25%	\$0	\$633	\$17,886
STATEWIDE TOTAL	\$7,132,304	100.00%	(\$252,258)	\$252,258	\$7,132,304

*13 Counties with population less than 40,000