

**Fiscal Year 2013-14
Budget Act Allocation
Substance Abuse Prevention and Treatment Block Grant
Exchange Program**

This exhibit provides information on the history and evaluation of the Substance Abuse Prevention and Treatment (SAPT) Block Grant (BG) Exchange Program. It also explains the methodology used to calculate each county's share of SAPT Discretionary, Perinatal, and Adolescent and Youth Treatment funds to be exchanged in FY 2013-14. The attached spreadsheets display each county's FY 2013-14 base allocation amounts BEFORE the exchange, and the FY 2013-14 allocation amounts AFTER the exchange of SAPT funds.

Program History and Evaluation

1. The SAPT BG Pilot Exchange Program was implemented with the FY 2008-09 Budget Act Allocation. The program was subsequently renamed the SAPT BG Exchange Program.
2. The SAPT BG Exchange Program began with the FY 2004-05 Budget Act Allocation. It originally allowed counties with populations less than 40,000 to exchange HIV, Perinatal, and Adolescent and Youth Treatment categorical funding for Discretionary funding from larger counties. Beginning in FY 2007-08, HIV Set-Aside funds were no longer exchanged because those funds, from that point, were allocated based on a needs-based allocation methodology.

The SAPT BG Exchange Program was created because California's least populous counties lack sufficient populations to result in an ongoing demand for specialized treatment services for Perinatal and Adolescent/Youth. Exchanging Perinatal and Adolescent/Youth treatment funds for Discretionary funds allows the least populous counties to best respond to treatment needs and reduces the return of unspent SAPT funds to the federal government. Counties receiving additional Discretionary funds are expected to utilize these funds to treat substance use disorder clients, including Perinatal and Adolescent/Youth treatment clients, to the extent they have such clients. Counties providing perinatal services must meet the requirements set forth in the Perinatal Services Network Guidelines 2014 located on the Department of Health Care Services website at

<http://www.dhcs.ca.gov/individuals/Documents/PSNG2014Final21214.pdf>

In providing Youth Treatment services, the Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs. The guidelines are available on the website at:

<http://www.adp.ca.gov/NNA/pdf/Document%201V%20-%20Youth%20Treatment%20Guidelines.pdf>.

3. In May 2008, the former Department of Alcohol and Drug Programs (ADP) evaluated the SAPT BG Exchange Program to determine if counties were more successful in spending SAPT BG categorical dollars than before the SAPT BG Exchange

Program. ADP evaluated the program for two federal fiscal years (FFY) preceding initiation of the SAPT BG Exchange Program (FFY 2003 and FFY 2004), and for the first two SAPT BG Exchange Program years (FFY 2005 and FFY 2006).

SAPT HIV funds were not included in the SAPT BG Exchange Program evaluation. The only SAPT categorical funds included in the Exchange Program evaluation were Perinatal and Adolescent and Youth Treatment funds.

4. The evaluation found that the smallest counties (least populous) benefited from receiving additional SAPT Discretionary funding and these counties no longer reverted Perinatal and Adolescent and Youth Treatment funds as they had previously done. These small Minimum Base Allocation (MBA) counties had increased flexibility to meet clients' needs as a result of the SAPT BG Exchange Program and none of these counties reverted funds during the pilot exchange years.

SAPT BG Exchange Program Methodology

The following describes the steps for determining the share of SAPT Discretionary funds to be exchanged for SAPT Perinatal funds. The process is identical for calculating the exchange of SAPT Discretionary funds for SAPT Adolescent and Youth Treatment funds.

- STEP 1 For each county with a population less than 40,000, identify the amount of SAPT Perinatal funds that each would receive from the SAPT Perinatal allocation methodology. These are the funds those counties will exchange in the FY 2012-13 SAPT BG Exchange Program. This equals the amount of SAPT Discretionary funds the counties will receive in exchange.
- STEP 2 For each given county with a population greater than or equal to 40,000, calculate the ratio of that county's SAPT Perinatal funds to the total SAPT Perinatal funds (without including the amount of Perinatal funds for counties with a population less than 40,000).
- STEP 3 Multiply the ratio calculated in STEP 2 by the total amount of SAPT Perinatal funds to be exchanged in STEP 1. This will determine the amount of additional SAPT Perinatal funds that each county with a population greater than or equal to 40,000 will receive in exchange for its SAPT Discretionary funds.
- STEP 4 The amount of additional SAPT Perinatal funds calculated in STEP 3 equals the amount of SAPT Discretionary funds a county with a population less than 40,000 will receive in exchange for its SAPT Perinatal funds.
- STEP 5 Repeat the above steps for SAPT Adolescent and Youth Treatment funds.

**Fiscal Year 2013-14
Budget Act Allocation
SAPT Block Grant Exchange Program
Summary of Exchanges**

County	SAPT Discretionary Exchange	SAPT Perinatal Exchange	SAPT Adolescent/Youth Treatment Exchange
A	B	C	D
ALAMEDA	(\$28,718)	\$14,528	\$14,190
ALPINE*	\$56,745	\$0	(\$56,745)
AMADOR*	\$14,015	(\$5,065)	(\$8,950)
BUTTE	(\$4,553)	\$3,842	\$711
CALAVERAS	(\$506)	\$52	\$454
COLUSA*	\$12,962	(\$5,051)	(\$7,911)
CONTRA COSTA	(\$28,079)	\$15,114	\$12,965
DEL NORTE*	\$13,583	(\$5,058)	(\$8,525)
EL DORADO	(\$1,027)	\$497	\$530
FRESNO	(\$15,040)	\$2,367	\$12,673
GLENN*	\$176,608	(\$118,143)	(\$58,465)
HUMBOLDT	(\$6,331)	\$520	\$5,811
IMPERIAL	(\$5,853)	\$0	\$5,853
INYO*	\$12,922	(\$5,048)	(\$7,874)
KERN	(\$4,429)	\$2,655	\$1,774
KINGS	(\$1,077)	\$536	\$541
LAKE	(\$422)	\$52	\$370
LASSEN*	\$13,982	(\$5,062)	(\$8,920)
LOS ANGELES	(\$92,957)	\$37,831	\$55,126
MADERA	(\$1,153)	\$645	\$508
MARIN	(\$1,671)	\$853	\$818
MARIPOSA*	\$12,786	(\$5,048)	(\$7,738)
MENDOCINO	(\$6,016)	\$222	\$5,794
MERCED	(\$1,521)	\$795	\$726
MODOC*	\$12,357	(\$5,040)	(\$7,317)
MONO*	\$12,435	(\$5,045)	(\$7,390)
MONTEREY	(\$2,145)	\$977	\$1,168
NAPA	(\$945)	\$414	\$531
NEVADA	(\$638)	\$185	\$453
ORANGE	(\$30,860)	\$9,940	\$20,920
PLACER	(\$2,042)	\$1,260	\$782
PLUMAS*	\$13,066	(\$5,049)	(\$8,017)
RIVERSIDE	(\$12,230)	\$8,437	\$3,793
SACRAMENTO	(\$17,710)	\$14,637	\$3,073
SAN BENITO	(\$408)	\$52	\$356
SAN BERNARDINO	(\$21,596)	\$6,857	\$14,739
SAN DIEGO	(\$37,713)	\$16,599	\$21,114
SAN FRANCISCO	(\$15,752)	\$3,088	\$12,664
SAN JOAQUIN	(\$3,290)	\$1,732	\$1,558
SAN LUIS OBISPO	(\$6,837)	\$739	\$6,098
SAN MATEO	(\$4,203)	\$2,263	\$1,940
SANTA BARBARA	(\$8,199)	\$1,718	\$6,481
SANTA CLARA	(\$20,284)	\$5,422	\$14,862
SANTA CRUZ	(\$6,878)	\$769	\$6,109
SHASTA	(\$4,458)	\$3,833	\$625
SIERRA*	\$61,908	(\$5,034)	(\$56,874)
SISKIYOU	(\$2,834)	\$2,716	\$118
SOLANO	(\$2,910)	\$1,743	\$1,167
SONOMA	(\$8,056)	\$1,491	\$6,565
STANISLAUS	(\$2,726)	\$1,460	\$1,266
SUTTER/YUBA	(\$1,307)	\$503	\$804
TEHAMA	(\$4,838)	\$2,684	\$2,154
TRINITY*	\$12,576	(\$5,044)	(\$7,532)
TULARE	(\$1,993)	\$899	\$1,094
TUOLUMNE	(\$415)	\$52	\$363
VENTURA	(\$3,909)	\$1,907	\$2,002
YOLO	(\$1,416)	\$801	\$615
STATEWIDE TOTAL	\$0	\$0	\$0

*13 Counties with population less than 40,000

**Fiscal Year 2013-14
Budget Act Allocation
SAPT Block Grant Exchange Program
Discretionary**

County	FY 2013-14 (FFY 2014) Award Discretionary Base	Discretionary funds to be exchanged for Perinatal and Adolescent/Youth funds	Perinatal and Adolescent/Youth funds to be exchanged for Discretionary	FY 2013-14 (FFY 2014) Award Discretionary
A	B	C	D	E
				B+C+D
ALAMEDA	4,568,446	(\$28,718)	\$0	\$4,539,728
ALPINE*	219,442	\$0	\$56,745	\$276,187
AMADOR*	323,446	\$0	\$14,015	\$337,461
BUTTE	817,777	(\$4,553)	\$0	\$813,224
CALAVERAS	337,503	(\$506)	\$0	\$336,997
COLUSA*	306,592	\$0	\$12,962	\$319,554
CONTRA COSTA	2,992,423	(\$28,079)	\$0	\$2,964,344
DEL NORTE*	318,474	\$0	\$13,583	\$332,057
EL DORADO	677,233	(\$1,027)	\$0	\$676,206
FRESNO	2,730,114	(\$15,040)	\$0	\$2,715,074
GLENN*	280,142	\$0	\$176,608	\$456,750
HUMBOLDT	570,089	(\$6,331)	\$0	\$563,758
IMPERIAL	594,487	(\$5,853)	\$0	\$588,634
INYO*	305,051	\$0	\$12,922	\$317,973
KERN	2,655,069	(\$4,429)	\$0	\$2,650,640
KINGS	564,795	(\$1,077)	\$0	\$563,718
LAKE	376,910	(\$422)	\$0	\$376,488
LASSEN*	322,084	\$0	\$13,982	\$336,066
LOS ANGELES	37,956,875	(\$92,957)	\$0	\$37,863,918
MADERA	528,232	(\$1,153)	\$0	\$527,079
MARIN	1,257,113	(\$1,671)	\$0	\$1,255,442
MARIPOSA*	303,980	\$0	\$12,786	\$316,766
MENDOCINO	467,529	(\$6,016)	\$0	\$461,513
MERCED	913,748	(\$1,521)	\$0	\$912,227
MODOC*	291,761	\$0	\$12,357	\$304,118
MONO*	299,137	\$0	\$12,435	\$311,572
MONTEREY	1,673,379	(\$2,145)	\$0	\$1,671,234
NAPA	674,250	(\$945)	\$0	\$673,305
NEVADA	477,751	(\$638)	\$0	\$477,113
ORANGE	12,384,478	(\$30,860)	\$0	\$12,353,618
PLACER	972,408	(\$2,042)	\$0	\$970,366
PLUMAS*	311,456	\$0	\$13,066	\$324,522
RIVERSIDE	6,464,959	(\$12,230)	\$0	\$6,452,729
SACRAMENTO	3,371,044	(\$17,710)	\$0	\$3,353,334
SAN BENITO	361,559	(\$408)	\$0	\$361,151
SAN BERNARDINO	6,885,876	(\$21,596)	\$0	\$6,864,280
SAN DIEGO	10,895,330	(\$37,713)	\$0	\$10,857,617
SAN FRANCISCO	5,600,782	(\$15,752)	\$0	\$5,585,030
SAN JOAQUIN	2,146,352	(\$3,290)	\$0	\$2,143,062
SAN LUIS OBISPO	993,752	(\$6,837)	\$0	\$986,915
SAN MATEO	3,143,108	(\$4,203)	\$0	\$3,138,905
SANTA BARBARA	1,568,111	(\$8,199)	\$0	\$1,559,912
SANTA CLARA	7,571,760	(\$20,284)	\$0	\$7,551,476
SANTA CRUZ	1,142,205	(\$6,878)	\$0	\$1,135,327
SHASTA	661,581	(\$4,458)	\$0	\$657,123
SIERRA*	279,970	\$0	\$61,908	\$341,878
SISKIYOU	287,604	(\$2,834)	\$0	\$284,770
SOLANO	1,560,550	(\$2,910)	\$0	\$1,557,640
SONOMA	1,603,644	(\$8,056)	\$0	\$1,595,588
STANISLAUS	1,936,493	(\$2,726)	\$0	\$1,933,767
SUTTER/YUBA	808,857	(\$1,307)	\$0	\$807,550
TEHAMA	293,170	(\$4,838)	\$0	\$288,332
TRINITY*	300,537	\$0	\$12,576	\$313,113
TULARE	1,463,956	(\$1,993)	\$0	\$1,461,963
TUOLUMNE	361,205	(\$415)	\$0	\$360,790
VENTURA	3,272,702	(\$3,909)	\$0	\$3,268,793
YOLO	700,314	(\$1,416)	\$0	\$698,898
STATEWIDE TOTAL	\$141,147,595	(\$425,945)	\$425,945	\$141,147,595

*13 Counties with population less than 40,000

**Fiscal Year 2013-14
Budget Act Allocation
SAPT Block Grant Exchange Program
Perinatal**

Exhibit C

COUNTY	FY 2013-14 (FFY 2014) Award Perinatal BASE	Percent of County Perinatal Funds compared to Total Perinatal Funding	Perinatal funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Perinatal Funds	FY 2013-14 (FFY 2014) Award Perinatal
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 173,687	B + D + E
ALAMEDA	\$1,411,920	8.36%	\$0	\$14,528	\$1,426,448
ALPINE*	\$0	0.00%	\$0	\$0	\$0
AMADOR*	\$5,065	0.00%	(\$5,065)	\$0	\$0
BUTTE	\$373,413	2.21%	\$0	\$3,842	\$377,255
CALAVERAS	\$5,073	0.03%	\$0	\$52	\$5,125
COLUSA*	\$5,051	0.00%	(\$5,051)	\$0	\$0
CONTRA COSTA	\$1,468,947	8.70%	\$0	\$15,114	\$1,484,061
DEL NORTE*	\$5,058	0.00%	(\$5,058)	\$0	\$0
EL DORADO	\$48,278	0.29%	\$0	\$497	\$48,775
FRESNO	\$230,070	1.36%	\$0	\$2,367	\$232,437
GLENN*	\$118,143	0.00%	(\$118,143)	\$0	\$0
HUMBOLDT	\$50,519	0.30%	\$0	\$520	\$51,039
IMPERIAL	\$0	0.00%	\$0	\$0	\$0
INYO*	\$5,048	0.00%	(\$5,048)	\$0	\$0
KERN	\$257,994	1.53%	\$0	\$2,655	\$260,649
KINGS	\$52,088	0.31%	\$0	\$536	\$52,624
LAKE	\$5,091	0.03%	\$0	\$52	\$5,143
LASSEN*	\$5,062	0.00%	(\$5,062)	\$0	\$0
LOS ANGELES	\$3,676,363	21.78%	\$0	\$37,831	\$3,714,194
MADERA	\$62,667	0.37%	\$0	\$645	\$63,312
MARIN	\$82,931	0.49%	\$0	\$853	\$83,784
MARIPOSA*	\$5,048	0.00%	(\$5,048)	\$0	\$0
MENDOCINO	\$21,619	0.13%	\$0	\$222	\$21,841
MERCED	\$77,230	0.46%	\$0	\$795	\$78,025
MODOC*	\$5,040	0.00%	(\$5,040)	\$0	\$0
MONO*	\$5,045	0.00%	(\$5,045)	\$0	\$0
MONTEREY	\$94,936	0.56%	\$0	\$977	\$95,913
NAPA	\$40,228	0.24%	\$0	\$414	\$40,642
NEVADA	\$17,939	0.11%	\$0	\$185	\$18,124
ORANGE	\$966,096	5.72%	\$0	\$9,940	\$976,036
PLACER	\$122,454	0.73%	\$0	\$1,260	\$123,714
PLUMAS*	\$5,049	0.00%	(\$5,049)	\$0	\$0
RIVERSIDE	\$819,982	4.86%	\$0	\$8,437	\$828,419
SACRAMENTO	\$1,422,588	8.43%	\$0	\$14,637	\$1,437,225
SAN BENITO	\$5,084	0.03%	\$0	\$52	\$5,136
SAN BERNARDINO	\$666,439	3.95%	\$0	\$6,857	\$673,296
SAN DIEGO	\$1,613,220	9.56%	\$0	\$16,599	\$1,629,819
SAN FRANCISCO	\$300,102	1.78%	\$0	\$3,088	\$303,190
SAN JOAQUIN	\$168,375	1.00%	\$0	\$1,732	\$170,107
SAN LUIS OBISPO	\$71,830	0.43%	\$0	\$739	\$72,569
SAN MATEO	\$219,904	1.30%	\$0	\$2,263	\$222,167
SANTA BARBARA	\$167,000	0.99%	\$0	\$1,718	\$168,718
SANTA CLARA	\$526,983	3.12%	\$0	\$5,422	\$532,405
SANTA CRUZ	\$74,713	0.44%	\$0	\$769	\$75,482
SHASTA	\$372,563	2.21%	\$0	\$3,833	\$376,396
SIERRA*	\$5,034	0.00%	(\$5,034)	\$0	\$0
SISKIYOU	\$263,980	1.56%	\$0	\$2,716	\$266,696
SOLANO	\$169,359	1.00%	\$0	\$1,743	\$171,102
SONOMA	\$144,925	0.86%	\$0	\$1,491	\$146,416
STANISLAUS	\$141,933	0.84%	\$0	\$1,460	\$143,393
SUTTER/YUBA	\$48,897	0.29%	\$0	\$503	\$49,400
TEHAMA	\$260,873	1.55%	\$0	\$2,684	\$263,557
TRINITY*	\$5,044	0.00%	(\$5,044)	\$0	\$0
TULARE	\$87,420	0.52%	\$0	\$899	\$88,319
TUOLUMNE	\$5,082	0.03%	\$0	\$52	\$5,134
VENTURA	\$185,353	1.10%	\$0	\$1,907	\$187,260
YOLO	\$77,852	0.46%	\$0	\$801	\$78,653
STATEWIDE TOTAL	\$17,054,000	100.00%	(\$173,687)	\$173,687	\$17,054,000

*13 Counties with populations less than 40,000

**Fiscal Year 2013-14
Budget Act Allocation
SAPT Block Grant Exchange Program
Adolescent and Youth Program**

County	FY 2013-14 (FFY 2014) Award Adolescent/Youth BASE	Percent of County Adolescent/Youth Funds compared to Total Adolescent/Youth Funds	Adolescent/Youth Funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Adolescent/Youth Funds	FY 2013-14 (FFY 2014) Award Adolescent Youth
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 252,258	B + D + E
ALAMEDA	\$397,940	5.63%	\$0	\$14,190	\$412,130
ALPINE*	\$56,745	0.00%	(\$56,745)	\$0	\$0
AMADOR*	\$8,950	0.00%	(\$8,950)	\$0	\$0
BUTTE	\$19,946	0.28%	\$0	\$711	\$20,657
CALAVERAS	\$12,718	0.18%	\$0	\$454	\$13,172
COLUSA*	\$7,911	0.00%	(\$7,911)	\$0	\$0
CONTRA COSTA	\$363,586	5.14%	\$0	\$12,965	\$376,551
DEL NORTE*	\$8,525	0.00%	(\$8,525)	\$0	\$0
EL DORADO	\$14,869	0.21%	\$0	\$530	\$15,399
FRESNO	\$355,396	5.02%	\$0	\$12,673	\$368,069
GLENN*	\$58,465	0.00%	(\$58,465)	\$0	\$0
HUMBOLDT	\$162,974	2.30%	\$0	\$5,811	\$168,785
IMPERIAL	\$164,133	2.32%	\$0	\$5,853	\$169,986
INYO*	\$7,874	0.00%	(\$7,874)	\$0	\$0
KERN	\$49,753	0.70%	\$0	\$1,774	\$51,527
KINGS	\$15,176	0.21%	\$0	\$541	\$15,717
LAKE	\$10,364	0.15%	\$0	\$370	\$10,734
LASSEN*	\$8,920	0.00%	(\$8,920)	\$0	\$0
LOS ANGELES	\$1,545,955	21.85%	\$0	\$55,126	\$1,601,081
MADERA	\$14,252	0.20%	\$0	\$508	\$14,760
MARIN	\$22,940	0.32%	\$0	\$818	\$23,758
MARIPOSA*	\$7,738	0.00%	(\$7,738)	\$0	\$0
MENDOCINO	\$162,482	2.30%	\$0	\$5,794	\$168,276
MERCED	\$20,346	0.29%	\$0	\$726	\$21,072
MODOC*	\$7,317	0.00%	(\$7,317)	\$0	\$0
MONO*	\$7,390	0.00%	(\$7,390)	\$0	\$0
MONTEREY	\$32,743	0.46%	\$0	\$1,168	\$33,911
NAPA	\$14,901	0.21%	\$0	\$531	\$15,432
NEVADA	\$12,715	0.18%	\$0	\$453	\$13,168
ORANGE	\$586,670	8.29%	\$0	\$20,920	\$607,590
PLACER	\$21,937	0.31%	\$0	\$782	\$22,719
PLUMAS*	\$8,017	0.00%	(\$8,017)	\$0	\$0
RIVERSIDE	\$106,368	1.50%	\$0	\$3,793	\$110,161
SACRAMENTO	\$86,178	1.22%	\$0	\$3,073	\$89,251
SAN BENITO	\$9,973	0.14%	\$0	\$356	\$10,329
SAN BERNARDINO	\$413,340	5.84%	\$0	\$14,739	\$428,079
SAN DIEGO	\$592,114	8.37%	\$0	\$21,114	\$613,228
SAN FRANCISCO	\$355,160	5.02%	\$0	\$12,664	\$367,824
SAN JOAQUIN	\$43,706	0.62%	\$0	\$1,558	\$45,264
SAN LUIS OBISPO	\$171,025	2.42%	\$0	\$6,098	\$177,123
SAN MATEO	\$54,412	0.77%	\$0	\$1,940	\$56,352
SANTA BARBARA	\$181,754	2.57%	\$0	\$6,481	\$188,235
SANTA CLARA	\$416,792	5.89%	\$0	\$14,862	\$431,654
SANTA CRUZ	\$171,322	2.42%	\$0	\$6,109	\$177,431
SHASTA	\$17,521	0.25%	\$0	\$625	\$18,146
SIERRA*	\$56,874	0.00%	(\$56,874)	\$0	\$0
SISKIYOU	\$3,302	0.05%	\$0	\$118	\$3,420
SOLANO	\$32,723	0.46%	\$0	\$1,167	\$33,890
SONOMA	\$184,106	2.60%	\$0	\$6,565	\$190,671
STANISLAUS	\$35,502	0.50%	\$0	\$1,266	\$36,768
SUTTER/YUBA	\$22,534	0.32%	\$0	\$804	\$23,338
TEHAMA	\$60,397	0.85%	\$0	\$2,154	\$62,551
TRINITY*	\$7,532	0.00%	(\$7,532)	\$0	\$0
TULARE	\$30,692	0.43%	\$0	\$1,094	\$31,786
TUOLUMNE	\$10,185	0.14%	\$0	\$363	\$10,548
VENTURA	\$56,148	0.79%	\$0	\$2,002	\$58,150
YOLO	\$17,253	0.24%	\$0	\$615	\$17,868
STATEWIDE TOTAL	\$7,326,561	100.00%	(\$252,258)	\$252,258	\$7,326,561

*13 Counties with population less than 40,000