

**Fiscal Year 2012-13
Revised Budget Act Allocation
Substance Abuse Prevention and Treatment Block Grant
Exchange Program**

This exhibit provides information on the history and evaluation of the Substance Abuse Prevention and Treatment (SAPT) Block Grant (BG) Exchange Program. It also explains the methodology used to calculate each county's share of SAPT Discretionary, Perinatal, and Adolescent and Youth Treatment funds to be exchanged in FY 2012-13. The attached spreadsheets display each county's FY 2012-13 base allocation amounts BEFORE the exchange, and the FY 2012-13 Preliminary Allocation amounts AFTER the exchange of SAPT funds.

Program History and Evaluation

1. The SAPT BG Pilot Exchange Program was implemented with the FY 2008-09 Budget Act Allocation. The program was subsequently renamed the SAPT BG Exchange Program.
2. The SAPT BG Exchange Program began with the FY 2004-05 Budget Act Allocation. It originally allowed counties with populations less than 40,000 to exchange HIV, Perinatal, and Adolescent and Youth Treatment categorical funding for Discretionary funding from larger counties. Beginning in FY 2007-08, HIV Set-Aside funds were no longer exchanged because those funds, from that point, were allocated based on a needs-based allocation methodology.

The SAPT BG Exchange Program was created because California's least populous counties lack sufficient populations to result in an ongoing demand for specialized treatment services for Perinatal and Adolescent/Youth. Exchanging Perinatal and Adolescent/Youth treatment funds for Discretionary funds allows the least populous counties to best respond to treatment needs and reduces the return of unspent SAPT funds to the federal government. Counties receiving additional Discretionary funds are expected to utilize these funds to treat substance use disorder clients, including Perinatal and Adolescent/Youth treatment clients, to the extent they have such clients. Counties providing perinatal services must meet the requirements set forth in the Perinatal Services Network Guidelines 2009 located on the Department of Alcohol and Drug Programs (ADP) website at http://www.adp.ca.gov/Perinatal/pdf/Guidelines_09.pdf.

In providing Youth Treatment services, ADP's Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs. The guidelines are available on the ADP's website at: <http://www.adp.ca.gov/NNA/pdf/Document%201V%20-%20Youth%20Treatment%20Guidelines.pdf>.

3. In May 2008, ADP evaluated the SAPT BG Exchange Program to determine if counties were more successful in spending SAPT BG categorical dollars than before the SAPT BG Exchange Program. ADP evaluated the program for two federal fiscal years (FFY) preceding initiation of the SAPT BG Exchange Program (FFY 2003 and

FFY 2004), and for the first two SAPT BG Exchange Program years (FFY 2005 and FFY 2006). FFY 2007 data was not included because the FFY 2007 final cost report data was not available at the time of the evaluation. Although the FY 2006-07 interim cost report settlement of FFY 2007 funds had been finalized, counties still had until June 2008 to spend any remaining FFY 2007 funds.

SAPT HIV funds were not included in the SAPT BG Exchange Program evaluation. The only SAPT categorical funds included in the Exchange Program evaluation were Perinatal and Adolescent and Youth Treatment funds.

4. The evaluation found that the smallest counties (least populous) benefited from receiving additional SAPT Discretionary funding and these counties no longer reverted Perinatal and Adolescent and Youth Treatment funds as they had previously done. These small Minimum Base Allocation (MBA) counties had increased flexibility to meet clients' needs as a result of the SAPT BG Exchange Program and none of these counties reverted funds during the pilot exchange years.

SAPT BG Exchange Program Methodology

The following describes the steps for determining the share of SAPT Discretionary funds to be exchanged for SAPT Perinatal funds. The process is identical for calculating the exchange of SAPT Discretionary funds for SAPT Adolescent and Youth Treatment funds.

- STEP 1 For each county with a population less than 40,000, identify the amount of SAPT Perinatal funds that each would receive from the SAPT Perinatal allocation methodology. These are the funds those counties will exchange in the FY 2012-13 SAPT BG Exchange Program. This equals the amount of SAPT Discretionary funds the counties will receive in exchange.
- STEP 2 For each given county with a population greater than or equal to 40,000, calculate the ratio of that county's SAPT Perinatal funds to the total SAPT Perinatal funds (without including the amount of Perinatal funds for counties with a population less than 40,000).
- STEP 3 Multiply the ratio calculated in STEP 2 by the total amount of SAPT Perinatal funds to be exchanged in STEP 1. This will determine the amount of additional SAPT Perinatal funds that each county with a population greater than or equal to 40,000 will receive in exchange for its SAPT Discretionary funds.
- STEP 4 The amount of additional SAPT Perinatal funds calculated in STEP 3 equals the amount of SAPT Discretionary funds a county with a population less than 40,000 will receive in exchange for its SAPT Perinatal funds.
- STEP 5 Repeat the above steps for SAPT Adolescent and Youth Treatment funds.

**Fiscal Year 2012-13
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SAPT Block Grant Exchange Program
Summary of Exchanges**

County	SAPT Discretionary Exchange	SAPT Perinatal Exchange	SAPT Adolescent/Youth Treatment Exchange
A	B	C	D
ALAMEDA	(\$28,578)	\$14,388	\$14,190
ALPINE*	\$56,745	\$0	(\$56,745)
AMADOR*	\$13,878	(\$4,928)	(\$8,950)
BUTTE	(\$4,517)	\$3,806	\$711
CALAVERAS	(\$504)	\$50	\$454
COLUSA*	\$12,839	(\$4,928)	(\$7,911)
CONTRA COSTA	(\$27,939)	\$14,974	\$12,965
DEL NORTE*	\$13,453	(\$4,928)	(\$8,525)
EL DORADO	(\$1,020)	\$490	\$530
FRESNO	(\$15,010)	\$2,337	\$12,673
GLENN*	\$176,478	(\$118,013)	(\$58,465)
HUMBOLDT	(\$6,324)	\$513	\$5,811
IMPERIAL	(\$6,272)	\$419	\$5,853
INYO*	\$12,802	(\$4,928)	(\$7,874)
KERN	(\$4,397)	\$2,623	\$1,774
KINGS	(\$1,070)	\$529	\$541
LAKE	(\$420)	\$50	\$370
LASSEN*	\$13,848	(\$4,928)	(\$8,920)
LOS ANGELES	(\$92,534)	\$37,408	\$55,126
MADERA	(\$1,145)	\$637	\$508
MARIN	(\$1,661)	\$843	\$818
MARIPOSA*	\$12,666	(\$4,928)	(\$7,738)
MENDOCINO	(\$6,013)	\$219	\$5,794
MERCED	(\$1,510)	\$784	\$726
MODOC*	\$12,245	(\$4,928)	(\$7,317)
MONO*	\$12,318	(\$4,928)	(\$7,390)
MONTEREY	(\$2,131)	\$963	\$1,168
NAPA	(\$939)	\$408	\$531
NEVADA	(\$634)	\$181	\$453
ORANGE	(\$30,745)	\$9,825	\$20,920
PLACER	(\$2,027)	\$1,245	\$782
PLUMAS*	\$12,945	(\$4,928)	(\$8,017)
RIVERSIDE	(\$12,136)	\$8,343	\$3,793
SACRAMENTO	(\$17,571)	\$14,498	\$3,073
SAN BENITO	(\$406)	\$50	\$356
SAN BERNARDINO	(\$21,517)	\$6,778	\$14,739
SAN DIEGO	(\$37,540)	\$16,426	\$21,114
SAN FRANCISCO	(\$15,717)	\$3,053	\$12,664
SAN JOAQUIN	(\$3,268)	\$1,710	\$1,558
SAN LUIS OBISPO	(\$6,827)	\$729	\$6,098
SAN MATEO	(\$4,175)	\$2,235	\$1,940
SANTA BARBARA	(\$8,180)	\$1,699	\$6,481
SANTA CLARA	(\$20,219)	\$5,357	\$14,862
SANTA CRUZ	(\$6,868)	\$759	\$6,109
SHASTA	(\$4,423)	\$3,798	\$625
SIERRA*	\$61,802	(\$4,928)	(\$56,874)
SISKIYOU	(\$2,810)	\$2,692	\$118
SOLANO	(\$2,890)	\$1,723	\$1,167
SONOMA	(\$8,038)	\$1,473	\$6,565
STANISLAUS	(\$2,708)	\$1,442	\$1,266
SUTTER/YUBA	(\$1,299)	\$495	\$804
TEHAMA	(\$4,814)	\$2,660	\$2,154
TRINITY*	\$12,460	(\$4,928)	(\$7,532)
TULARE	(\$1,980)	\$886	\$1,094
TUOLUMNE	(\$413)	\$50	\$363
VENTURA	(\$3,884)	\$1,882	\$2,002
YOLO	(\$1,406)	\$791	\$615
STATEWIDE TOTAL	\$0	\$0	\$0

*13 Counties with population less than 40,000

**Fiscal Year 2012-13
Revised Budget Act Allocation
SAPT Block Grant Exchange Program
Discretionary**

County	FY 2012-13 (FFY 2013) Award Discretionary Base	Discretionary funds to be exchanged for Perinatal and Adolescent/Youth funds	Perinatal and Adolescent/Youth funds to be exchanged for Discretionary	FY 2012-13 (FFY 2013) Award Discretionary
A	B	C	D	E
				B+C+D
ALAMEDA	4,248,493	(\$28,578)	\$0	\$4,219,915
ALPINE*	215,603	\$0	\$56,745	\$272,348
AMADOR*	317,820	\$0	\$13,878	\$331,698
BUTTE	760,441	(\$4,517)	\$0	\$755,924
CALAVERAS	331,629	(\$504)	\$0	\$331,125
COLUSA*	301,273	\$0	\$12,839	\$314,112
CONTRA COSTA	2,782,671	(\$27,939)	\$0	\$2,754,732
DEL NORTE*	312,946	\$0	\$13,453	\$326,399
EL DORADO	629,718	(\$1,020)	\$0	\$628,698
FRESNO	2,538,782	(\$15,010)	\$0	\$2,523,772
GLENN*	275,248	\$0	\$176,478	\$451,726
HUMBOLDT	530,092	(\$6,324)	\$0	\$523,768
IMPERIAL	552,717	(\$6,272)	\$0	\$546,445
INYO*	299,764	\$0	\$12,802	\$312,566
KERN	2,469,113	(\$4,397)	\$0	\$2,464,716
KINGS	525,135	(\$1,070)	\$0	\$524,065
LAKE	370,349	(\$420)	\$0	\$369,929
LASSEN*	316,488	\$0	\$13,848	\$330,336
LOS ANGELES	35,305,224	(\$92,534)	\$0	\$35,212,690
MADERA	491,110	(\$1,145)	\$0	\$489,965
MARIN	1,169,235	(\$1,661)	\$0	\$1,167,574
MARIPOSA*	298,711	\$0	\$12,666	\$311,377
MENDOCINO	459,425	(\$6,013)	\$0	\$453,412
MERCED	849,679	(\$1,510)	\$0	\$848,169
MODOC*	286,711	\$0	\$12,245	\$298,956
MONO*	293,955	\$0	\$12,318	\$306,273
MONTEREY	1,556,318	(\$2,131)	\$0	\$1,554,187
NAPA	627,021	(\$939)	\$0	\$626,082
NEVADA	469,461	(\$634)	\$0	\$468,827
ORANGE	11,519,469	(\$30,745)	\$0	\$11,488,724
PLACER	904,099	(\$2,027)	\$0	\$902,072
PLUMAS*	306,061	\$0	\$12,945	\$319,006
RIVERSIDE	6,012,152	(\$12,136)	\$0	\$6,000,016
SACRAMENTO	3,134,356	(\$17,571)	\$0	\$3,116,785
SAN BENITO	355,266	(\$406)	\$0	\$354,860
SAN BERNARDINO	6,404,185	(\$21,517)	\$0	\$6,382,668
SAN DIEGO	10,133,522	(\$37,540)	\$0	\$10,095,982
SAN FRANCISCO	5,210,498	(\$15,717)	\$0	\$5,194,781
SAN JOAQUIN	1,995,978	(\$3,268)	\$0	\$1,992,710
SAN LUIS OBISPO	924,114	(\$6,827)	\$0	\$917,287
SAN MATEO	2,923,508	(\$4,175)	\$0	\$2,919,333
SANTA BARBARA	1,458,341	(\$8,180)	\$0	\$1,450,161
SANTA CLARA	7,042,899	(\$20,219)	\$0	\$7,022,680
SANTA CRUZ	1,062,278	(\$6,868)	\$0	\$1,055,410
SHASTA	615,159	(\$4,423)	\$0	\$610,736
SIERRA*	275,127	\$0	\$61,802	\$336,929
SISKIYOU	282,556	(\$2,810)	\$0	\$279,746
SOLANO	1,451,323	(\$2,890)	\$0	\$1,448,433
SONOMA	1,491,299	(\$8,038)	\$0	\$1,483,261
STANISLAUS	1,800,992	(\$2,708)	\$0	\$1,798,284
SUTTER/YUBA	794,748	(\$1,299)	\$0	\$793,449
TEHAMA	287,994	(\$4,814)	\$0	\$283,180
TRINITY*	295,334	\$0	\$12,460	\$307,794
TULARE	1,361,365	(\$1,980)	\$0	\$1,359,385
TUOLUMNE	354,922	(\$413)	\$0	\$354,509
VENTURA	3,043,932	(\$3,884)	\$0	\$3,040,048
YOLO	651,155	(\$1,406)	\$0	\$649,749
STATEWIDE TOTAL	\$131,677,764	(\$424,479)	\$424,479	\$131,677,764

*13 Counties with population less than 40,000

**Fiscal Year 2012-13
Revised Budget Act Allocation
SAPT Block Grant Exchange Program
Perinatal**

COUNTY	FY 2012-13 (FFY 2013) Award Perinatal BASE	Percent of County Perinatal Funds compared to Total Perinatal Funding	Perinatal funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Perinatal Funds	FY 2012-13 (FFY 2013) Award Perinatal
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 172,221	B + D + E
ALAMEDA	\$1,410,369	8.35%	\$0	\$14,388	\$1,424,757
ALPINE*	\$0	0.00%	\$0	\$0	\$0
AMADOR*	\$4,928	0.00%	(\$4,928)	\$0	\$0
BUTTE	\$373,103	2.21%	\$0	\$3,806	\$376,909
CALAVERAS	\$4,928	0.03%	\$0	\$50	\$4,978
COLUSA*	\$4,928	0.00%	(\$4,928)	\$0	\$0
CONTRA COSTA	\$1,467,839	8.69%	\$0	\$14,974	\$1,482,813
DEL NORTE*	\$4,928	0.00%	(\$4,928)	\$0	\$0
EL DORADO	\$48,005	0.28%	\$0	\$490	\$48,495
FRESNO	\$229,077	1.36%	\$0	\$2,337	\$231,414
GLENN*	\$118,013	0.00%	(\$118,013)	\$0	\$0
HUMBOLDT	\$50,290	0.30%	\$0	\$513	\$50,803
IMPERIAL	\$41,100	0.24%	\$0	\$419	\$41,519
INYO*	\$4,928	0.00%	(\$4,928)	\$0	\$0
KERN	\$257,089	1.52%	\$0	\$2,623	\$259,712
KINGS	\$51,843	0.31%	\$0	\$529	\$52,372
LAKE	\$4,928	0.03%	\$0	\$50	\$4,978
LASSEN*	\$4,928	0.00%	(\$4,928)	\$0	\$0
LOS ANGELES	\$3,666,949	21.72%	\$0	\$37,408	\$3,704,357
MADERA	\$62,421	0.37%	\$0	\$637	\$63,058
MARIN	\$82,590	0.49%	\$0	\$843	\$83,433
MARIPOSA*	\$4,928	0.00%	(\$4,928)	\$0	\$0
MENDOCINO	\$21,433	0.13%	\$0	\$219	\$21,652
MERCED	\$76,882	0.46%	\$0	\$784	\$77,666
MODOC*	\$4,928	0.00%	(\$4,928)	\$0	\$0
MONO*	\$4,928	0.00%	(\$4,928)	\$0	\$0
MONTEREY	\$94,439	0.56%	\$0	\$963	\$95,402
NAPA	\$39,996	0.24%	\$0	\$408	\$40,404
NEVADA	\$17,745	0.11%	\$0	\$181	\$17,926
ORANGE	\$963,111	5.71%	\$0	\$9,825	\$972,936
PLACER	\$122,017	0.72%	\$0	\$1,245	\$123,262
PLUMAS*	\$4,928	0.00%	(\$4,928)	\$0	\$0
RIVERSIDE	\$817,770	4.84%	\$0	\$8,343	\$826,113
SACRAMENTO	\$1,421,133	8.42%	\$0	\$14,498	\$1,435,631
SAN BENITO	\$4,928	0.03%	\$0	\$50	\$4,978
SAN BERNARDINO	\$664,395	3.94%	\$0	\$6,778	\$671,173
SAN DIEGO	\$1,610,171	9.54%	\$0	\$16,426	\$1,626,597
SAN FRANCISCO	\$299,227	1.77%	\$0	\$3,053	\$302,280
SAN JOAQUIN	\$167,619	0.99%	\$0	\$1,710	\$169,329
SAN LUIS OBISPO	\$71,472	0.42%	\$0	\$729	\$72,201
SAN MATEO	\$219,113	1.30%	\$0	\$2,235	\$221,348
SANTA BARBARA	\$166,496	0.99%	\$0	\$1,699	\$168,195
SANTA CLARA	\$525,157	3.11%	\$0	\$5,357	\$530,514
SANTA CRUZ	\$74,361	0.44%	\$0	\$759	\$75,120
SHASTA	\$372,293	2.21%	\$0	\$3,798	\$376,091
SIERRA*	\$4,928	0.00%	(\$4,928)	\$0	\$0
SISKIYOU	\$263,835	1.56%	\$0	\$2,692	\$266,527
SOLANO	\$168,865	1.00%	\$0	\$1,723	\$170,588
SONOMA	\$144,363	0.86%	\$0	\$1,473	\$145,836
STANISLAUS	\$141,340	0.84%	\$0	\$1,442	\$142,782
SUTTER/YUBA	\$48,533	0.29%	\$0	\$495	\$49,028
TEHAMA	\$260,710	1.54%	\$0	\$2,660	\$263,370
TRINITY*	\$4,928	0.00%	(\$4,928)	\$0	\$0
TULARE	\$86,891	0.51%	\$0	\$886	\$87,777
TUOLUMNE	\$4,928	0.03%	\$0	\$50	\$4,978
VENTURA	\$184,469	1.09%	\$0	\$1,882	\$186,351
YOLO	\$77,556	0.46%	\$0	\$791	\$78,347
STATEWIDE TOTAL	\$17,054,000	100.00%	(\$172,221)	\$172,221	\$17,054,000

*13 Counties with populations less than 40,000

**Fiscal Year 2012-13
Revised Budget Act Allocation
SAPT Block Grant Exchange Program
Adolescent and Youth Program**

County	FY 2012-13 (FFY 2013) Award Adolescent/Youth BASE	Percent of County Adolescent/Youth Funds compared to Total Adolescent/Youth Funds	Adolescent/Youth Funds to be exchanged for Discretionary Funds	Discretionary Funds to be exchanged for Adolescent/Youth Funds	FY 2012-13 (FFY 2013) Award Adolescent Youth
A	B	C	D	E	F
		If population >= 40K then B / (B total less allocations of counties with population < 40K) otherwise 0	If population <40K use negative B otherwise use 0	C x 252,258	B + D + E
ALAMEDA	\$397,940	5.63%	\$0	\$14,190	\$412,130
ALPINE*	\$56,745	0.00%	(\$56,745)	\$0	\$0
AMADOR*	\$8,950	0.00%	(\$8,950)	\$0	\$0
BUTTE	\$19,946	0.28%	\$0	\$711	\$20,657
CALAVERAS	\$12,718	0.18%	\$0	\$454	\$13,172
COLUSA*	\$7,911	0.00%	(\$7,911)	\$0	\$0
CONTRA COSTA	\$363,586	5.14%	\$0	\$12,965	\$376,551
DEL NORTE*	\$8,525	0.00%	(\$8,525)	\$0	\$0
EL DORADO	\$14,869	0.21%	\$0	\$530	\$15,399
FRESNO	\$355,396	5.02%	\$0	\$12,673	\$368,069
GLENN*	\$58,465	0.00%	(\$58,465)	\$0	\$0
HUMBOLDT	\$162,974	2.30%	\$0	\$5,811	\$168,785
IMPERIAL	\$164,133	2.32%	\$0	\$5,853	\$169,986
INYO*	\$7,874	0.00%	(\$7,874)	\$0	\$0
KERN	\$49,753	0.70%	\$0	\$1,774	\$51,527
KINGS	\$15,176	0.21%	\$0	\$541	\$15,717
LAKE	\$10,364	0.15%	\$0	\$370	\$10,734
LASSEN*	\$8,920	0.00%	(\$8,920)	\$0	\$0
LOS ANGELES	\$1,545,955	21.85%	\$0	\$55,126	\$1,601,081
MADERA	\$14,252	0.20%	\$0	\$508	\$14,760
MARIN	\$22,940	0.32%	\$0	\$818	\$23,758
MARIPOSA*	\$7,738	0.00%	(\$7,738)	\$0	\$0
MENDOCINO	\$162,482	2.30%	\$0	\$5,794	\$168,276
MERCED	\$20,346	0.29%	\$0	\$726	\$21,072
MODOC*	\$7,317	0.00%	(\$7,317)	\$0	\$0
MONO*	\$7,390	0.00%	(\$7,390)	\$0	\$0
MONTEREY	\$32,743	0.46%	\$0	\$1,168	\$33,911
NAPA	\$14,901	0.21%	\$0	\$531	\$15,432
NEVADA	\$12,715	0.18%	\$0	\$453	\$13,168
ORANGE	\$586,670	8.29%	\$0	\$20,920	\$607,590
PLACER	\$21,937	0.31%	\$0	\$782	\$22,719
PLUMAS*	\$8,017	0.00%	(\$8,017)	\$0	\$0
RIVERSIDE	\$106,368	1.50%	\$0	\$3,793	\$110,161
SACRAMENTO	\$86,178	1.22%	\$0	\$3,073	\$89,251
SAN BENITO	\$9,973	0.14%	\$0	\$356	\$10,329
SAN BERNARDINO	\$413,340	5.84%	\$0	\$14,739	\$428,079
SAN DIEGO	\$592,114	8.37%	\$0	\$21,114	\$613,228
SAN FRANCISCO	\$355,160	5.02%	\$0	\$12,664	\$367,824
SAN JOAQUIN	\$43,706	0.62%	\$0	\$1,558	\$45,264
SAN LUIS OBISPO	\$171,025	2.42%	\$0	\$6,098	\$177,123
SAN MATEO	\$54,412	0.77%	\$0	\$1,940	\$56,352
SANTA BARBARA	\$181,754	2.57%	\$0	\$6,481	\$188,235
SANTA CLARA	\$416,792	5.89%	\$0	\$14,862	\$431,654
SANTA CRUZ	\$171,322	2.42%	\$0	\$6,109	\$177,431
SHASTA	\$17,521	0.25%	\$0	\$625	\$18,146
SIERRA*	\$56,874	0.00%	(\$56,874)	\$0	\$0
SISKIYOU	\$3,302	0.05%	\$0	\$118	\$3,420
SOLANO	\$32,723	0.46%	\$0	\$1,167	\$33,890
SONOMA	\$184,106	2.60%	\$0	\$6,565	\$190,671
STANISLAUS	\$35,502	0.50%	\$0	\$1,266	\$36,768
SUTTER/YUBA	\$22,534	0.32%	\$0	\$804	\$23,338
TEHAMA	\$60,397	0.85%	\$0	\$2,154	\$62,551
TRINITY*	\$7,532	0.00%	(\$7,532)	\$0	\$0
TULARE	\$30,692	0.43%	\$0	\$1,094	\$31,786
TUOLUMNE	\$10,185	0.14%	\$0	\$363	\$10,548
VENTURA	\$56,148	0.79%	\$0	\$2,002	\$58,150
YOLO	\$17,253	0.24%	\$0	\$615	\$17,868
STATEWIDE TOTAL	\$7,326,561	100.00%	(\$252,258)	\$252,258	\$7,326,561

*13 Counties with population less than 40,000