

**Fiscal Year 2012-13
Community Services and Supports (CSS) Summary**

County: Tri-City Mental Health

Date:

3/25/2015

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 CSS Program 1a-Child FSP	\$935,070
2 CSS Program 1b-TAY FSP	\$819,467
3 CSS Program 1c-Adult FSP	\$1,471,852
4 CSS Program 1d-Older Adult FSP	\$433,151
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Subtotal FSP Programs	\$3,659,540
Non-FSP Programs	
1 Community Navigators	\$398,755
2 Wellness Center	\$973,969
3 Field Capable Services	\$175,203
4 Supplemental Crisis Support Services	\$63,607
5 CSS Housing	\$615,120
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7	
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Subtotal Non-FSP Programs	\$2,226,654
Total FSP and Non-FSP Programs	\$5,886,194
CSS Evaluation	\$108,183
CSS Administration	\$977,853
CSS MHSA Housing Program Assigned Funds	\$0
Total CSS Expenditures	\$6,972,230

**Year 2012-13
Prevention and Early Intervention (PEI) Summary**

County: Tri-City Mental Health

Date:

3/25/2015

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 Community Capacity Building	\$689,292
2 Family Wellbeing	\$87,675
3 Student Wellbeing	\$212,100
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Subtotal PEI Programs-Prevention	\$989,067
PEI Programs-Early Intervention	
15 Older Adult Wellbeing	\$80,964
16 Transition-Aged Youth Wellbeing	\$69,645
17 NAMI Community Capacity Building	\$31,040
18 Housing Stability Program	\$170,542
19 Therapeutic Community Gardening	\$150,666
20	
21	
22	
23	
Subtotal PEI Programs-Early Intervention	\$502,857
Total PEI Programs	\$1,491,924
PEI Evaluation	
PEI Administration	\$342,883
Total PEI Expenditures	\$1,834,807

**Fiscal Year 2012-13
Innovation (INN) Summary**

County: Tri-City Mental Health

Date:

3/25/2015

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 Modifications to Cognitive Enhancement Therap	\$317,725
2 Integrated Services	\$168,634
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Total INN Programs	\$486,359
Innovation Evaluation	\$14,473
Innovation Administration	\$92,423
Total Innovation Expenditures	\$593,255

**Fiscal Year 2012-13
Workforce Education and Training (WET) Summary**

County: Tri-City Mental Health

Date:

3/25/2015

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	\$88,443
Training and Technical Assistance	\$34,644
Mental Health Career Pathways Programs	\$22,056
Residency and Internship Programs	\$0
Financial Incentive Programs	\$0
Total WET Programs	\$145,143
WET Administration	\$38,211
Total WET Expenditures	\$183,354

**Fiscal Year 2012-13
Capital Facilities/Technological Needs (CF/TN) Summary**

County: Tri-City Mental Health

Date: 3/25/2015

Capital Facility/Technological Needs Projects	(A) Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1	
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Total CF Projects	\$0
Capital Facility Administration	\$0
Total Capital Facility Expenditures	\$0
Technological Needs Projects	
1 Impr to E H R & System Enhancement	\$92,850
2 Consumer Access to Computing Resources	\$18,515
3 Prog Monitoring & Outcome Sources Supp	\$14,965
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13	
Total TN Projects	\$126,330
Technological Needs Administration	
Total Technological Needs Expenditures	\$126,330
Total CFTN Expenditures	\$126,330

**Fiscal Year 2012-13
TTACB, WET RP & PEI SWP Summary**

County: Tri-City Mental Health

Date:

3/25/2015

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity	\$31,528
WET Regional Partnerships	\$0
PEI Statewide Projects	\$215,528

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13 Summary**

TABLE A

COUNTY: Tri-City Mental Health

DATE: 3/25/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N) YES

Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹										
a Local Prudent Reserve									\$2,696,200	\$2,696,200
b FY 2006-07 Funds				\$550,792						\$550,792
c FY 2007-08 Funds				\$548,200	\$2,059,600					\$2,607,800
d FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0		\$111,471		\$1,034,318
e FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0		\$204,300		\$606,900
f FY 2010-11 Funds	\$17,075	\$1,051,323	\$667,100	\$0	\$0	\$31,200		\$204,300		\$1,970,998
g FY 2011-12 Funds	\$2,464,620	\$633,480	\$162,900	\$0	\$0			\$204,300		\$3,465,300
h Interest	\$22,137	\$13,810	\$5,484	\$4,199	\$9,934	\$265				\$55,829
i TOTAL	\$2,503,832	\$1,698,613	\$1,513,831	\$1,103,191	\$2,716,634	\$31,465	\$0	\$724,371	\$2,696,200	\$12,988,137
2 MHSA Funds Revenue in FY 2012-13										
a Transfer of funds from the Local Prudent Reserve	\$0								\$0	\$0
b Revenue received from the State MHSA Fund ²										
1 FY 2012-13 MHSA Funds	\$7,759,340	\$1,951,385	\$510,986							\$10,221,711
c Interest Earned on MHSA Funds	\$13,326	\$4,964	\$4,041	\$2,736	\$8,186	\$63		\$8,261	\$8,277	\$49,854
d TOTAL	\$7,772,666	\$1,956,349	\$515,027	\$2,736	\$8,186	\$63	\$0	\$8,261	\$8,277	\$10,271,565
3 Expenditure and Funding Sources for FY 2012-13³										
a FY 2006-07 MHSA Funds				\$183,354						\$183,354
b FY 2007-08 MHSA Funds					\$126,330					\$126,330
c FY 2008-09 MHSA Funds										\$0
d FY 2009-10 MHSA Funds										\$0
e FY 2010-11 MHSA Funds	\$17,075	\$1,051,323	\$546,260			\$31,200		\$204,300		\$1,850,158
f FY 2011-12 MHSA Funds	\$2,364,620	\$633,480						\$11,228		\$3,009,328
g FY 2012-13 MHSA Funds	\$2,370,569	\$136,194								\$2,506,763
h Interest	\$9,615	\$13,810				\$328				\$23,753
i 1991 Realignment										\$0
j Behavioral Health Subaccount	\$631,878									\$631,878
k Other	\$1,578,473		\$46,995							\$1,625,468
l TOTAL	\$6,972,230	\$1,834,807	\$593,255	\$183,354	\$126,330	\$31,528	\$0	\$215,528		\$9,957,032
m Total Program Expenditures	\$6,972,230	\$1,834,807	\$593,255	\$183,354	\$126,330	\$31,528	\$0	\$215,528		\$9,957,032

NOTE TO COUNTY: Total Program Expenditures, 3(l), MUST match Total Expenditure Funding Sources, 3(k). If ERROR, recheck and correct.

COUNTY: Tri-City Mental Health

DATE: 3/25/2015

PEI Statewide Funds assigned to CalMHSA? (Y/N)	YES
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Fiscal Year 2012-13	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(K)
	Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Prudent Reserve	Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴										
a FY 2010-11	\$0									\$0
b FY 2011-12	-\$100,000								\$100,000	\$0
c FY 2012-13	\$0									\$0
5 Adjustments⁵										
a Local Prudent Reserve										\$0
b FY 2006-07 Funds										\$0
c FY 2007-08 Funds										\$0
d FY 2008-09 Funds										\$0
e FY 2009-10 Funds										\$0
f FY 2010-11 Funds										\$0
g FY 2011-12 Funds										\$0
h FY 2012-13 Funds										\$0
i Interest	-\$25,848	-\$4,372						\$978	\$30,220	\$978
j TOTAL	-\$25,848	-\$4,372	\$0	\$0	\$0	\$0	\$0	\$978	\$30,220	\$978
6 Unspent Funds in the Local MHS Fund⁶										
a Local Prudent Reserve Balance									\$2,834,697	\$2,834,697
b FY 2006-07 Funds				\$367,438						\$367,438
c FY 2007-08 Funds				\$548,200	\$1,933,270					\$2,481,470
d FY 2008-09 Funds	\$0	\$0	\$275,747	\$0	\$647,100	\$0	\$0	\$111,471		\$1,034,318
e FY 2009-10 Funds	\$0	\$0	\$402,600	\$0	\$0	\$0	\$0	\$204,300		\$606,900
f FY 2010-11 Funds	\$0	\$0	\$120,840	\$0	\$0	\$0	\$0	\$0		\$120,840
g FY 2011-12 Funds	\$0	\$0	\$162,900	\$0	\$0	\$0	\$0	\$193,072		\$355,972
h FY 2012-13 Funds	\$5,388,771	\$1,815,191	\$510,986	\$0	\$0					\$7,714,948
i Interest	\$0	\$592	\$9,525	\$6,935	\$18,120	\$0	\$0	\$9,239		\$44,411
j TOTAL	\$5,388,771	\$1,815,783	\$1,482,598	\$922,573	\$2,598,490	\$0	\$0	\$518,082	\$2,834,697	\$15,560,994

TABLE B⁷

Estimated FFP Revenue Generated In FY 2012-13	Amount
Federal Financial Participation (FFP)	\$1,595,373

RER Contact Person	
Name	Margaret Harris
Title	Chief Financial Officer
Phone	909 623-6131
Email	mharris@tricitymhs.org

**Annual Mental Health Services Act Revenue and Expenditure Report for Fiscal Year 2012-13
Adjustments Summary**

County: Tri-City Mental Health

Date: 3/25/2015

FY	Amount	Reason For Adjustment
CSS Interest	-\$25,848	Reclassify interest earned through FY 2012 on CSS funds transferred to Prudent Reserves to the Prudent Reserve Fund Balance
PEI Interest	-\$4,372	Reclassify interest earned through FY 2012 on PEI funds transferred to Prudent Reserves to the Prudent Reserve Fund Balance
Prudent Res	\$30,220	Reclassify interest earned on Prudent Reserves through FY 2012 previously classified in CSS and PEI fund balances
		NOTE: These adjustments are solely to reclassify interest earned on the Purdent Reserve Balances that were previously reflected in the CSS component and the PEI component. Please note, that Tri-City's Three Year PEI plan was approved in May 2010 (FY09-10) and the APPROVED PEI plan included a transfer of 2007-08 PEI funds to the Prudent Reserve at the end of FY 2009-10.
FY11/12	\$978	PEI Statewide Projects interest not included in FY2011/12 RER
TOTAL	\$978	
	\$978	

NOTE TO COUNTY: Total Adjustments in cell B22 MUST match Total Adjustments in cell P55 on the RER Summary Worksheet. If ERROR, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2012-13**

END NOTES:

- ¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- ² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when reporting MHSA revenue. The report is available at: http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html
- ³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- ⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- ⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- ⁶ Total Unspent in the Local MHS Fund will auto populate for each Fiscal Year.
- ⁷ The FFP amount represents the estimated FFP revenue generated in FY 2012-13 and attributable to MHSA funds.