

Performance Outcomes Adult Specialty Mental Health Services Report Report Date March 22, 2018

Background

This report measures the effectiveness of adult specialty mental health services. It models reports developed to measure Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services as mandated by Welfare and Institutions Code Section 14707.5. The intent of these reports is to improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop a Performance Measurement Paradigm, and to design indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.asp>

Overview

Three reports will be provided: statewide aggregate data; population-based county groups; and county-specific data. These aggregate reports provide adult information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of these data for the Performance Outcomes System. The first series of charts and tables focus on the demographics of adults 21* and older who are receiving SMHS based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Utilization of services reports are shown in terms of dollars, as well as by service in time increments. Two types of penetration information are provided; both penetration rate tables are also broken out by demographic characteristics. The snapshot table provides a point-in-time view of adults arriving, exiting, and continuing services over a two-year period. The time-to-step-down table provides a view over the past four years of the time to stepdown services following inpatient discharge. Where possible, the reports provide trend information by displaying information for Fiscal Years (FY) 13/14, 14/15, 15/16, and 16/17.

Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources - Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.

- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at: http://www.dhcs.ca.gov/services/MH/Documents/MedCCC/Library/POSMeasuresCatalog_Sept15Reporting_Fin_al_1.11.15.pdf

Note on Privacy: The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium; whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A “Public Aggregate Reporting – DHCS Business Reports” process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, these data are represented as follows: 1) Data that are missing is indicated as "-" 2) Data that have been suppressed due to privacy concerns is indicated as "^".

Report Highlights

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

*The **penetration** rates reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology makes comparison between the POS penetration rates and the EQRO penetration rates not appropriate nor useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of adults who received a number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible adults for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

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*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:
<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of fiscal responsibility for the patient and whom has been attributed the time to next service in days used in the calculations for this indicator.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year
Sutter/Yuba Counties as of March 22, 2018**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 13-14	2,268		33,038	
FY 14-15	2,452	8.1%	39,917	20.8%
FY 15-16	2,447	-0.2%	44,002	10.2%
FY 16-17	2,582	5.5%	45,902	4.3%
Compound Annual Growth Rate SFY**		4.4%		11.6%

**SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

***SFY = State Fiscal Year which is July 1 through June 30.*

**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year
Sutter/Yuba Counties as of March 22, 2018**

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 13-14	29	1.3%	209	9.2%	83	3.7%	252	11.1%	709	31.3%	31	1.4%	308	13.6%
FY 14-15	24	1.0%	229	9.3%	87	3.5%	293	11.9%	782	31.9%	34	1.4%	305	12.4%
FY 15-16	28	1.1%	224	9.2%	80	3.3%	317	13.0%	723	29.5%	35	1.4%	322	13.2%
FY 16-17	29	1.1%	214	8.3%	94	3.6%	357	13.8%	744	28.8%	34	1.3%	325	12.6%

**This report uses the Medi-Cal Eligibility Data System for racial data, while CDSS uses the Child Welfare Services/Case Management System.*

**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year
Sutter/Yuba Counties as of March 22, 2018**

Fiscal Year	Adults 21-44 Count	Adults 21-44 %	Adults 45-64 Count	Adults 45-64 %	Adults 65+ Count	Adults 65+ %
FY 13-14	1,046	46.1%	1,043	46.0%	179	7.9%
FY 14-15	1,169	47.7%	1,105	45.1%	178	7.3%
FY 15-16	1,194	48.8%	1,073	43.8%	180	7.4%
FY 16-17	1,345	52.1%	1,055	40.9%	182	7.0%

**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year
Sutter/Yuba Counties as of March 22, 2018**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	1,387	61.2%	881	38.8%
FY 14-15	1,474	60.1%	978	39.9%
FY 15-16	1,430	58.4%	1,017	41.6%
FY 16-17	1,521	58.9%	1,061	41.1%

Penetration Rates* Report: Adults With At Least One SMHS Visit
Sutter/Yuba Counties as of March 22, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate
All	2,268	33,038	6.9%	2,452	39,917	6.1%	2,447	44,002	5.6%	2,582	45,902	5.6%
Adults 21-44	1,046	17,020	6.1%	1,169	21,138	5.5%	1,194	23,747	5.0%	1,345	24,922	5.4%
Adults 45-64	1,043	10,951	9.5%	1,105	13,272	8.3%	1,073	14,492	7.4%	1,055	14,879	7.1%
Adults 65+	179	5,067	3.5%	178	5,507	3.2%	180	5,763	3.1%	182	6,101	3.0%
Alaskan Native or American Indian	29	347	8.4%	24	407	5.9%	28	447	6.3%	29	448	6.5%
Asian or Pacific Islander	209	5,016	4.2%	229	6,245	3.7%	224	7,207	3.1%	214	7,679	2.8%
Black	83	954	8.7%	87	1,146	7.6%	80	1,242	6.4%	94	1,304	7.2%
Hispanic	252	7,336	3.4%	293	9,407	3.1%	317	10,631	3.0%	357	11,353	3.1%
White	1,356	16,524	8.2%	1,480	19,404	7.6%	1,441	20,883	6.9%	1,529	21,349	7.2%
Other	31	490	6.3%	34	616	5.5%	35	649	5.4%	34	657	5.2%
Unknown	308	2,371	13.0%	305	2,692	11.3%	322	2,943	10.9%	325	3,112	10.4%
Female	1,387	18,716	7.4%	1,474	22,064	6.7%	1,430	24,097	5.9%	1,521	25,130	6.1%
Male	881	14,322	6.2%	978	17,853	5.5%	1,017	19,905	5.1%	1,061	20,772	5.1%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Adults and Older Adults at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

Penetration Rates* Report: Adults With At Least One SMHS Visit
Sutter/Yuba Counties as of March 22, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate
All	1,086	33,038	3.3%	1,132	39,917	2.8%	1,197	44,002	2.7%	1,271	45,902	2.8%
Adults 21-44	441	17,020	2.6%	477	21,138	2.3%	522	23,747	2.2%	594	24,922	2.4%
Adults 45-64	556	10,951	5.1%	572	13,272	4.3%	589	14,492	4.1%	583	14,879	3.9%
Adults 65+	89	5,067	1.8%	83	5,507	1.5%	86	5,763	1.5%	94	6,101	1.5%
Alaskan Native or American Indian	18	347	5.2%	13	407	3.2%	11	447	2.5%	11	448	2.5%
Asian or Pacific Islander	88	5,016	1.8%	95	6,245	1.5%	111	7,207	1.5%	112	7,679	1.5%
Black	35	954	3.7%	37	1,146	3.2%	43	1,242	3.5%	43	1,304	3.3%
Hispanic	105	7,336	1.4%	129	9,407	1.4%	156	10,631	1.5%	177	11,353	1.6%
White	670	16,524	4.1%	684	19,404	3.5%	688	20,883	3.3%	731	21,349	3.4%
Other	13	490	2.7%	13	616	2.1%	11	649	1.7%	12	657	1.8%
Unknown	157	2,371	6.6%	161	2,692	6.0%	177	2,943	6.0%	185	3,112	5.9%
Female	664	18,716	3.5%	686	22,064	3.1%	729	24,097	3.0%	771	25,130	3.1%
Male	422	14,322	2.9%	446	17,853	2.5%	468	19,905	2.4%	500	20,772	2.4%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Adults and Older Adults that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Y

Utilization Report*: Approved Specialty Mental Health Services for Adults - Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year*
Sutter County as of March 22, 2018

Fiscal Year	SDMC Total Approved	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 3,311	632	449	254	174	20	0	0	10	8	10	34	5	16
FY 14-15	\$ 3,330	565	403	237	189	30	0	330	13	0	8	8	47	19
FY 15-16	\$ 3,672	521	409	250	202	19	0	0	5	12	9	17	57	18
FY 16-17	\$ 3,901	344	359	261	213	23	0	0	2	0	14	25	85	18
MEAN	\$ 3,554	515	405	251	194	23	0	330	7	10	10	21	49	18

*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Snapshot Report: Unique Count of Adults Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year
Sutter County as of March 22, 2018**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Adults that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Adults receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Adults that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which Adults met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance &	A distinct category in which Adults had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 13-14	219	19.4%	140	12.4%	127	11.2%	142	12.6%	483	42.8%	18	1.6%	1,129	100%
FY 14-15	183	14.5%	131	10.4%	137	10.9%	197	15.7%	587	46.7%	23	1.8%	1,258	100%
FY 15-16	194	15.9%	148	12.2%	119	9.8%	175	14.4%	567	46.6%	14	1.2%	1,217	100%
FY 16-17	181	14.2%	153	12.0%	123	9.7%	171	13.4%	612	48.1%	32	2.5%	1,272	100%

Time to Step Down Report: Adults Stepping Down in SMHS Services Post Inpatient Discharge*
Sutter County as of March 22, 2018

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	^	^	18	46.2%	12	30.8%	0	0.0%	0	100	25.4	18
FY 14-15	^	^	^	^	^	^	^	^	0	144	23.9	4
FY 15-16	^	^	^	^	^	^	^	^	2	294	67.8	9
FY 16-17	^	^	^	^	^	^	0	0.0%	0	256	68.5	10

**No Step Down is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date.*

^ Data has been suppressed to protect patient privacy.

Utilization Report*: Approved Specialty Mental Health Services for Adults - Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year*
Yuba County as of March 22, 2018

Fiscal Year	SDMC Total Approved	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 2,684	623	505	220	146	13	0	0	0	0	7	26	16	17
FY 14-15	\$ 2,773	400	370	203	149	26	0	0	6	0	7	13	0	19
FY 15-16	\$ 2,609	387	349	217	183	24	0	0	0	0	15	2	0	12
FY 16-17	\$ 2,821	293	363	236	186	18	0	0	0	0	7	21	118	9
MEAN	\$ 2,722	426	397	219	166	20	0	0	6	0	9	15	67	14

**The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.*

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Snapshot Report: Unique Count of Adults Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year
Yuba County as of March 22, 2018**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Adults that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Adults receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Adults that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which Adults met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance &	A distinct category in which Adults had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 13-14	199	17.5%	162	14.2%	116	10.2%	152	13.3%	494	43.4%	16	1.4%	1,139	100%
FY 14-15	153	12.8%	129	10.8%	142	11.9%	176	14.7%	573	48.0%	21	1.8%	1,194	100%
FY 15-16	203	16.5%	144	11.7%	99	8.0%	169	13.7%	601	48.9%	14	1.1%	1,230	100%
FY 16-17	186	14.2%	129	9.8%	119	9.1%	183	14.0%	669	51.1%	24	1.8%	1,310	100%

Time to Step Down Report: Adults Stepping Down in SMHS Services Post Inpatient Discharge*
Yuba County as of March 22, 2018

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	0	0.0%	0	0.0%	^	^	0	0.0%	274	274	274.0	274
FY 14-15	^	^	^	^	^	^	^	^	8	248	66.5	31
FY 15-16	^	^	^	^	^	^	^	^	0	207	33.0	6
FY 16-17	14	60.9%	^	^	^	^	^	^	0	229	30.3	1

*No Step Down is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date.

^ Data has been suppressed to protect patient privacy.