

California Department of Health Care Services PASRR Facility Training

What is PASRR?

PASRR

- Preadmission Screening and Resident Review.
- Required by law per federal regulations 42 CFR 483.100-483.138.

Required

- For all Medicaid certified nursing facility applicants.
 - o Regardless of the individual's insurance type.
 - o Dependent on the facility's certification. Must be Medicaid Certified.

Goal of PASRR

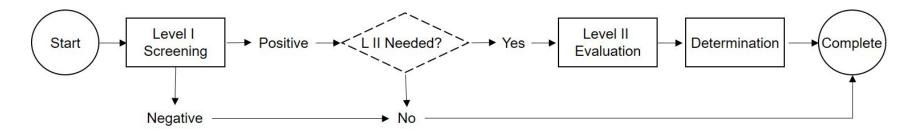
To determine if individuals with serious mental illness (SMI) and/or intellectual/developmental disability (ID/DD) or related conditions (RC) require:

- Nursing facility (NF) services, considering the least restrictive setting.
- Specialized services.

Achieved

• By completing the PASRR process.

PASRR Process



Level I Screening	Level II Evaluation	Determination
 Submitted online by the facility. Tool that helps identify possible SMI and/or ID/DD/RC. 	 Face-to-face evaluation that helps determine placement & specialized services. Department of Health Care Services (DHCS) is responsible for SMI Evaluations, which by law must be performed by a third party contractor. Department of Developmental Services (DDS) is responsible for ID/DD/RC Evaluations. 	 SMI Determinations will be available online and will include placement and treatment recommendations for the individual. ID/DD/RC Determinations will be issued by DDS according to their separate process.

Preadmission Compliance

- Currently, California PASRRs are completed post-admission.
- DHCS is working with Centers for Medicare & Medicaid Services (CMS) to reach preadmission compliance in the near future.

Types of Level I Screenings

Select "New Level I Screening" from the Dashboard. There are two types of Level I Screenings:

Initial Preadmission Screening (PAS)

Required:

• For all **new admissions**, submitted on the day of admission.

Resident Review (RR) (Status Update)

Required:

- For an Initial Preadmission Screening (PAS) or Resident Review (RR) that needs to be updated for current residents, readmissions, or inter-facility transfers due to one of the following reasons:
 - The individual experienced a **significant change** in their mental or physical condition. The Resident Review should be submitted as soon as the change is discovered.
 - The individual qualified for the **30-day exempted hospital discharge (EHD)**, but their stay has exceeded the 30 days and they are no longer exempt. The Resident Review should be submitted no later than the 40th calendar day after admission.
 - The previous PAS/RR screening was submitted with errors. The Resident Review should be submitted as soon as discovered.
 - The previous PAS/RR screening was closed as an Attempt or Unavailable and now the individual is available to participate. The Resident Review should be submitted as soon as the person is available. If the individual was discharged into the community, then upon return they should be treated as a new admission and a PAS would be required not a RR.

PASRR Definitions

New Admission	Individual who had never been admitted to your facility before; OR an individual who does not qualify as a readmission.			
Readmissions	An Individual who is already admitted to your facility; leaves to the hospital to receive care with return anticipated; and returns to your facility.			
Inter-facility Transfers	An individual who transfers from one nursing facility (NF) to another NF, with or without an intervening hospital stay. The transferring NF is responsible for ensuring copies of the individual's most recent PASRR accompany the transferring individual.			
30-Day Exempted Hospital Discharge (EHD)	 30-Day Exemption from the PASRR process if the following apply: The individual is admitted to a NF directly from a hospital after receiving acute inpatient care at the hospital; <u>AND</u> The individual requires NF services for the same condition for which the individual was being treated for at the hospital; <u>AND</u> The attending physician has certified before admission that the individual's stay will not exceed 30-days at the NF. 			
Significant Change	A decline or improvement in an individual's condition that requires revision of the care plan or level of care.			
ID/DD/RC	Severe and chronic disability that is attributable to a mental or physical impairment that begins before an individual reaches adulthood. These disabilities include intellectual disability, cerebral palsy, epilepsy, autism, and disabling conditions closely related to intellectual disability or requiring similar treatment.			

Enrollment

How to Enroll in the Online PASRR System

The facility is responsible for designating qualified staff for submitting the Level I Screening. It is recommended they have:

- Knowledge of medical terminology.
- Knowledge related to the medical history and current status of the resident.

Please complete the Facility Approver Certification Appointment form and email to ITServiceDesk@dhcs.ca.gov.

DHCS does not limit the number of staff a facility can have enrolled as a user. However, only 2 staff from the facility can be designated in the role of an Approver.

Please visit dhcs.ca.gov/pasrr for detailed instructions on submitting the form.

Emails

E-mail addresses cannot be shared or reassigned. Each enrollee
is required to have their own valid email address that has not
been assigned to anyone in the past, nor used by anyone
currently. A unique and secure facility email address is
recommended to avoid any HIPAA violations.

Roles

The role determines the enrollee's level of access in the PASRR system.

- Approver role is reserved for two contacts per facility that
 the facility administrator designates to approve staff access
 requests, view user list, edit user list, and reset the
 password for their personal PASRR account. The Approver
 role also has authorization to initiate and complete file
 transfers in the PASRR system.
- User role can access all PASRRs entered in their facility, view user list (read only view) and reset the password for their personal PASRR account. PASRR Facility Approvers submit a request to add a User through the Online PASRR System.

How to Request Enrollment for an Approver Role

Facility Approver Certification Appointment Form

This section provides instructions on the process to complete the Facility Approver Certification Appointment Form and email it to the IT Service Desk.

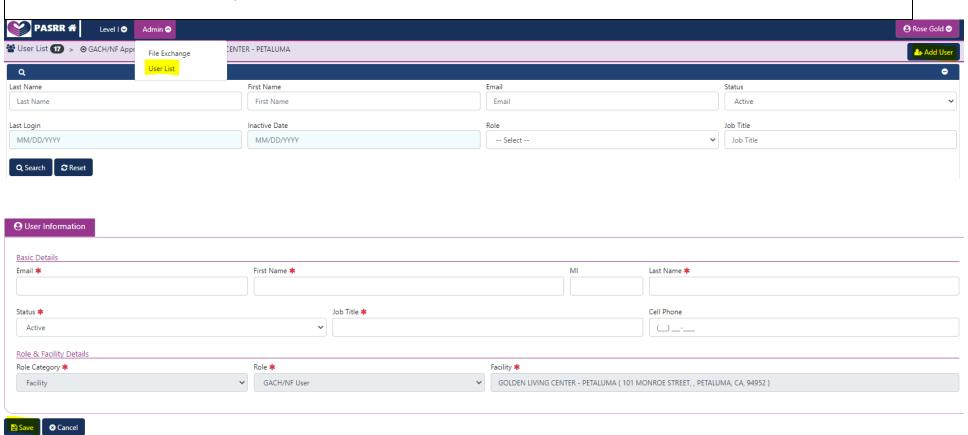
Instructions

- To ensure the confidentiality of facilities PASRR data, DHCS requests the facility administrator designate two contacts for approving staff requests for access in the PASRR system.
- The Approvers will be responsible for adding users, removing users, and electronic PASRR File Exchanges.
- The facility administrator needs to choose facility type, provide Approver 1 information, provide Approver 2 information, sign the form and email the form to ITServiceDesk@dhcs.ca.gov
- Once the request is approved by DHCS, please follow the instructions in the Azure Registration Manual, located at dhcs.ca.gov/pasrr under the "Training" tab to complete your registration.

				Department of Heal	th Care Services
Health and Human Se					
• • •	rtification Appointme				
For access to Preadm	ission Screening and	Resident Review (P	'ASRR) System		
Facility Name:					
Facility Address:					
Facility Phone:					
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Facility Type: SI	killed Nursing Facility (SNF) Gene	ral Acute Care Hospital (G	SACH)	
To ensure the confider	ntiality of facilities DASI	DD data the Denartm	ent of Health Care Service	se requests the Eacility	/ Δdministrator
	•				
•			requests for access to the	e confidential patient d	ata in the PASKK
•	will also be responsible		-		
			d form to <u>ITServiceDesk@</u>		
the signer's (Facility A	dministrator) email acc	ount. Hand written an	d scanned forms will not b	e accepted. If you hav	e any questions,
please email to ITServ	riceDesk@dhcs.ca.gov				
Add Approvers:					
Add Approvers: Last Name	First Name	Job Title	Cell Phone Number	Email Addres	s Status
	First Name	Job Title	Cell Phone Number	Email Addres	s Status Add
Last Name	First Name	Job Title	Cell Phone Number	Email Addres	
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Last Name	First Name	Job Title Job Title	Cell Phone Number	Email Addres	Add Add Status
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How an Approver can "Add User" in the PASRR System

- Click the User List (either from "Admin" dropdown menu or "User List" quick link).
 Click "Add User" button (top right).
- 3. Fill in required User Information (Email, First Name, Last Name, Title, etc.).
- 4. Click the "Save" button.
- Please do not change the first and last name once saved. E-mails cannot be reassigned to new staff. Each staff must have their own unique e-mail address.



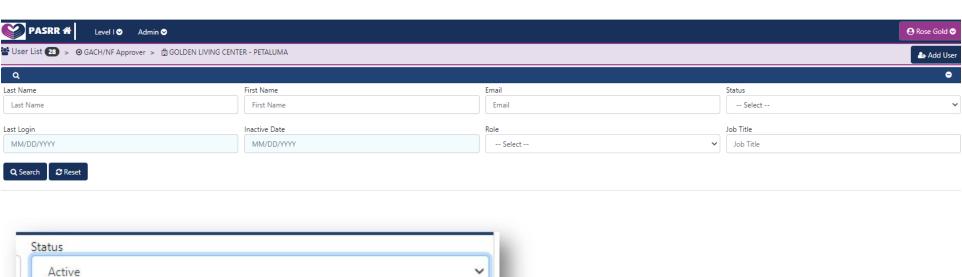
How an Approver can "Add User" in the PASRR System (Con't.)

Last Name	First Name	Email	Status	Last Login 🕴	Inactive Date	Role	Job Title	Action
Yellow	Mellow	MellowYellow@demo.com	Active	N/A	N/A	• GACH/NF User	RN	Φ
Yellow	Mellow	MellowYellowDemo@yahooo.com	Active	N/A	N/A	→ GACH/NF User	DON	Φ

• The **red bell icon** indicates the request is pending approval from DHCS. An email is automatically generated to the DHCS IT Service Desk and sent to PASRR for approval. Once approved, the bell icon will disappear and an email will be sent to the user with instructions on how to log in.

How a User/Approver can view their facility PASRR accounts

- 1. Click the User List (either from "Admin" dropdown menu or "User List" quick link).
- 2. Click on the "Status" field (far right top) and choose the "Select" option.
- This allows User/Approvers to view all "Inactive" or "Active" accounts in the PASRR system.
- Only Approvers can edit the User's information.



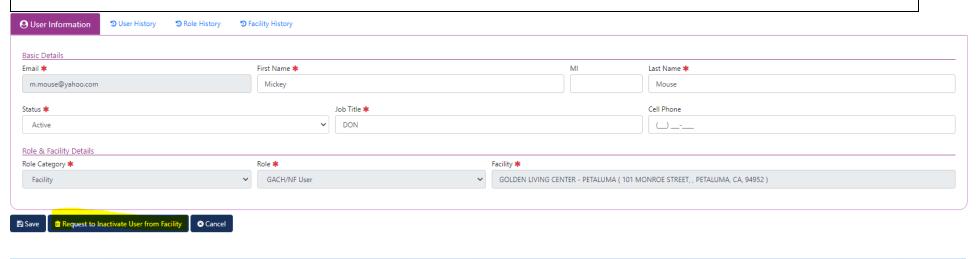


How an Approver can "Inactivate" User accounts in the PASRR System

- 1. Click the User List (either from "Admin" dropdown menu or "User List" quick link).
- 2. Ensure the User List is showing "Active" accounts. You can do this by clicking on the "Status" field (far right top) and selecting "Active". Click on the "Edit" button under the Action column.



- 3. Click "Request to Inactivate User from the Facility".
- 4. A red bell icon will appear under the Action column on the "User List". Once the request is approved by DHCS, the red bell icon will disappear.



Last Name	First Name	Email	Status	Last Login 🏺	Inactive Date	Role	Job Title	Action
Yellow	Mellow	MellowYellow@demo.com	Active	N/A	N/A	- GACH/NF User	RN	۵
Yellow	Mellow	MellowYellowDemo@yahooo.com	Active	N/A	N/A	- GACH/NF User	DON	Φ

How an Approver can "Activate" an Inactive User's account

1. Click the User List (either from "Admin" dropdown menu or "User List" quick link). 2. Click on the "Status" field (far right top) and select "Inactive". 3. Click on the "Edit" button under Action column. 4. Status has now changed from "Inactive" to "Active". 5. Click "Save" button. **9** User Information 3 User History 3 Role History 5 Facility History Basic Details Fmail * First Name * Last Name * msmith@yahoo.com Cell Phone Job Title * (_) _--__ Active Role & Facility Details Role * Role Category * Facility * GACH/NF User GOLDEN LIVING CENTER - PETALUMA (101 MONROE STREET, , PETALUMA, CA, 94952) Facility

How an Approver can change an email address for a User account in the PASRR System

- 1. Follow instructions to "Inactivate" current account.
- 2. Follow instructions to "Add New User".

Cancel

• Please note the current account must be inactivated before a new account is added.

How an Approver can initiate an Electronic File Exchange

Facilities can electronically transfer completed PASRR screenings and documents from one facility to another. **Only Approvers may** initiate or accept/decline an electronic file transfer.

- 1. Click on the "File Exchange List" (either from "Admin" dropdown menu or "File Exchange" quick link).
- 2. Select most recent PASRR for the resident. Please note the case must be **closed** to transfer the screening to a different facility.
- 3. Click on the file exchange button under action to initiate the exchange to a different facility.

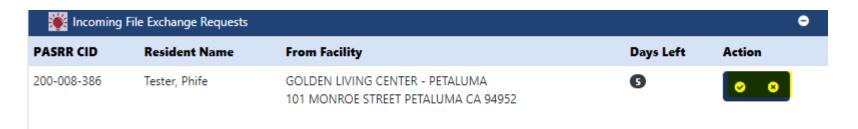


4. Select the facility that you are sending the Level I Screening to (please verify the name of the facility/address is correct) and click the "**Ok**" button. An email confirmation is generated to the Approver role of the sending facility and the Approver role of the receiving facility.



How an Approver can initiate an Electronic File Exchange (Con't.)

5. The Approver in the receiving facility will see an "Incoming File Exchange Requests" widget on their dashboard. A file exchange should only be accepted after the resident is admitted to your facility. The Approver can accept, or decline the file exchange under the "Action" column in the "Incoming File Exchange Requests" dashboard widget. The file exchange request will expire in five calendar days if not accepted by the receiving facility. If the file exchange request expires, the Approver can initiate it again.



New Level 1 Screening

Facility Information (auto-populated by system)

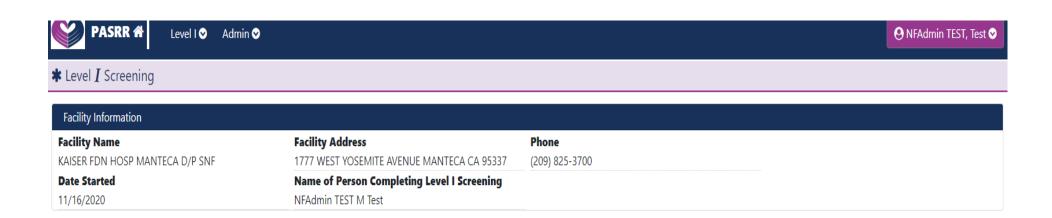
This section auto-populates with date, name and address of the facility, and name of the person completing the form.

Date Started

- Cannot be edited or backdated.
- Date used for TAR reimbursement.

Facility information

- · Name and address of facility.
- Name and email of staff completing the form.
- If this is not your facility or name, please stop and contact DHCS IT Service Desk.



Section I- Individual Information

Questions 1-3 This section helps identify the individual, screening type, and determines if the individual qualifies for Exempted Hospital Discharge (EHD).

Question 1. Individual Information- Red Asterisk (required information)

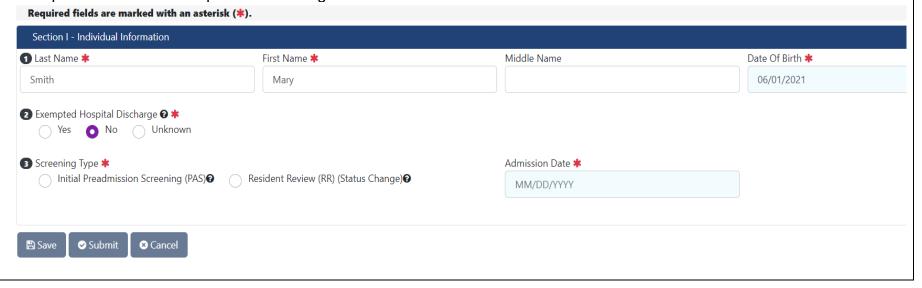
- Name of resident.
- Date of birth.

Question 2. Does resident qualify for Exempted Hospital Discharge?

- Answer "yes" if all three criteria apply: (1) discharged from medical facility to Medicaid NF, (2) the individual requires NF services for same condition as hospital stay, and (3) attending physician certifies the individual will be staying less than 30 days.
- If #2 is "yes", screening will close with No Need Letter generated. If #2 is "no", continue to question #3.

Question 3. Screening type: PAS or RR

- Select screening type and admission date.
- This question checks for duplicate screenings.



Please Note: Once this section is completed, the PASRR CID# is automatically assigned and the case status changes to "In Progress".

- "In Progress" cases can be edited with the pencil icon from the Dashboard or Level I Cases list.
- Screenings left "In Progress" will be deleted from the PASRR system if not submitted within two weeks.

Section II- Intellectual or Developmental Disability (ID)/ (DD) or Related Condition (RC) Questions 4-9

This section helps identify a suspected or diagnosed intellectual/developmental disability. If "yes", then it is automatically sent to the California Department of Developmental Services (DDS). Please contact DDS at (916) 654-1954 for questions related to this section.

Question 4

Is there a suspected or diagnosed ID/DD/RC?

Question 5

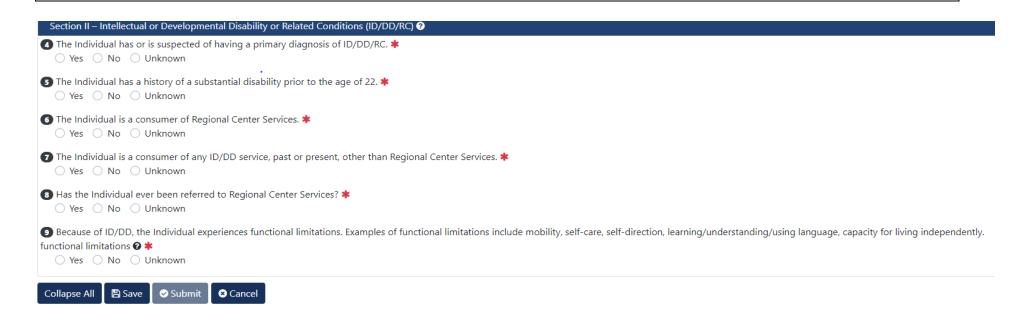
Does the individual have a history of a substantial disability prior to 22 years of age?

Question 6, 7, and 8

• Is the individual a consumer of Regional Center Services or been referred to RCS?

Question 9

• Due to ID/DD or RC, does the individual experience functional limitations?



Section III- Mental IIIness Questions 10-12

This section helps determine if the individual may have a serious mental illness and benefit from specialized services.

Question 10. Diagnosed Mental Illness

- Is there a diagnosis of mental illness?
- If "yes", question #11 is not required.

Question 11. Suspected Mental Illness

- If no diagnosis, do you suspect a mental illness?
- If "yes", a text box will appear for you to provide more details regarding the suspected mental illness.

Question 12. Psychotropic Medication

• If "yes" a text box will appear to list all the names of prescribed psychotropic medications for mental illness.

Section III- Serious Mental Illness ?
Diagnosed Mental Illness © 10 Does the Individual have a diagnosed mental disorder such as Depression, Anxiety, Panic, Schizophrenia/Schizoaffective Disorder, Psychotic, Delusional, and/or Mood Disorder? *
○ Yes ○ No Suspected Mental Illness
ff After observing the Individual or reviewing their records, do you believe the Individual may be experiencing serious depression or anxiety, unusual or abnormal thoughts, extreme difficulty coping, or significantly unusual pehaviors?
○ Yes ○ No
Psychotropic Medication @
12 The Individual has been prescribed psychotropic medications for mental illness. 2
O Yes O No
Explain

Section IV-Categorical Determination

Questions 13-16

This section helps identify if an individual has a categorical condition, thus preventing them from benefiting from specialized services.

Questions 13 and 13a

- Does the individual require less than a 15 day stay?
- If "yes", 13a must be answered.

Questions 14 and 14a

- Due to the severe physical condition, will the individual have difficulty communicating their needs?
- If "yes", 14a must be answered. Please provide the physical diagnoses that causes the individual to require Nursing
 Facility care, followed by the specific conditions or reasons that prevent the individual from participating in specialized
 services.

Question 15

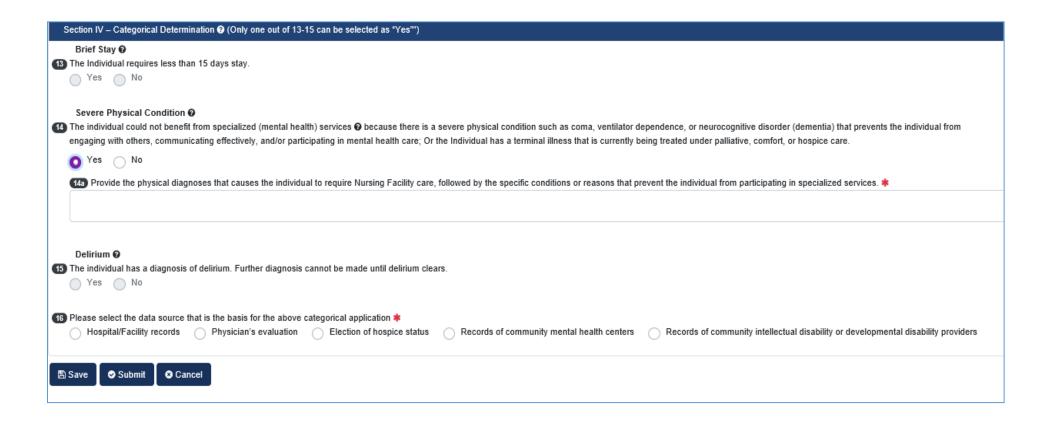
Does the resident have delirium?

If questions 13, 14 or 15 are answered "yes", question #16 is required.

Question 16 (Election of hospice status is only available if question #14 is "yes")

Select data source for basis for the categorical application.

Section IV-Categorical Determination (Con't)



Categorical?

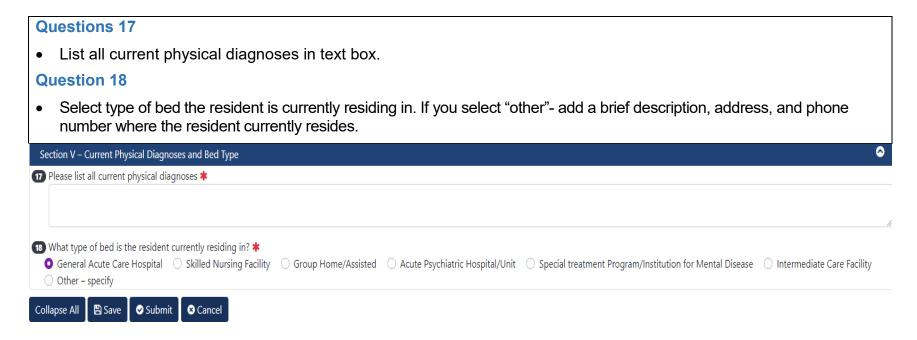
Yes → If one "Yes" is selected for #13-#15 → Complete #16 → Screening will close → Sent to DHCS for review → Case closed & Categorical letter generated

No → If all "No" selected for #13-#15 → Continue to Current Physical Diagnosis & Bed Type Section

Section V- Current Physical Diagnoses and Bed Type

Questions 17-18

This section helps identify current physical diagnosis and bed type.



Click the Submit button to submit screening. Pop up message will appear after completed.



Do not close out of your browser early. Allow the system to refresh to the Level I Cases list and verify your case is now visible.

Level I Corrections

The Level I Screening should always reflect the individual's current condition. We recommend checking if a Resident Review is needed during a facility's annual or quarterly MDS reviews.

"In Progress" Screenings:

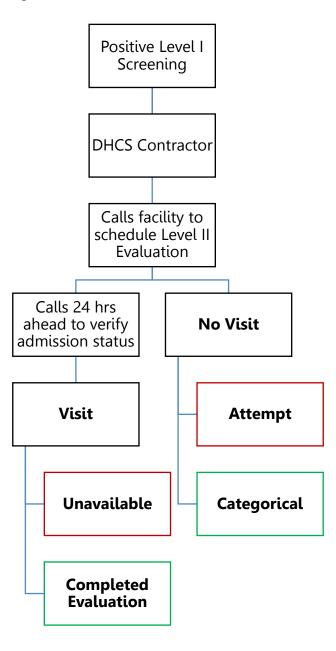
- Can be edited by clicking on the pencil icon on your Dashboard or Level I Cases list.
- Will automatically delete from the PASRR system after two weeks if not submitted.

Submitted Screenings:

- Cannot be edited, even by DHCS.
- For minor demographic errors, such as misspelling of the first name or entering the wrong date of birth, make hand written corrections and initial on the printed Level I Screening for your records and TAR submission.
- For major demographic and/or clinical errors, such as entering the wrong last name or selecting the wrong option during the clinical questions, submit a new screening as a Resident Review (RR) (Status Update).

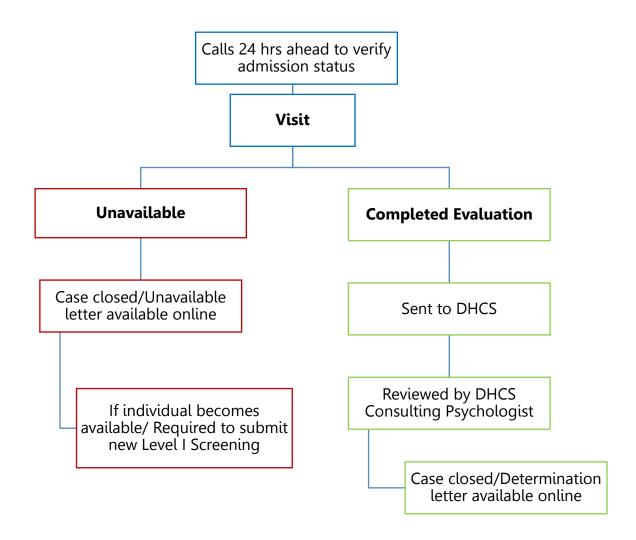
Level II Process

Positive Level I Screenings are automatically sent to the DHCS contractor for review and processing. When they call to schedule the Level II, they will be asking questions containing PHI to determine if an evaluation is deemed necessary.



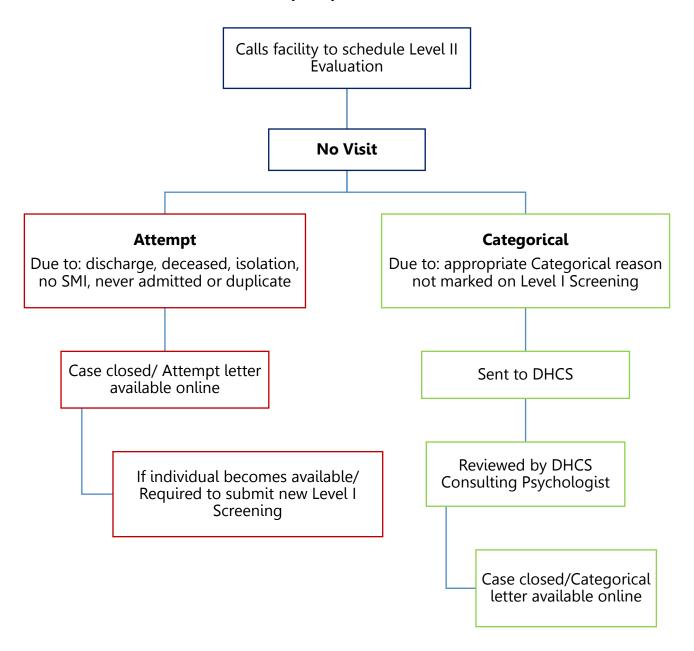
Visit

If the contractor deems a Level II Evaluation is necessary, they will schedule a visit. The visit may be conducted face-to-face or via telehealth. During a face-to-face visit, the evaluator will travel to the facility and upon arrival, they will present a letter of introduction and ID badge. They will need to have access to the medical records along with conducting a face-to-face evaluation with the individual.



No Visit

If the contractor deems a Level II Evaluation is not necessary, they will not schedule a visit.



Appeals

Request for Reconsideration

If the resident, facility, and/or conservator disagrees with the DHCS Level II Determination, please submit a PASRR Request for Reconsideration. The Reconsideration form is located on the DHCS PASRR website at dhcs.ca.gov/pasrr. Please send the completed form to DHCS.

Mail:

Department of Health Care Services Clinical Assurance Division PASRR Section PO Box 997419 MS 4507 Sacramento CA 95899-7419

Fax: (916) 319-0980

E-mail: PASRR@dhcs.ca.gov

When DHCS receives the Reconsideration Request, clinical staff will review the case, resulting in modified recommendation(s) or no changes to the original Determination.

Request a State Hearing

If still dissatisfied with the reconsideration process, a State Fair Hearing may be requested from the California Department of Social Services (CDSS).

Mail:

Department of Social Services State Fair Hearing Division P.O. Box 944243 Mail Station 9-17-37 Sacramento, CA 94244-2430

Phone: 1-800-952-5253

Contact

DHCS

Please visit our PASRR website at dhcs.ca.gov/pasrr

All PASRR questions/requests need to be directed to the DHCS IT Service Desk. IT Service Desk requires a first name, last name, and phone number before a work order ticket can be created.

For service requests including: adding/deleting approvers to your facility, please include the Facility Approver Certification Form located on the PASRR website. For questions related to a Level I Screening, Level II Evaluation, or Determination, please include the PASRR CID# in the email request.

DHCS IT Service Desk can be reached by email or by phone:

Email: ITServiceDesk@dhcs.ca.gov

Phone: (916) 440-7000 and select option 1

Support is available Monday through Friday from 7:00am – 5:00pm. Requests will not be processed after business hours, weekends, or state holidays.

Field Office for Treatment Authorization Requests (TAR)

The DHCS PASRR Section does not have jurisdiction over TAR submissions. If you have questions related to TAR submissions or payment, please contact the Field Office.

Phone: 1-800-541-5555

DDS

For questions related to ID/DD/RC Level II Evaluations/Determinations, please contact DDS.

Phone: (916) 654-1954 **Fax**: (916) 654-3256