

# Performance Outcomes System

Report run on August 3, 2017

## Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data; population-based county groups; and county-specific data. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

## Purpose and Overview

These county-specific reports provide updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth under 21 who are receiving SMH' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

## Definitions

**Population** - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

## Data Sources -

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 12/13 through FY 15/16.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 12/13 through FY15/16.

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## Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

### Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

## Report Interpretation

\*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

\*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

\*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

\*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

Please contact [cmhpos@dhcs.ca.gov](mailto:cmhpos@dhcs.ca.gov) for any questions regarding this report.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year  
San Joaquin County as of August 3, 2017**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 12-13	3,962		125,293	
FY 13-14	4,486	13.2%	140,155	11.9%
FY 14-15	4,214	-6.1%	146,401	4.5%
FY 15-16	4,099	-2.7%	150,530	2.8%
<b>Compound Annual Growth Rate SFY**</b>		<b>1.1%</b>		<b>6.3%</b>

\*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

\*\*SFY = State Fiscal Year which is July 1 through June 30.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year  
San Joaquin County as of August 3, 2017**

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 12-13	17	0.4%	162	4.1%	748	18.9%	1,525	38.5%	1,103	27.8%	34	0.9%	373	9.4%
FY 13-14	16	0.4%	190	4.2%	839	18.7%	1,772	39.5%	1,217	27.1%	34	0.8%	418	9.3%
FY 14-15	11	0.3%	179	4.2%	800	19.0%	1,618	38.4%	1,146	27.2%	33	0.8%	427	10.1%
FY 15-16	12	0.3%	159	3.9%	746	18.2%	1,633	39.8%	1,084	26.4%	32	0.8%	433	10.6%

*Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.*

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year  
San Joaquin County as of August 3, 2017**

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 12-13	169	4.3%	281	7.1%	1,198	30.2%	1,705	43.0%	609	15.4%
FY 13-14	234	5.2%	320	7.1%	1,313	29.3%	1,950	43.5%	669	14.9%
FY 14-15	238	5.6%	250	5.9%	1,125	26.7%	1,922	45.6%	679	16.1%
FY 15-16	167	4.1%	257	6.3%	1,292	31.5%	1,790	43.7%	593	14.5%

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year  
San Joaquin County as of August 3, 2017**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 12-13	1,728	43.6%	2,234	56.4%
FY 13-14	2,024	45.1%	2,462	54.9%
FY 14-15	1,910	45.3%	2,304	54.7%
FY 15-16	1,897	46.3%	2,202	53.7%

**Penetration Rates\* Report: Children and Youth with At Least One SMHS Visit\*\*  
San Joaquin County as of August 3, 2017**

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
<b>All</b>	<b>3,962</b>	<b>125,293</b>	<b>3.2%</b>	<b>4,486</b>	<b>140,155</b>	<b>3.2%</b>	<b>4,214</b>	<b>146,401</b>	<b>2.9%</b>	<b>4,099</b>	<b>150,530</b>	<b>2.7%</b>
Children 0-2	169	21,610	0.8%	234	22,272	1.1%	238	22,719	1.0%	167	22,807	0.7%
Children 3-5	281	21,585	1.3%	320	22,664	1.4%	250	22,763	1.1%	257	22,921	1.1%
Children 6-11	1,198	37,994	3.2%	1,313	43,279	3.0%	1,125	45,549	2.5%	1,292	46,917	2.8%
Children 12-17	1,705	31,050	5.5%	1,950	36,355	5.4%	1,922	38,120	5.0%	1,790	40,290	4.4%
Youth 18-20	609	13,054	4.7%	669	15,585	4.3%	679	17,250	3.9%	593	17,595	3.4%
Alaskan Native or American Indian	17	249	6.8%	16	269	5.9%	11	270	4.1%	12	259	4.6%
Asian or Pacific Islander	162	15,057	1.1%	190	17,366	1.1%	179	18,003	1.0%	159	18,268	0.9%
Black	748	12,930	5.8%	839	13,543	6.2%	800	13,834	5.8%	746	13,709	5.4%
Hispanic	1,525	65,268	2.3%	1,772	71,645	2.5%	1,618	74,727	2.2%	1,633	77,579	2.1%
White	1,103	19,350	5.7%	1,217	21,284	5.7%	1,146	21,897	5.2%	1,084	21,106	5.1%
Other	34	1,107	3.1%	34	1,244	2.7%	33	1,079	3.1%	32	868	3.7%
Unknown	373	11,332	3.3%	418	14,804	2.8%	427	16,591	2.6%	433	18,741	2.3%
Female	1,728	61,478	2.8%	2,024	68,922	2.9%	1,910	71,613	2.7%	1,897	73,461	2.6%
Male	2,234	63,815	3.5%	2,462	71,233	3.5%	2,304	74,788	3.1%	2,202	77,069	2.9%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Children and Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

**Penetration Rates\* Report: Children and Youth with Five or More SMHS Visits\*\*  
San Joaquin County as of August 3, 2017**

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
<b>All</b>	<b>2,522</b>	<b>125,293</b>	<b>2.0%</b>	<b>2,891</b>	<b>140,155</b>	<b>2.1%</b>	<b>2,948</b>	<b>146,401</b>	<b>2.0%</b>	<b>2,869</b>	<b>150,530</b>	<b>1.9%</b>
Children 0-2	47	21,610	0.2%	65	22,272	0.3%	179	22,719	0.8%	98	22,807	0.4%
Children 3-5	188	21,585	0.9%	171	22,664	0.8%	198	22,763	0.9%	189	22,921	0.8%
Children 6-11	838	37,994	2.2%	901	43,279	2.1%	840	45,549	1.8%	992	46,917	2.1%
Children 12-17	1,106	31,050	3.6%	1,354	36,355	3.7%	1,343	38,120	3.5%	1,260	40,290	3.1%
Youth 18-20	343	13,054	2.6%	400	15,585	2.6%	388	17,250	2.2%	330	17,595	1.9%
Alaskan Native or American Indian	14	249	5.6%	14	269	5.2%	^	270	^	^	259	^
Asian or Pacific Islander	101	15,057	0.7%	114	17,366	0.7%	103	18,003	0.6%	108	18,268	0.6%
Black	468	12,930	3.6%	536	13,543	4.0%	557	13,834	4.0%	508	13,709	3.7%
Hispanic	923	65,268	1.4%	1,110	71,645	1.5%	1,106	74,727	1.5%	1,096	77,579	1.4%
White	712	19,350	3.7%	817	21,284	3.8%	836	21,897	3.8%	813	21,106	3.9%
Other	22	1,107	2.0%	22	1,244	1.8%	^	1,079	^	^	868	^
Unknown	282	11,332	2.5%	278	14,804	1.9%	316	16,591	1.9%	312	18,741	1.7%
Female	1,093	61,478	1.8%	1,270	68,922	1.8%	1,332	71,613	1.9%	1,303	73,461	1.8%
Male	1,429	63,815	2.2%	1,621	71,233	2.3%	1,616	74,788	2.2%	1,566	77,069	2.0%

\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.



**Utilization Report\*: Approved Specialty Mental Health Services for Children and Youth  
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year  
San Joaquin County as of August 3, 2017**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 12-13	\$ 3,989.71	0	0	201	944	2,871	260	182	25	597	280	4	7	10	16	141	9
FY 13-14	\$ 3,885.04	984	636	204	930	2,340	279	206	27	553	84	5	0	10	15	30	14
FY 14-15	\$ 4,594.38	1,692	1,117	213	1,073	2,723	299	213	24	481	586	9	0	9	13	0	10
FY 15-16	\$ 4,204.48	1,443	873	182	1,049	2,124	254	200	28	1,019	646	5	0	9	24	94	16
<b>MEAN</b>	<b>\$ 4,168.40</b>	<b>1,373</b>	<b>875</b>	<b>200</b>	<b>999</b>	<b>2,515</b>	<b>273</b>	<b>200</b>	<b>26</b>	<b>662</b>	<b>399</b>	<b>6</b>	<b>7</b>	<b>9</b>	<b>17</b>	<b>88</b>	<b>12</b>

\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Children and Youth Receiving SMHS  
Arriving, Exiting, and with Service Continuance by Fiscal Year  
San Joaquin County as of August 3, 2017**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for <b>Arrivals</b> and <b>Exiting</b> above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 12-13	879	22.2%	253	6.4%	305	7.7%	626	15.8%	1,829	46.2%	70	1.8%	3,962	100%
FY 13-14	915	20.4%	300	6.7%	355	7.9%	717	16.0%	2,096	46.7%	103	2.3%	4,486	100%
FY 14-15	904	21.5%	304	7.2%	339	8.0%	854	20.3%	1,685	40.0%	128	3.0%	4,214	100%
FY 15-16	814	19.9%	299	7.3%	313	7.6%	834	20.3%	1,689	41.2%	150	3.7%	4,099	100%

**Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge\***  
**San Joaquin County as of August 3, 2017**

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 12-13	85	90.4%	^	^	^	^	^	^	0	257	6.3	0
FY 13-14	133	95.7%	^	^	^	^	^	^	0	33	0.9	0
FY 14-15	168	91.8%	^	^	^	^	^	^	0	272	4.2	0
FY 15-16	140	88.1%	^	^	^	^	^	^	0	232	3.8	0

\* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

^ Data has been suppressed to protect patient privacy.