

Performance Outcomes System Children with an Open Child Welfare Case County Report

Report run on August 3, 2016

Background

Two reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data and population-based county groups. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

This county aggregate report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of Open Child Welfare case children and youth under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population: Open Child Welfare beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

Data Sources:

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 11/12 through FY 14/15.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 11/12 through FY 14/15.
- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 11/12 through FY 14/15.

Performance Outcomes System Children with an Open Child Welfare Case County Reports

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*Population-based report findings may be interpreted alongside the POS statewide report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies youth and their service usage for the two most recent fiscal years. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of the hospital the patient is discharged from and whom has been attributed the time to next service in days used in the calculations for this indicator.

***Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

***Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

***Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

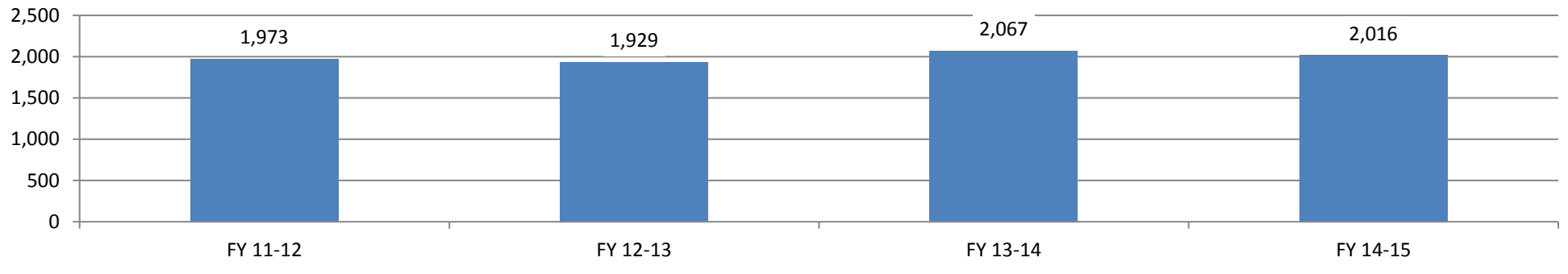
Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year

Alameda County as of August 3, 2016

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Child Welfare Youth in Medi-Cal	Year-Over-Year Percentage Change
FY 11-12	1,973		3,273	
FY 12-13	1,929	-2.2%	3,208	-2.0%
FY 13-14	2,067	7.2%	3,436	7.1%
FY 14-15	2,016	-2.5%	3,460	0.7%
Compound Annual Growth Rate SFY**		0.7%		1.9%

Unique Count of Children and Youth Receiving SMHS



*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

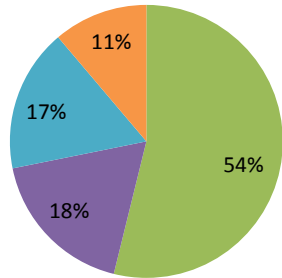
**SFY = State Fiscal Year which is July 1 through June 30.

Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
 Alameda County as of August 3, 2016

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 11-12	1,063	53.9%	355	18.0%	335	17.0%	220	11.2%
FY 12-13	1,030	53.4%	349	18.1%	322	16.7%	228	11.8%
FY 13-14	1,080	52.2%	380	18.4%	328	15.9%	279	13.5%
FY 14-15	1,040	51.6%	411	20.4%	304	15.1%	261	12.9%

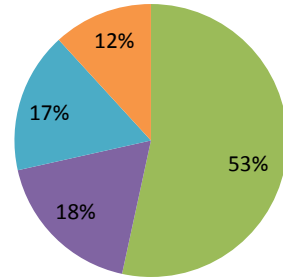
Fiscal Year 11-12 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



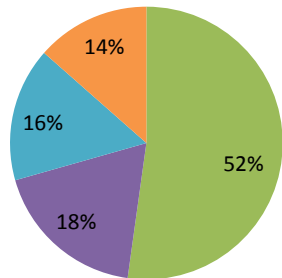
Fiscal Year 12-13 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



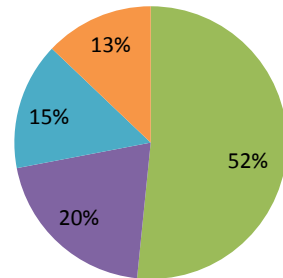
Fiscal Year 13-14 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



Fiscal Year 14-15 Race Distribution

■ Black ■ Hispanic ■ White ■ Other

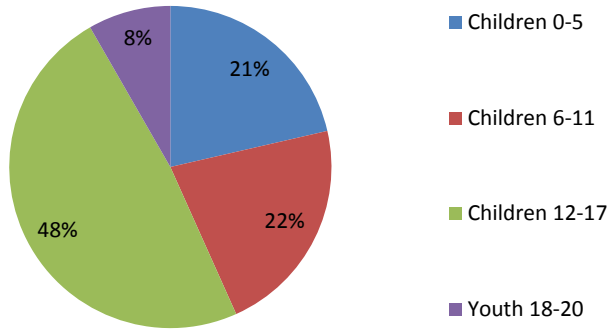


Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.

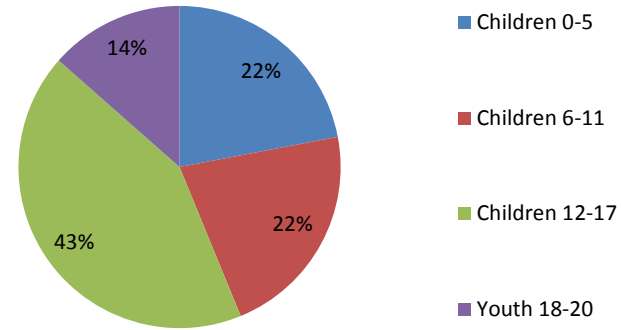
Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
 Alameda County as of August 3, 2016

Fiscal Year	Children 0-5 Count	Children 0-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 11-12	422	21.4%	432	21.9%	955	48.4%	164	8.3%
FY 12-13	424	22.0%	421	21.8%	824	42.7%	260	13.5%
FY 13-14	434	21.0%	446	21.6%	858	41.5%	329	15.9%
FY 14-15	428	21.2%	434	21.5%	805	39.9%	349	17.3%

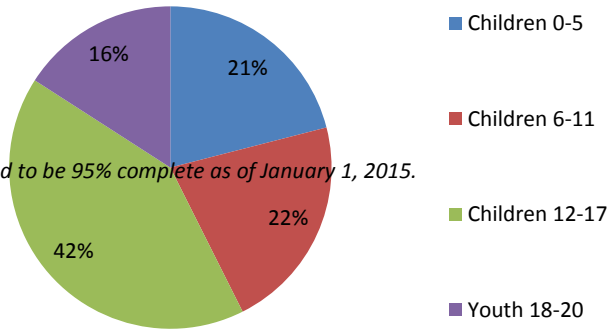
Fiscal Year 11-12 Age Group Distribution



Fiscal Year 12-13 Age Group Distribution

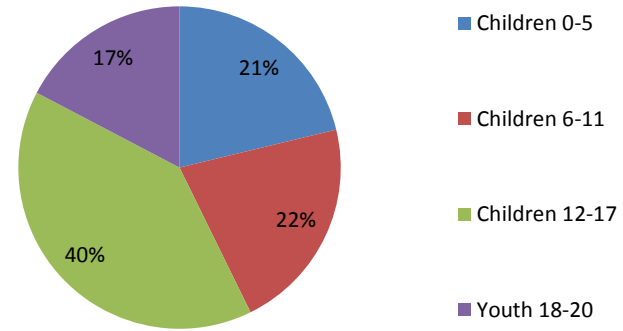


Fiscal Year 13-14 Age Group Distribution



*FY 13-14 claims are estimated to be 95% complete as of January 1, 2015.

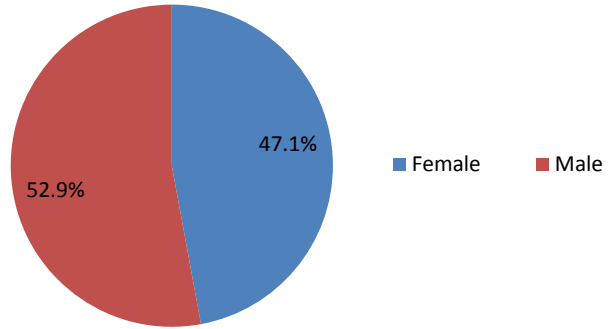
Fiscal Year 14-15 Age Group Distribution



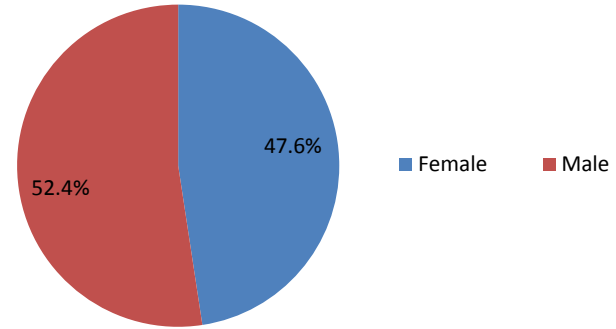
Demographics Report: Unique Count of Children and Youth with an Open Child Welfare Case Receiving SMHS by Fiscal Year
Alameda County as of August 3, 2016

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 11-12	930	47.1%	1,043	52.9%
FY 12-13	919	47.6%	1,010	52.4%
FY 13-14	998	48.3%	1,069	51.7%
FY 14-15	956	47.4%	1,060	52.6%

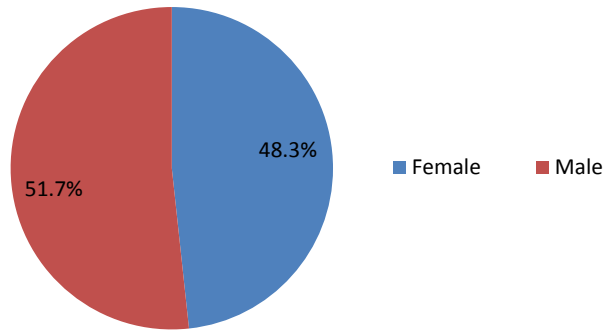
Fiscal Year 11-12 Gender Distribution



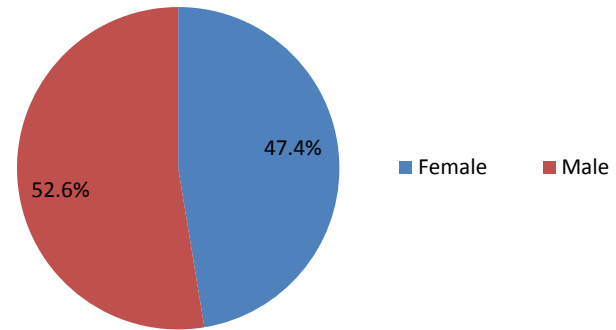
Fiscal Year 12-13 Gender Distribution



Fiscal Year 13-14 Gender Distribution



Fiscal Year 14-15 Gender Distribution

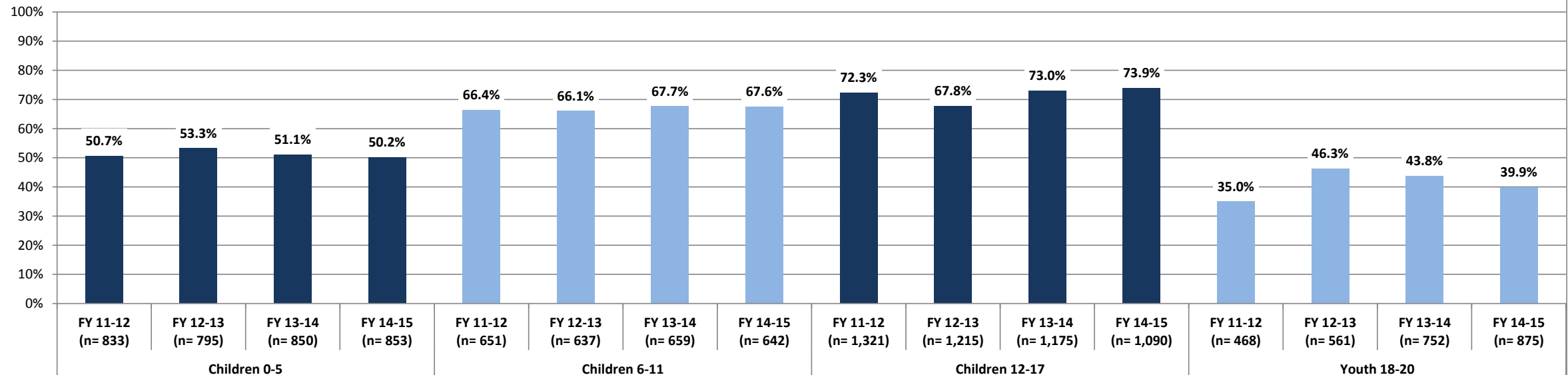


Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with At Least One SMHS Visit**
Alameda County as of August 3, 2016

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 1 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate
All	1,973	3,273	60.3%	1,929	3,208	60.1%	2,067	3,436	60.2%	2,016	3,460	58.3%
Children 0-5	422	833	50.7%	424	795	53.3%	434	850	51.1%	428	853	50.2%
Children 6-11	432	651	66.4%	421	637	66.1%	446	659	67.7%	434	642	67.6%
Children 12-17	955	1,321	72.3%	824	1,215	67.8%	858	1,175	73.0%	805	1,090	73.9%
Youth 18-20	164	468	35.0%	260	561	46.3%	329	752	43.8%	349	875	39.9%
Black	1,063	1,583	67.2%	1,030	1,579	65.2%	1,080	1,686	64.1%	1,040	1,741	59.7%
Hispanic	355	627	56.6%	349	623	56.0%	380	708	53.7%	411	705	58.3%
White	335	488	68.6%	322	478	67.4%	328	493	66.5%	304	475	64.0%
Other	220	575	38.3%	228	528	43.2%	279	549	50.8%	261	539	48.4%
Female	930	1,478	62.9%	919	1,408	65.3%	998	1,539	64.8%	956	1,577	60.6%
Male	1,043	1,795	58.1%	1,010	1,800	56.1%	1,069	1,897	56.4%	1,060	1,883	56.3%

Penetration Rates by Age

Children and Youth with an Open Child Welfare Case With At Least One SMHS Visit, By Fiscal Year**



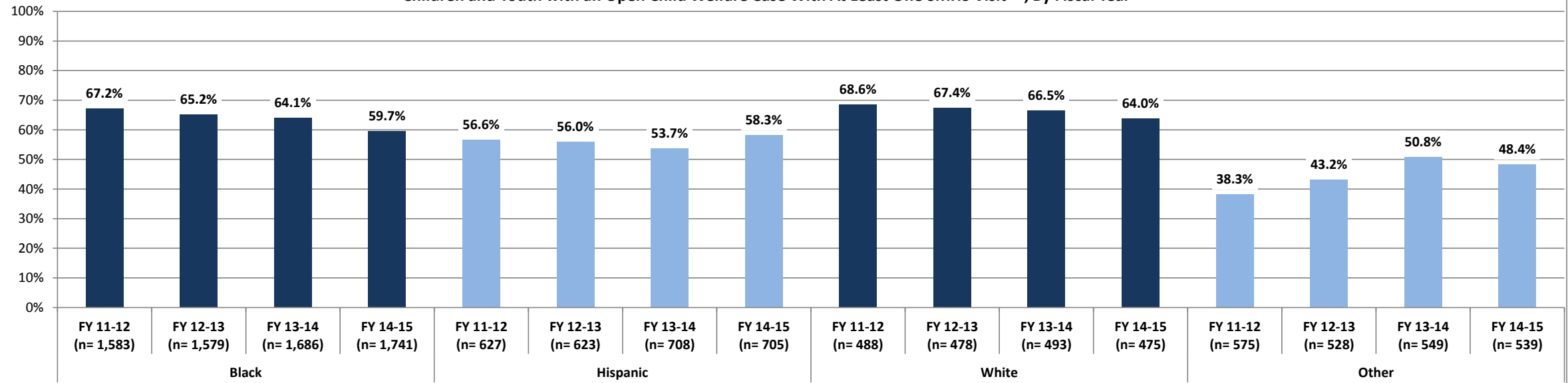
*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care sy.

**Children and Youth with an Open Child Welfare Case that have received at least one SMHS in the Fiscal Year.

Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with At Least One SMHS Visit**
Alameda County as of August 3, 2016

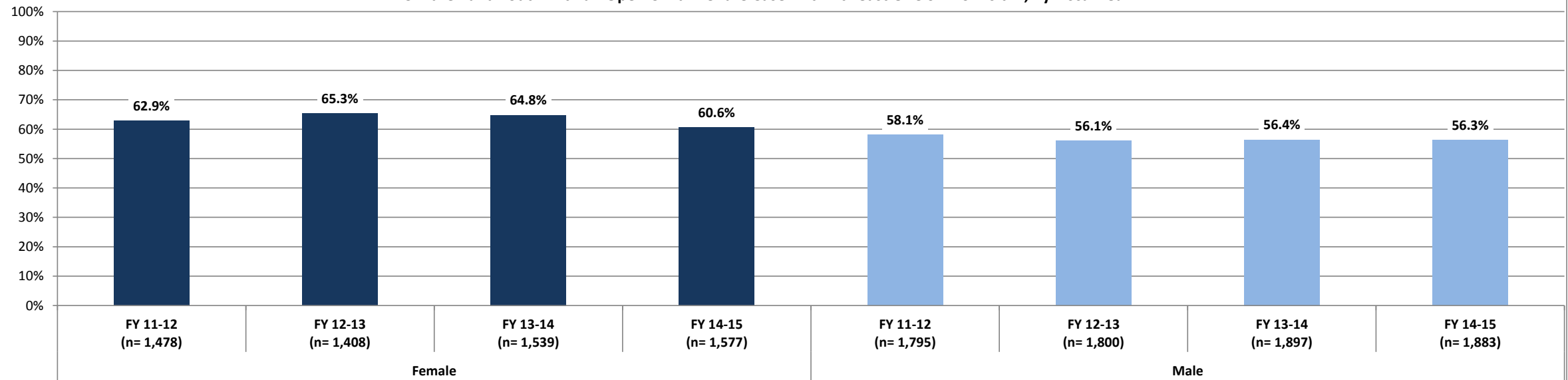
Penetration Rates by Race

Children and Youth with an Open Child Welfare Case With At Least One SMHS Visit, By Fiscal Year**



Penetration Rates by Gender

Children and Youth with an Open Child Welfare Case With At Least One SMHS Visit, By Fiscal Year**



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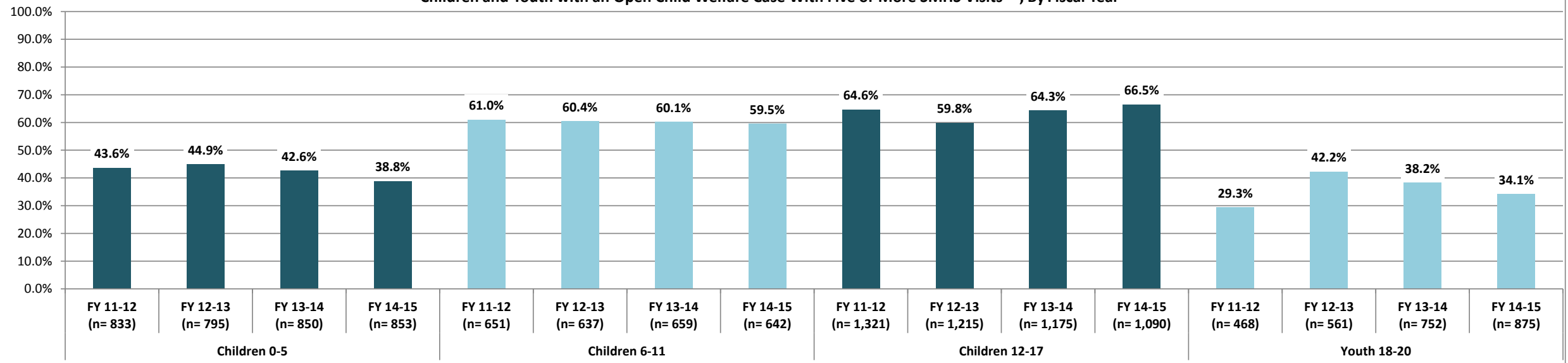
**Children and Youth with an Open Child Welfare Case that have received at least one SMHS in the Fiscal Year.

Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with Five or More SMHS Visits**

Alameda County as of August 3, 2016

	FY 11-12			FY 12-13			FY 13-14			FY 14-15		
	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate	Children and Youth with an Open Child Welfare Case with 5 or more SMHS Visits	Certified Eligible Children and Youth with an Open Child Welfare Case	Penetration Rate
All	1,751	3,273	53.5%	1,705	3,208	53.1%	1,801	3,436	52.4%	1,736	3,460	50.2%
Children 0-5	363	833	43.6%	357	795	44.9%	362	850	42.6%	331	853	38.8%
Children 6-11	397	651	61.0%	385	637	60.4%	396	659	60.1%	382	642	59.5%
Children 12-17	854	1,321	64.6%	726	1,215	59.8%	756	1,175	64.3%	725	1,090	66.5%
Youth 18-20	137	468	29.3%	237	561	42.2%	287	752	38.2%	298	875	34.1%
Black	947	1,583	59.8%	914	1,579	57.9%	947	1,686	56.2%	911	1,741	52.3%
Hispanic	316	627	50.4%	309	623	49.6%	324	708	45.8%	353	705	50.1%
White	292	488	59.8%	286	478	59.8%	290	493	58.8%	256	475	53.9%
Other	196	575	34.1%	196	528	37.1%	240	549	43.7%	216	539	40.1%
Female	830	1,478	56.2%	819	1,408	58.2%	876	1,539	56.9%	829	1,577	52.6%
Male	921	1,795	51.3%	886	1,800	49.2%	925	1,897	48.8%	907	1,883	48.2%

Penetration Rates by Age
Children and Youth with an Open Child Welfare Case With Five or More SMHS Visits**, By Fiscal Year

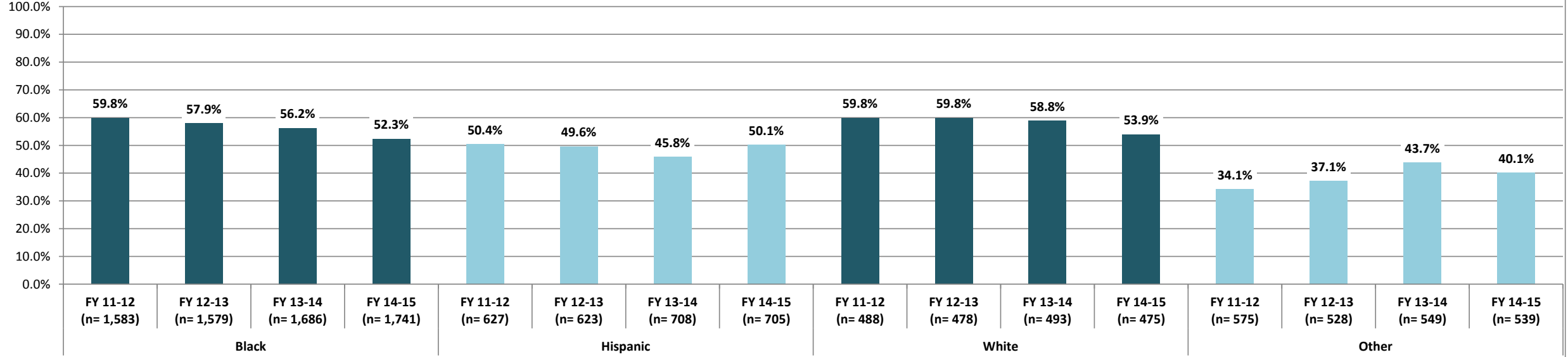


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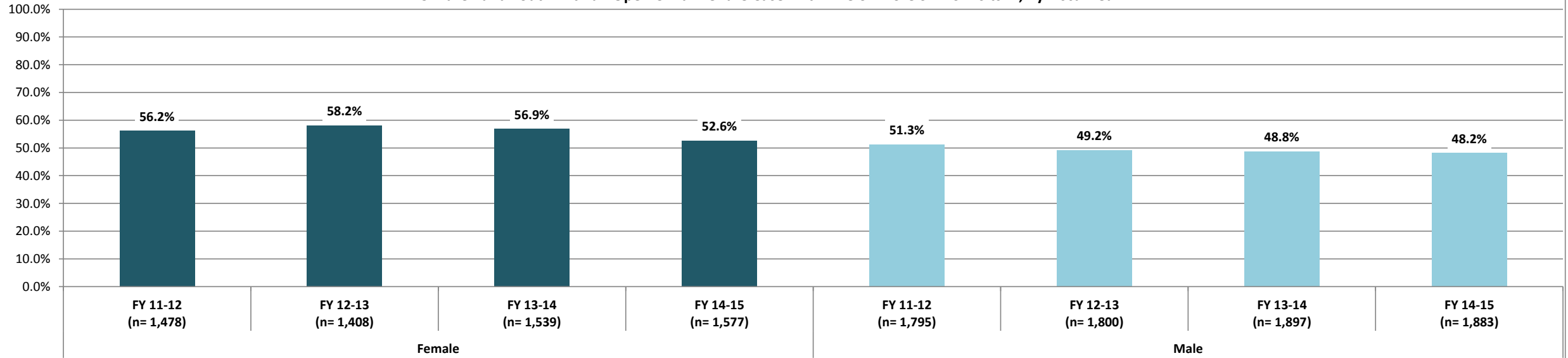
**Children and Youth with an Open Child Welfare Case that have received at least five SMHS in the Fiscal Year.

Penetration Rates* Report: Children and Youth with an Open Child Welfare Case with Five or More SMHS Visits**
Alameda County as of August 3, 2016

Penetration Rates by Race
Children and Youth with an Open Child Welfare Case With Five or More SMHS Visits, By Fiscal Year**



Penetration Rates by Gender
Children and Youth with an Open Child Welfare Case With Five or More SMHS Visits, By Fiscal Year**

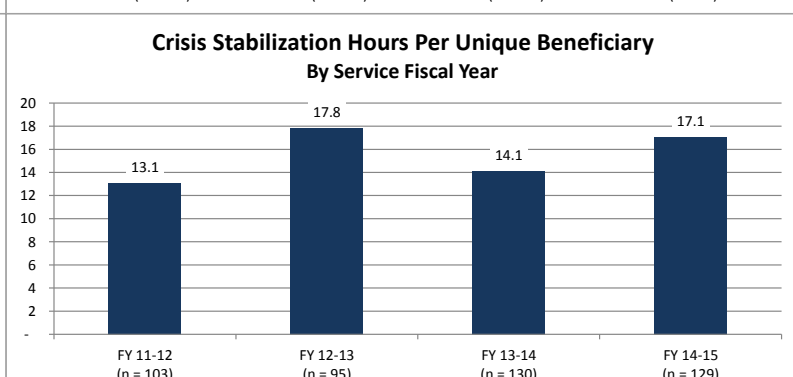
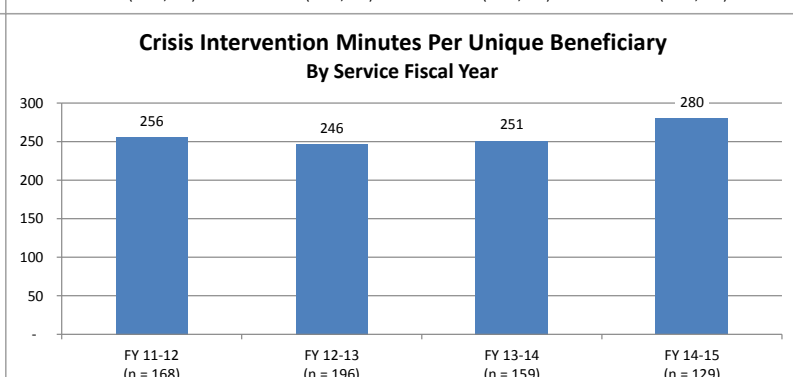
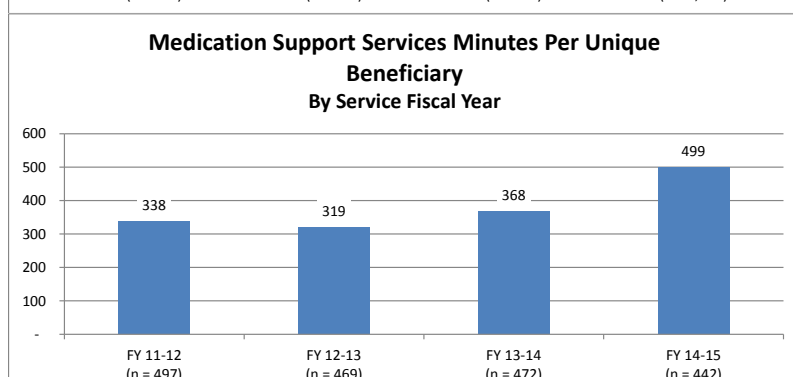
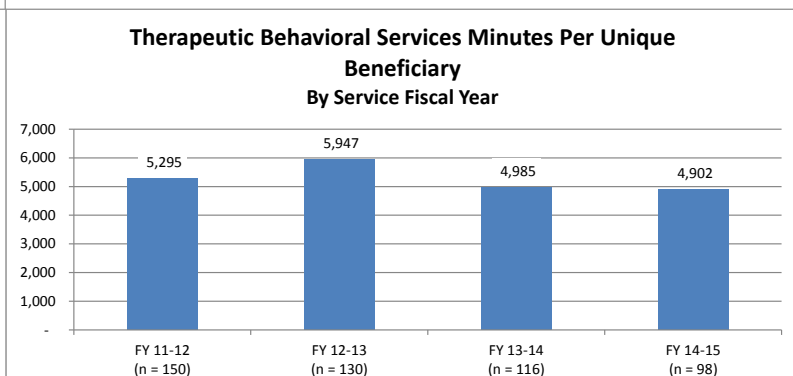
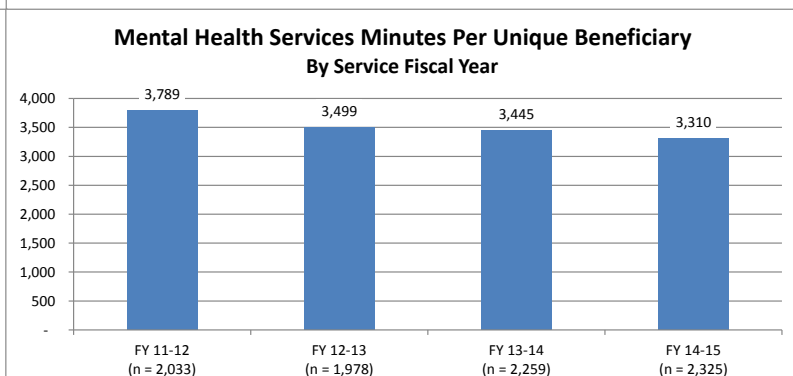
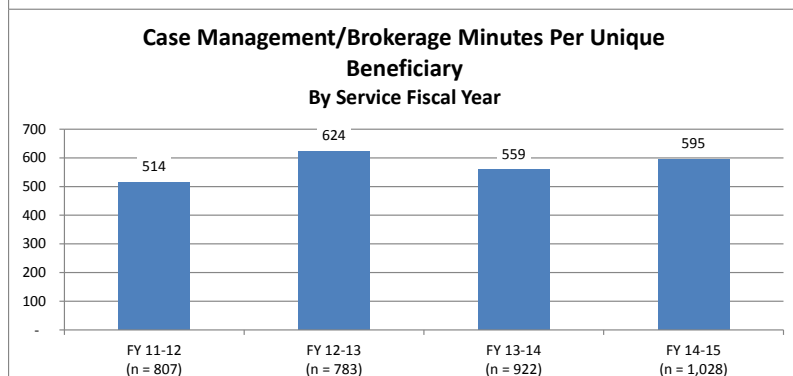
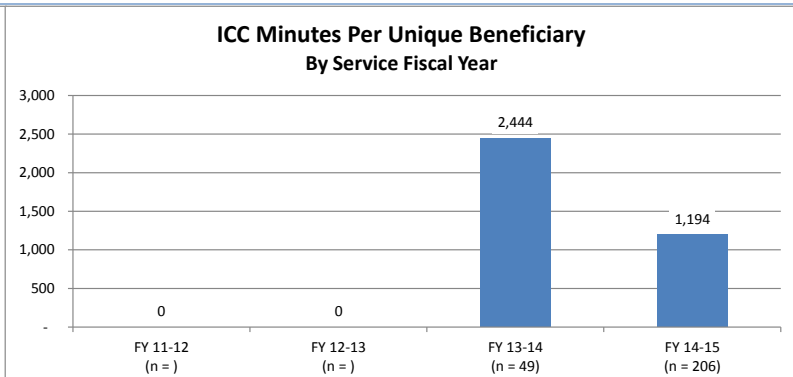
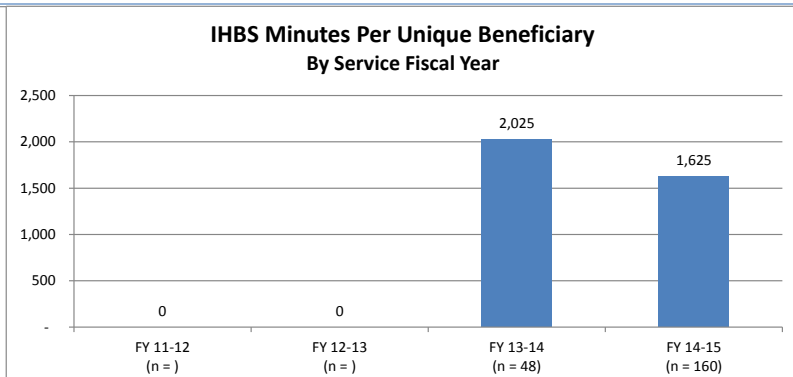
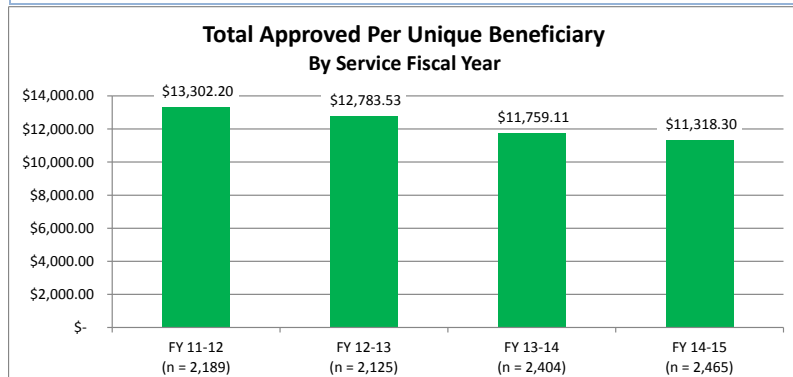


*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth with an Open Child Welfare Case that have received at least five SMHS in the Fiscal Year.

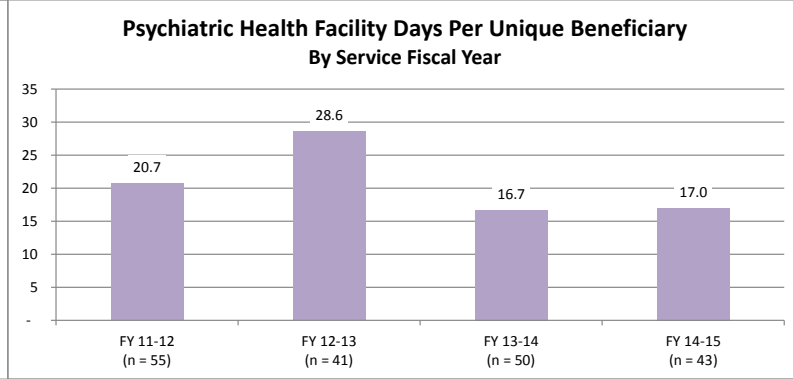
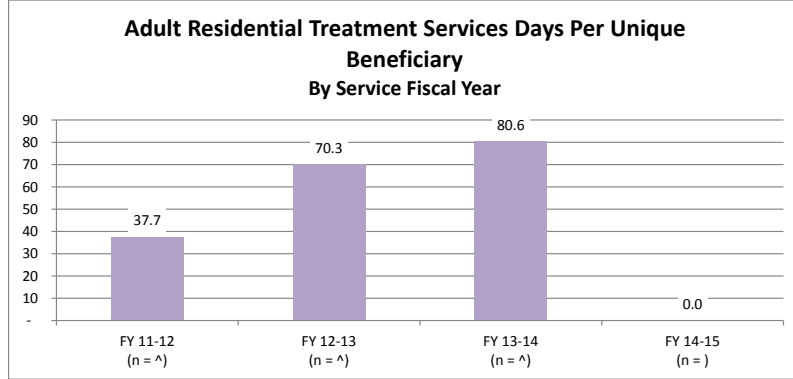
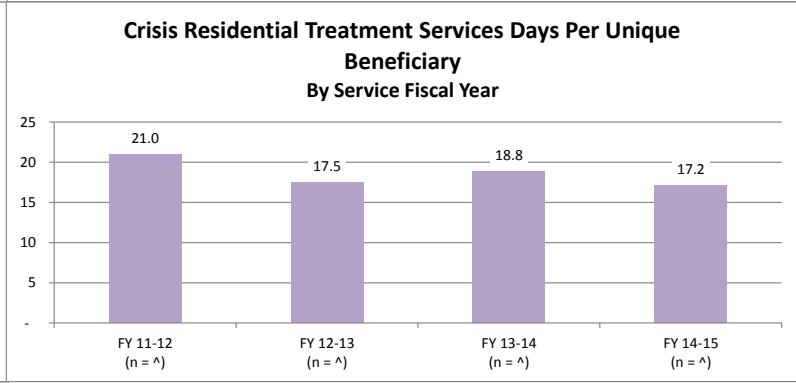
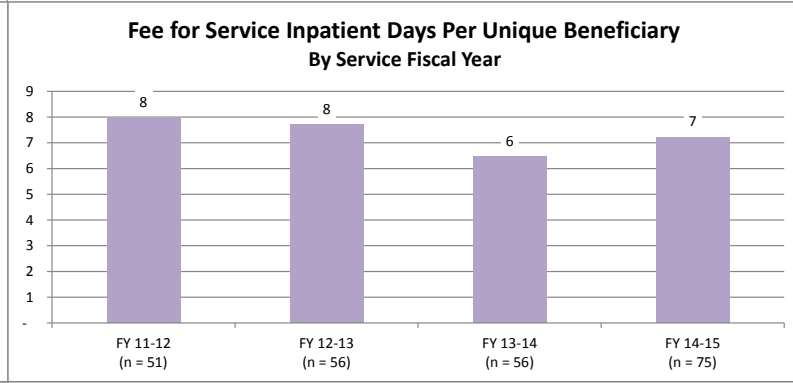
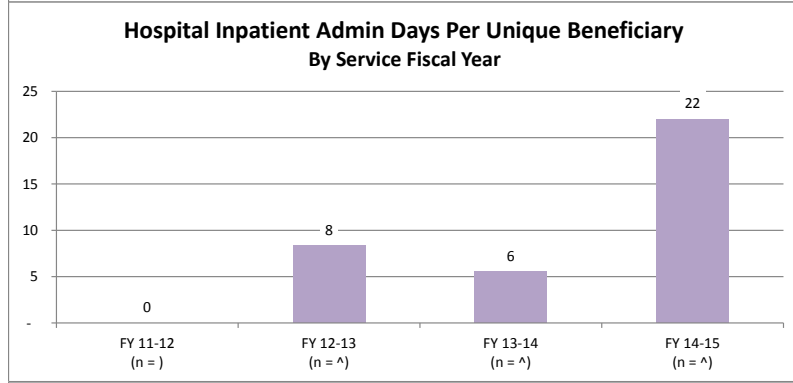
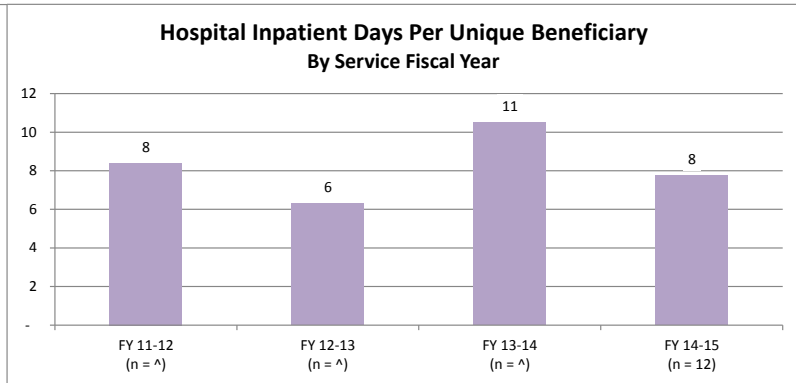
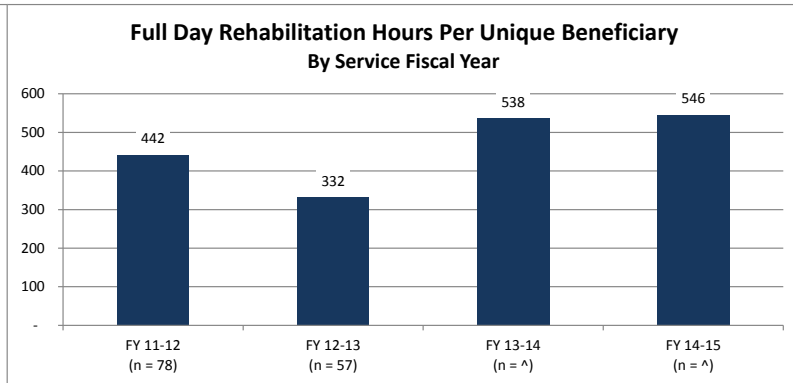
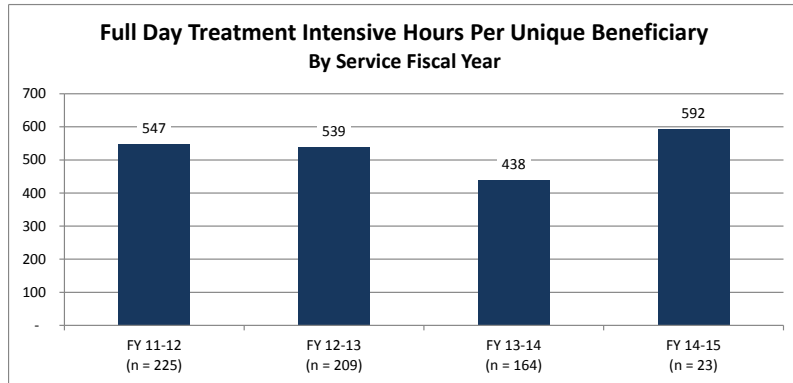
Utilization Report*: Approved Specialty Mental Health Services for Children and Youth with an Open Child Welfare Case
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
 Alameda County as of August 3, 2016

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 11-12	\$ 13,302.20	0	0	514	3,789	5,295	338	256	13	547	442	8	0	8	21	38	21
FY 12-13	\$ 12,783.53	0	0	624	3,499	5,947	319	246	18	539	332	6	8	8	18	70	29
FY 13-14	\$ 11,759.11	2,025	2,444	559	3,445	4,985	368	251	14	438	538	11	6	6	19	81	17
FY 14-15	\$ 11,318.30	1,625	1,194	595	3,310	4,902	499	280	17	592	546	8	22	7	17	0	17
MEAN	\$ 12,290.79	1,825	1,819	573	3,511	5,282	381	258	16	529	464	8	12	7	19	63	21



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.
 Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.
 ^ Data has been suppressed to protect patient privacy.

Utilization Report*: Approved Specialty Mental Health Services for Children and Youth with an Open Child Welfare Case
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 Alameda County as of August 3, 2016



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

Snapshot Report: Unique Count of Children and Youth with and Open Child Welfare Case Receiving SMHS

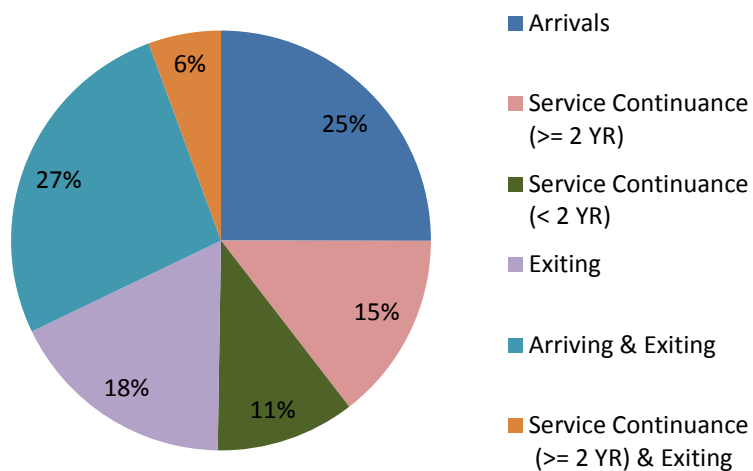
Arriving, Exiting, and with Service Continuance by Fiscal Year

Alameda County as of August 3, 2016

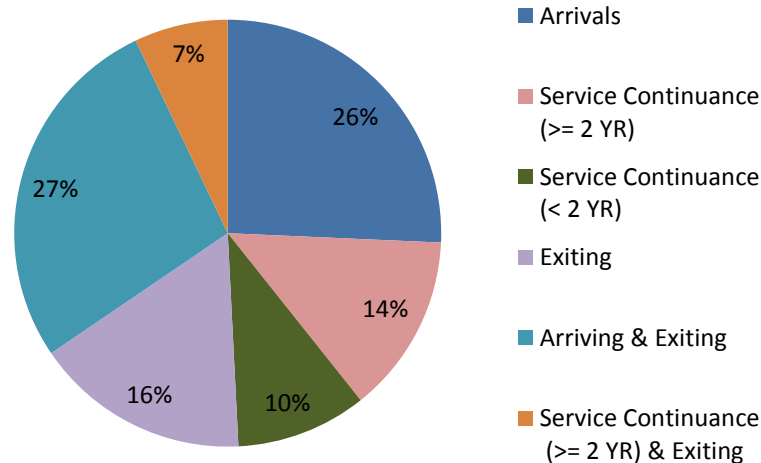
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Adults that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Adults receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Adults that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which adults met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which adults had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 12-13	484	25.0%	281	14.5%	208	10.7%	341	17.6%	514	26.5%	108	5.6%	1,936	100%
FY 13-14	529	25.7%	280	13.6%	205	9.9%	336	16.3%	566	27.4%	146	7.1%	2,062	100%
FY 14 - 15	398	19.8%	227	11.3%	184	9.1%	430	21.4%	605	30.1%	167	8.3%	2,011	100%

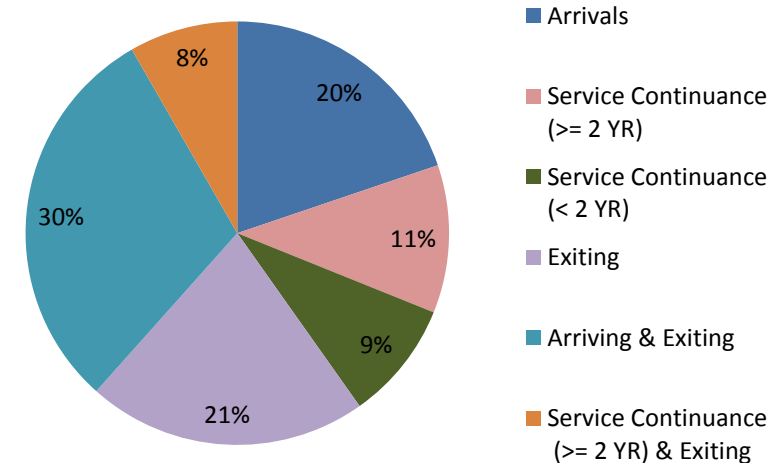
Fiscal Year 12-13 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 14-15 Arrivals, Service Continuance, & Exits Distribution



Time to Step Down Report: Children and Youth with an Open Child Welfare Case Stepping Down in SMHS Services Post Inpatient Discharge*

Alameda County as of August 3, 2016

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 11-12	77	78.6%	^	^	^	^	0	63	4.5	1
FY 12-13	59	67.0%	15	17.0%	14	15.9%	0	365	19.5	1
FY 13-14	61	95.3%	^	^	^	^	0	85	2.7	0
FY 14-15	74	85.1%	^	^	^	^	0	182	7.0	0

CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.

* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

^ Data has been suppressed to protect patient privacy.