

Performance Outcomes System Initial Reports

Report run on July 29, 2015

Background

This is the first round of county-specific reporting produced for the Performance Outcomes System which reflects a refresh of the data on the indicators that were reported in February 2015 at the statewide aggregate level. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, develop the Performance Measurement Paradigm, and develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction.

Purpose and Overview

Moving forward, three reports will be created during each new reporting period. The reports that will be produced are the following: statewide aggregate data; population-based county groups; and county-specific data. These are the first county-specific reports providing updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

Where possible, the reports provide trend information by displaying information for Fiscal Years (FY) 10/11, 11/12, 12/13, and 13/14.

The first reports focus on the demographic characteristics of the children and youth under 21 who are receiving Specialty Mental Health Services (SMHS), based on approved claims for Medi-Cal eligible beneficiaries. The reports include data on the demographics of this population by age, gender, race/ethnicity. Two types of penetration information is provided for children/youth served and not served. Both penetration rate tables are also broken out by demographic characteristics.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting and continuing services over a two year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. Starting with this report, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim; or
- Age 21 during the approved date of the service on the claim and a birth date on or after January 1st of the Fiscal Year.

Data Sources -

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 10/11 through FY 13/14.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 10/11 through FY 13/14.

Performance Outcomes System Initial Reports

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

http://www.dhcs.ca.gov/individuals/Documents/POS%20Measures%20Catalog_2.17.15.pdo

The Measures Catalog is posted on the POS site under the heading "POS Reports and Measures Catalog."

Background information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to:

<http://www.dhcs.ca.gov/individuals/Pages/POSReports.aspx>

Report Interpretation

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of Specialty Mental Health Services (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: [http://www.dhcs.ca.gov/provgovpart/Documents/1115 Waiver Behavioral Health Services Needs Assessment 3 1 12.pdf](http://www.dhcs.ca.gov/provgovpart/Documents/1115%20Waiver%20Behavioral%20Health%20Services%20Needs%20Assessment%203%201%2012.pdf).

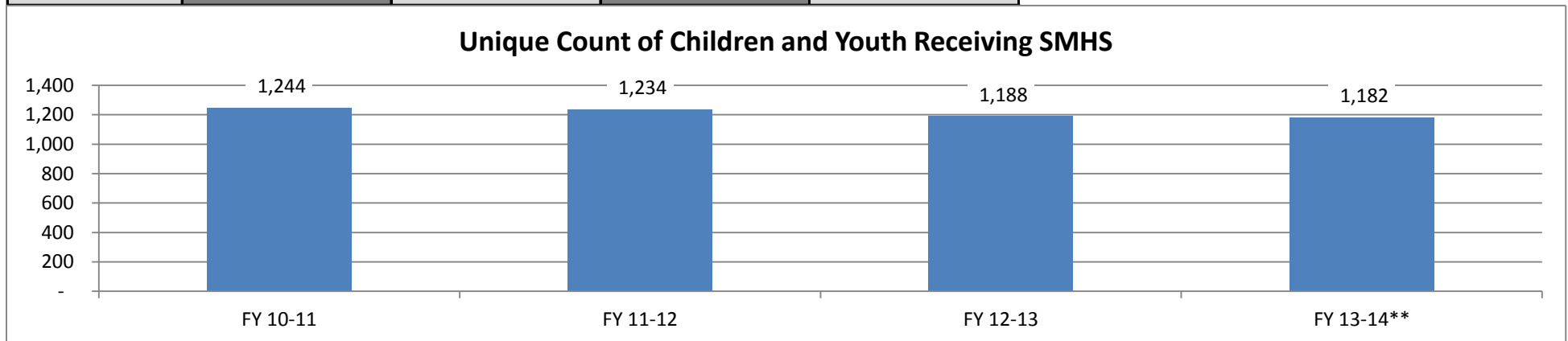
*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report relies solely on claims data from Short Doyle/Medi-Cal II. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

Sutter/Yuba County as of July 29, 2015

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 10-11	1,244		26,943	
FY 11-12	1,234	-0.8%	27,372	1.6%
FY 12-13	1,188	-3.7%	28,368	3.6%
FY 13-14**	1,182	-0.5%	33,636	18.6%
Compound Annual Growth Rate SFY**		-1.7%		7.7%



*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

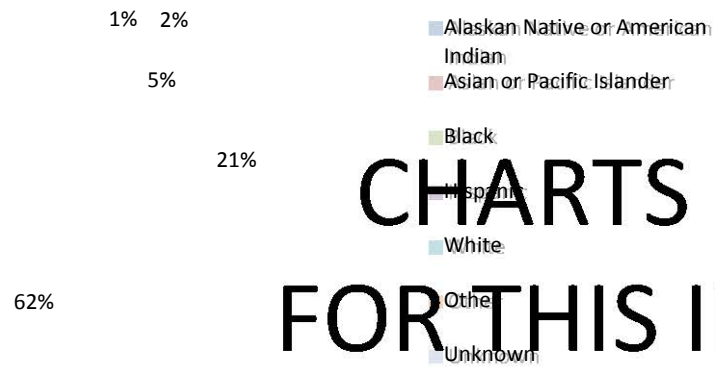
**SFY = State Fiscal Year which is July 1 through June 30.

Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

Sutter/Yuba County as of July 29, 2015

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 10-11	16	1.3%	25	2.0%	57	4.6%	266	21.4%	774	62.2%	^	^	^	^
FY 11-12	15	1.2%	22	1.8%	54	4.4%	292	23.7%	713	57.8%	^	^	^	^
FY 12-13	19	1.6%	17	1.4%	37	3.1%	279	23.5%	693	58.3%	-	0.0%	143	12.0%
FY 13-14	22	1.9%	22	1.9%	28	2.4%	324	27.4%	640	54.1%	^	^	^	^

Fiscal Year 10-11 Race Distribution

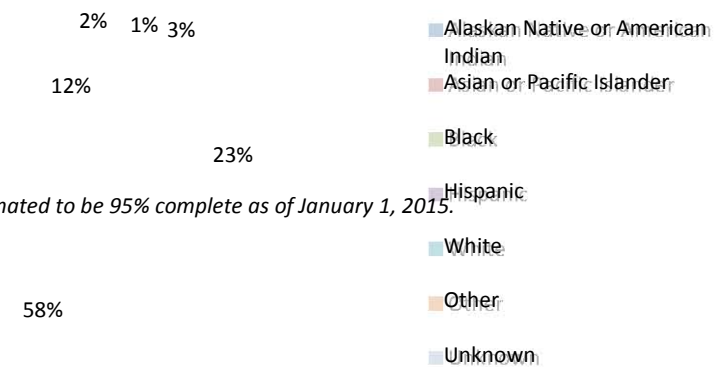


Fiscal Year 11-12 Race Distribution

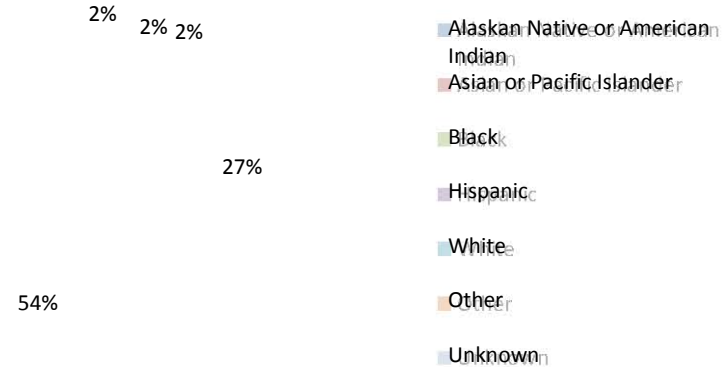


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SMALL CELL SIZES

Fiscal Year 12-13 Race Distribution



Fiscal Year 13-14 Race Distribution



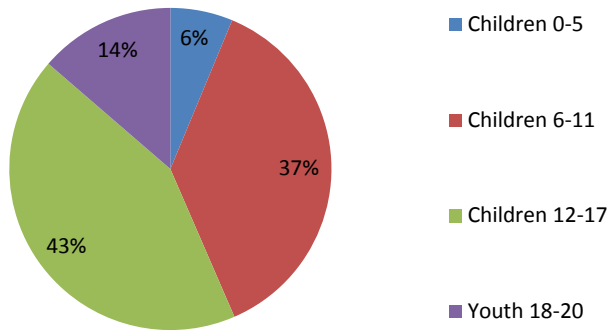
*FY 13-14 claims are estimated to be 95% complete as of January 1, 2015.

^ Data has been suppressed to protect patient privacy.

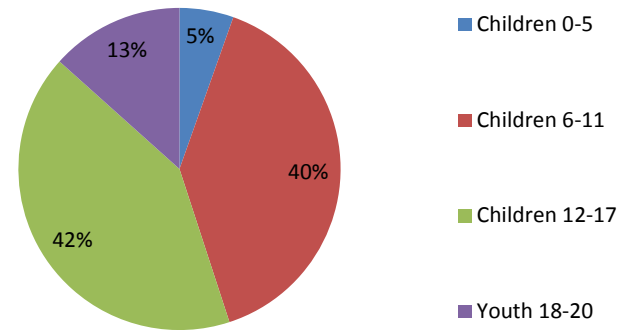
Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
 Sutter/Yuba County as of July 29, 2015

Fiscal Year	Children 0-5 Count	Children 0-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 10-11	78	6.3%	463	37.2%	533	42.8%	170	13.7%
FY 11-12	67	5.4%	488	39.5%	514	41.7%	165	13.4%
FY 12-13	73	6.1%	460	38.7%	469	39.5%	186	15.7%
FY 13-14	59	5.0%	427	36.1%	520	44.0%	176	14.9%

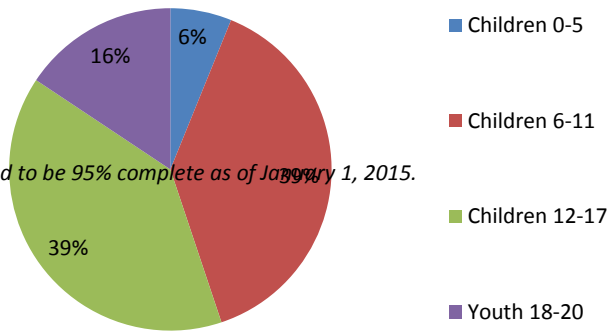
Fiscal Year 10-11 Age Group Distribution



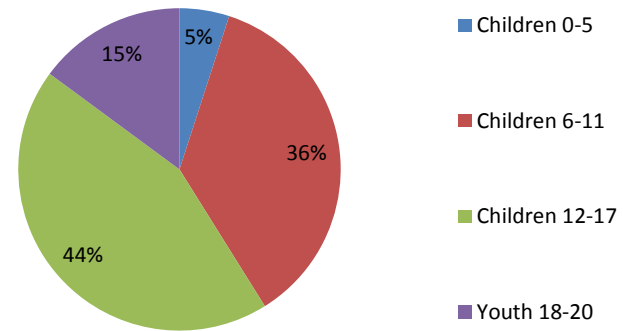
Fiscal Year 11-12 Age Group Distribution



Fiscal Year 12-13 Age Group Distribution



Fiscal Year 13-14 Age Group Distribution



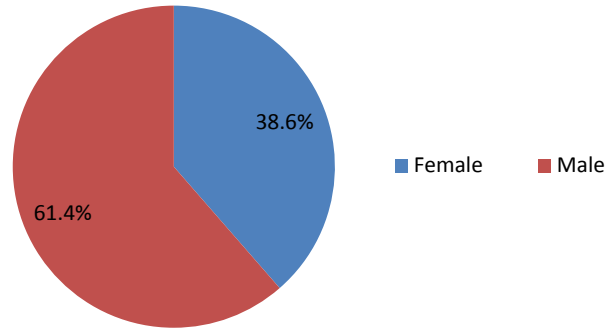
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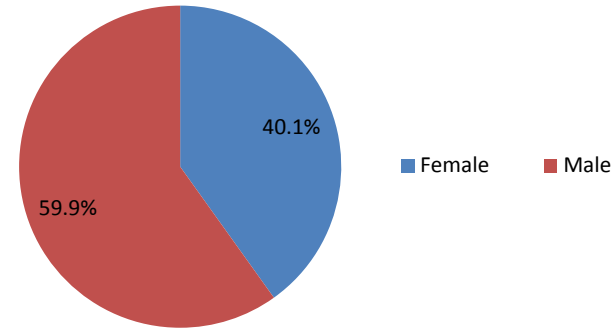
Demographics Report: Unique Count of Children and Youth by Fiscal Year
 Sutter/Yuba County as of July 29, 2015

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 10-11	480	38.6%	764	61.4%
FY 11-12	495	40.1%	739	59.9%
FY 12-13	453	38.1%	735	61.9%
FY 13-14	501	42.4%	681	57.6%

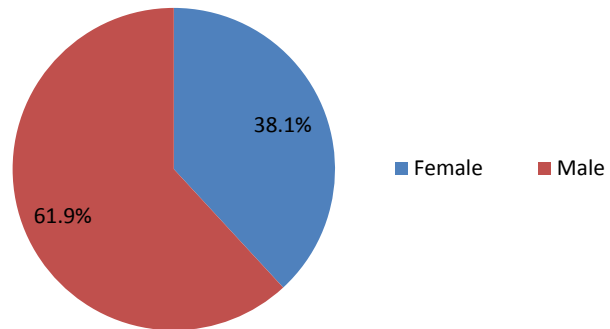
Fiscal Year 10-11 Gender Distribution



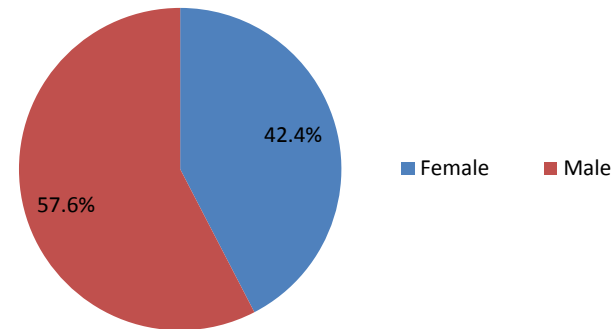
Fiscal Year 11-12 Gender Distribution



Fiscal Year 12-13 Gender Distribution



Fiscal Year 13-14 Gender Distribution



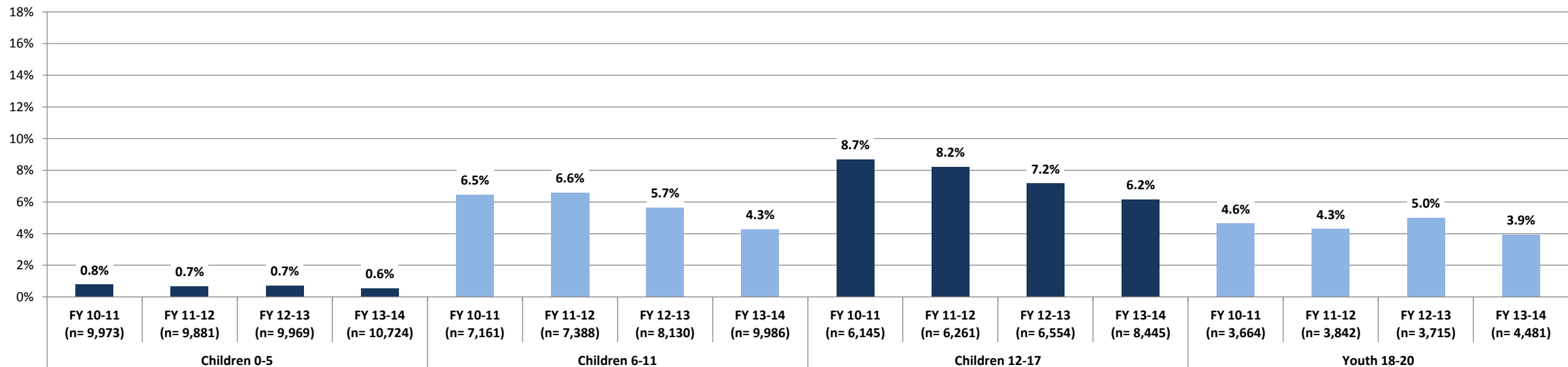
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Penetration Rates* Report: Children and Youth With At Least One SMHS Visit**

Sutter/Yuba County as of July 29, 2015

	FY 10-11			FY 11-12			FY 12-13			FY 13-14		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	1,244	26,943	4.6%	1,234	27,372	4.5%	1,188	28,368	4.2%	1,182	33,636	3.5%
Children 0-5	78	9,973	0.8%	67	9,881	0.7%	73	9,969	0.7%	59	10,724	0.6%
Children 6-11	463	7,161	6.5%	488	7,388	6.6%	460	8,130	5.7%	427	9,986	4.3%
Children 12-17	533	6,145	8.7%	514	6,261	8.2%	469	6,554	7.2%	520	8,445	6.2%
Youth 18-20	170	3,664	4.6%	165	3,842	4.3%	186	3,715	5.0%	176	4,481	3.9%
Alaskan Native or American Indian	16	255	6.3%	15	239	6.3%	19	245	7.8%	22	248	8.9%
Asian or Pacific Islander	25	2,919	0.9%	22	2,996	0.7%	17	3,095	0.5%	22	4,069	0.5%
Black	57	849	6.7%	54	835	6.5%	37	818	4.5%	28	851	3.3%
Hispanic	266	10,471	2.5%	292	10,833	2.7%	279	11,347	2.5%	324	13,243	2.4%
White	774	10,949	7.1%	713	10,791	6.6%	693	10,880	6.4%	640	12,095	5.3%
Other	^	231	^	^	187	^	-	166	0.0%	^	261	^
Unknown	^	1,269	^	^	1,491	^	143	1,817	7.9%	^	2,869	^
Female	480	13,357	3.6%	495	13,612	3.6%	453	14,080	3.2%	501	16,580	3.0%
Male	764	13,586	5.6%	739	13,760	5.4%	735	14,288	5.1%	681	17,056	4.0%

Penetration Rates by Age
Children and Youth With At Least One SMHS Visit**, By Fiscal Year

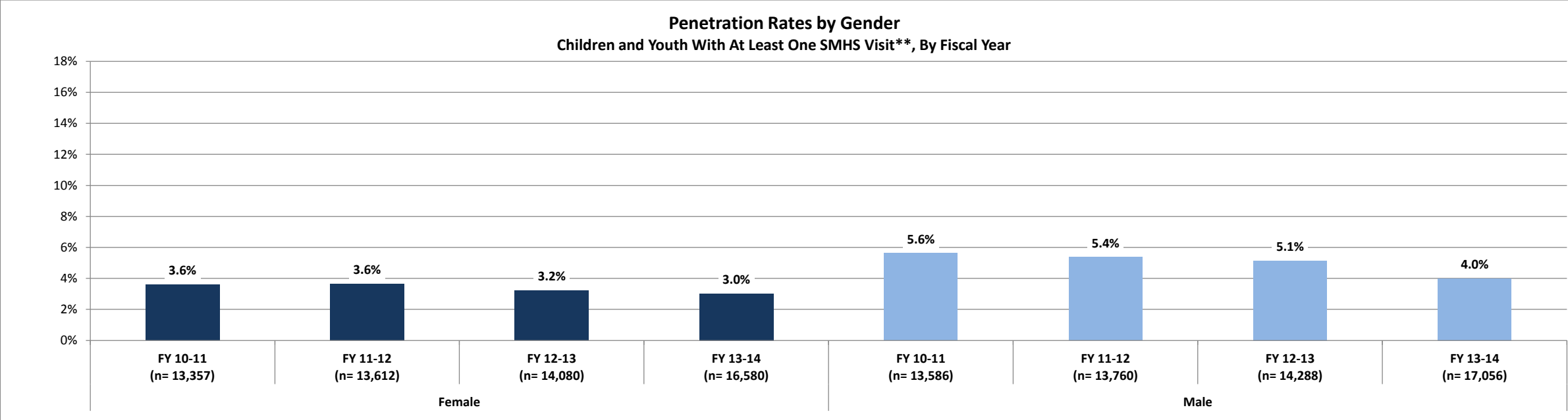
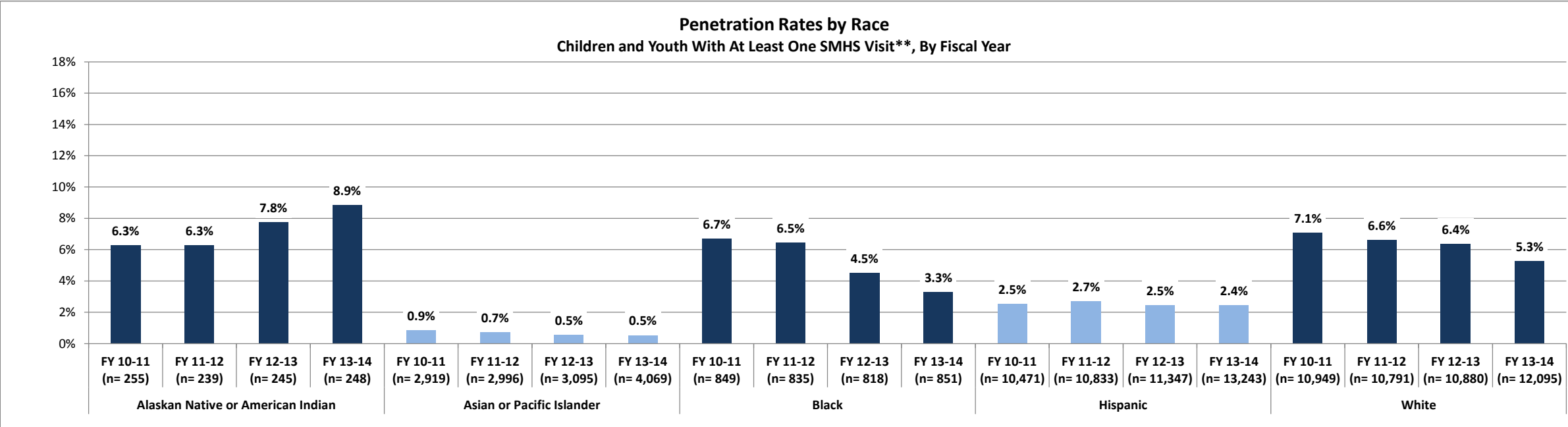


*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

^ Data has been suppressed to protect patient privacy.

Penetration Rates* Report: Children and Youth With At Least One SMHS Visit**
 Sutter/Yuba County as of July 29, 2015



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

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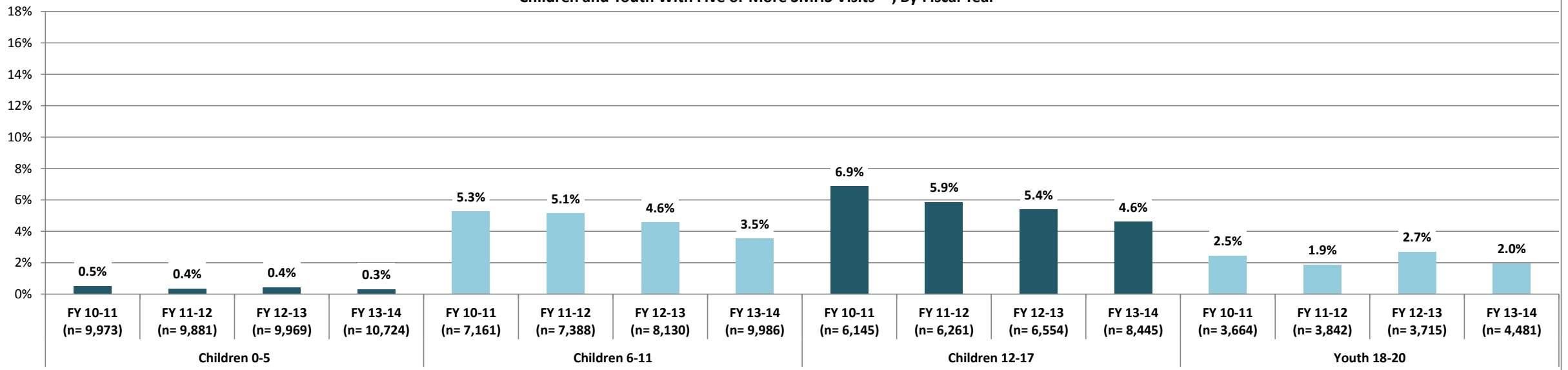
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Penetration Rates* Report: Children and Youth With Five or More SMHS Visits**

Sutter/Yuba County as of July 29, 2015

	FY 10-11			FY 11-12			FY 12-13			FY 13-14		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	943	26,943	3.5%	855	27,372	3.1%	868	28,368	3.1%	866	33,636	2.6%
Children 0-5	52	9,973	0.5%	36	9,881	0.4%	43	9,969	0.4%	34	10,724	0.3%
Children 6-11	378	7,161	5.3%	380	7,388	5.1%	372	8,130	4.6%	354	9,986	3.5%
Children 12-17	423	6,145	6.9%	367	6,261	5.9%	353	6,554	5.4%	390	8,445	4.6%
Youth 18-20	90	3,664	2.5%	72	3,842	1.9%	100	3,715	2.7%	88	4,481	2.0%
Alaskan Native or American Indian	^	255	^	^	239	^	^	245	^	^	248	^
Asian or Pacific Islander	15	2,919	0.5%	^	2,996	^	^	3,095	^	^	4,069	^
Black	47	849	5.5%	37	835	4.4%	25	818	3.1%	22	851	2.6%
Hispanic	194	10,471	1.9%	189	10,833	1.7%	191	11,347	1.7%	218	13,243	1.6%
White	587	10,949	5.4%	496	10,791	4.6%	511	10,880	4.7%	481	12,095	4.0%
Other	^	231	^	-	187	0.0%	-	166	0.0%	^	261	^
Unknown	91	1,269	7.2%	113	1,491	7.6%	122	1,817	6.7%	117	2,869	4.1%
Female	356	13,357	2.7%	319	13,612	2.3%	322	14,080	2.3%	353	16,580	2.1%
Male	587	13,586	4.3%	536	13,760	3.9%	546	14,288	3.8%	513	17,056	3.0%

Penetration Rates by Age
Children and Youth With Five or More SMHS Visits**, By Fiscal Year



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

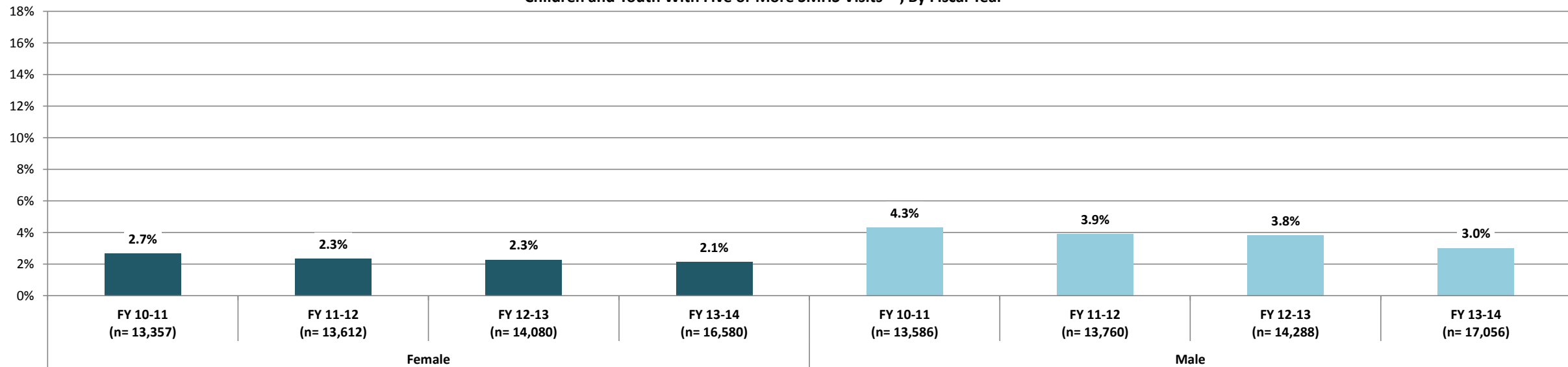
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Penetration Rates* Report: Children and Youth With Five or More SMHS Visits**

Sutter/Yuba County as of July 29, 2015

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Penetration Rates by Gender
Children and Youth With Five or More SMHS Visits, By Fiscal Year**



*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

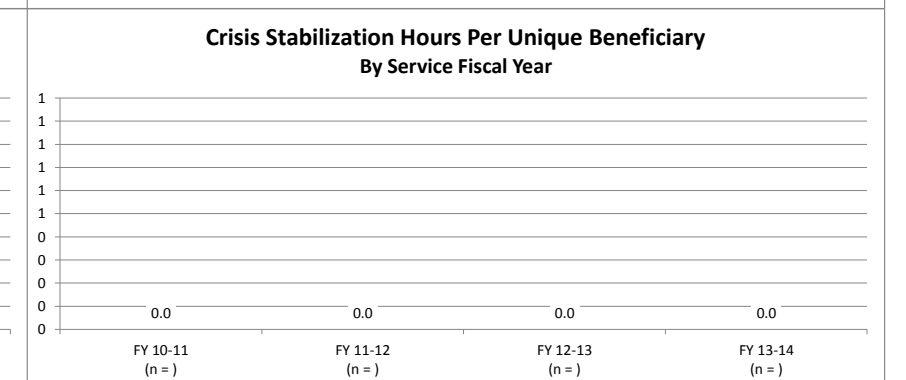
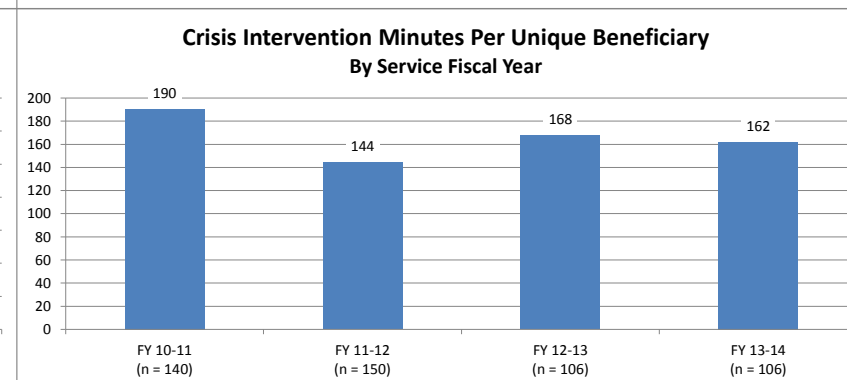
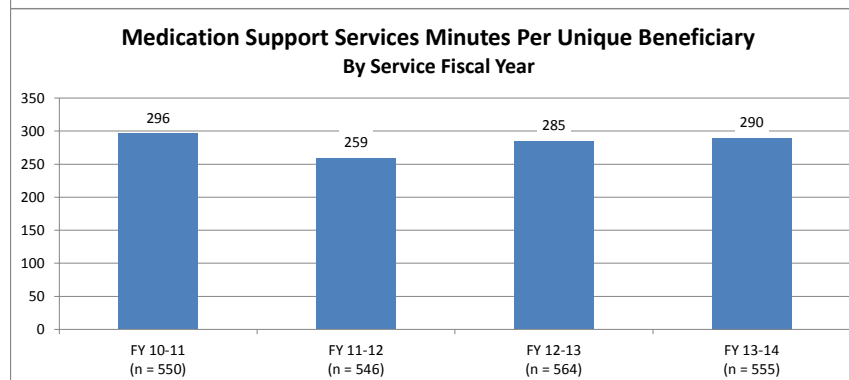
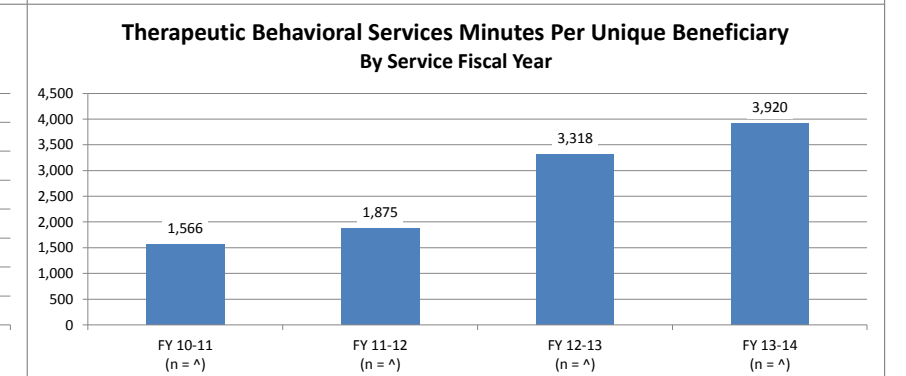
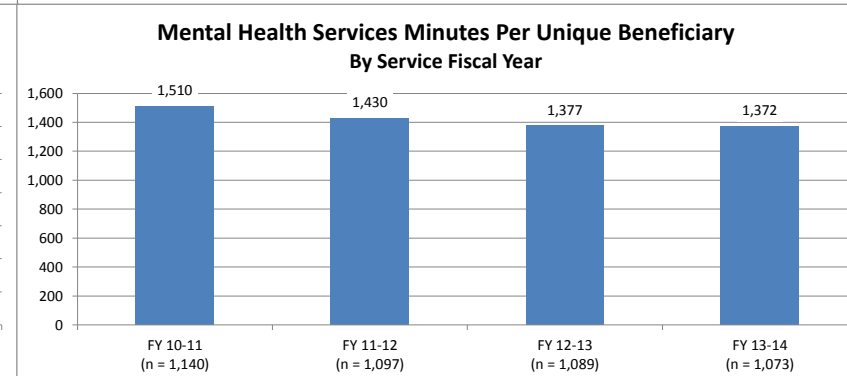
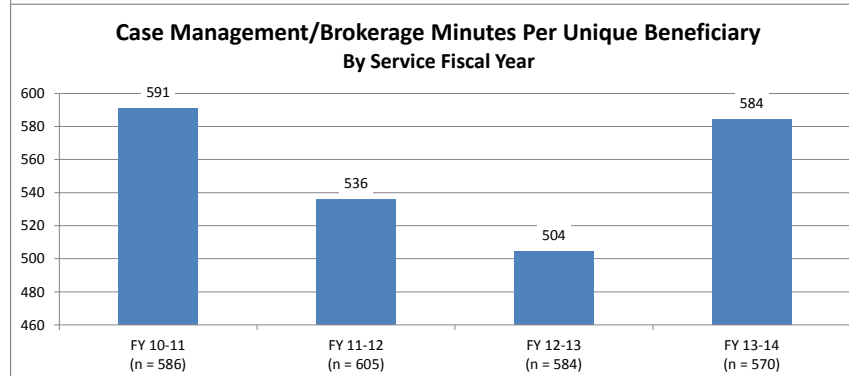
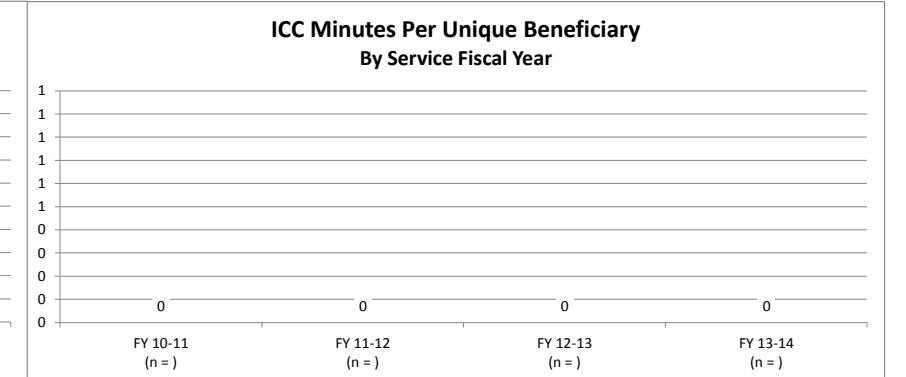
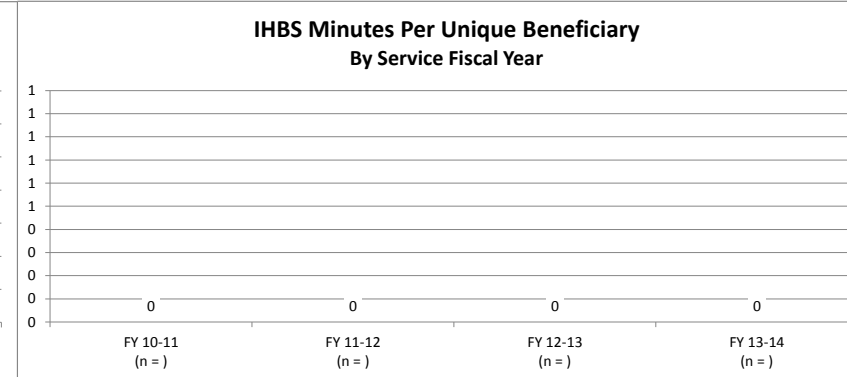
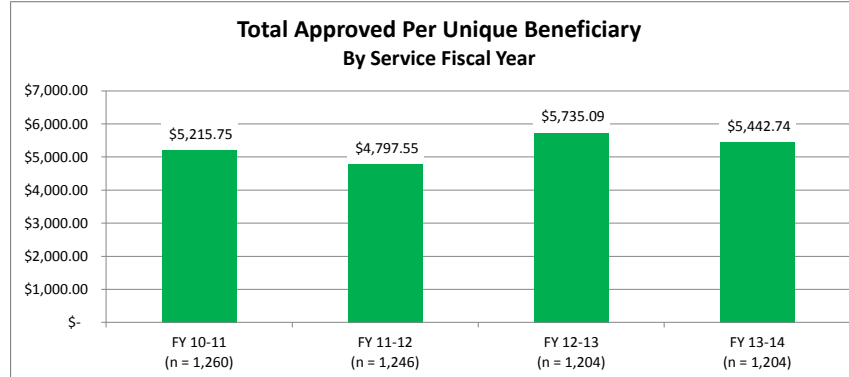
**Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year****

Sutter/Yuba County as of July 29, 2015

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 10-11	\$ 5,215.75	0	0	591	1510	1566	296	190	0	712	202	0	0	0	0	22
FY 11-12	\$ 4,797.55	0	0	536	1430	1875	259	144	0	746	861	0	0	0	0	10
FY 12-13	\$ 5,735.09	0	0	504	1377	3318	285	168	0	772	552	0	0	0	0	14
FY 13-14	\$ 5,442.74	0	0	584	1372	3920	290	162	0	678	375	0	0	0	0	17
MEAN	\$ 5,297.78	0	0	554	1422	2669	282	166	0	727	498	0	0	0	0	16



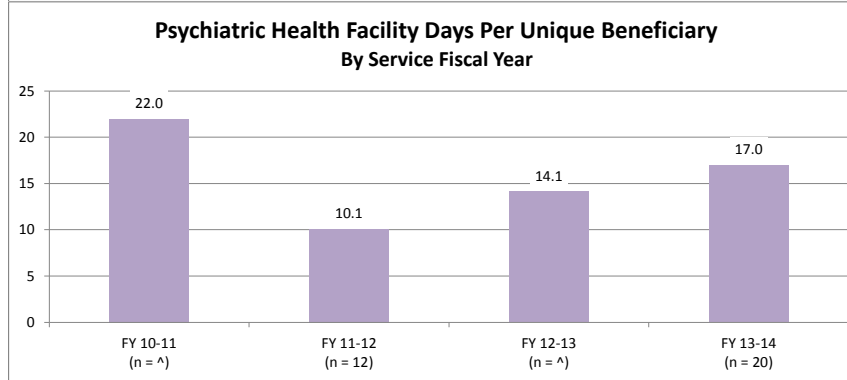
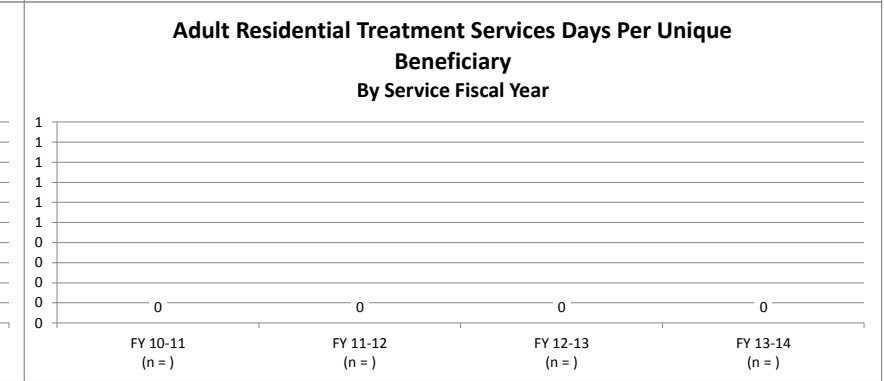
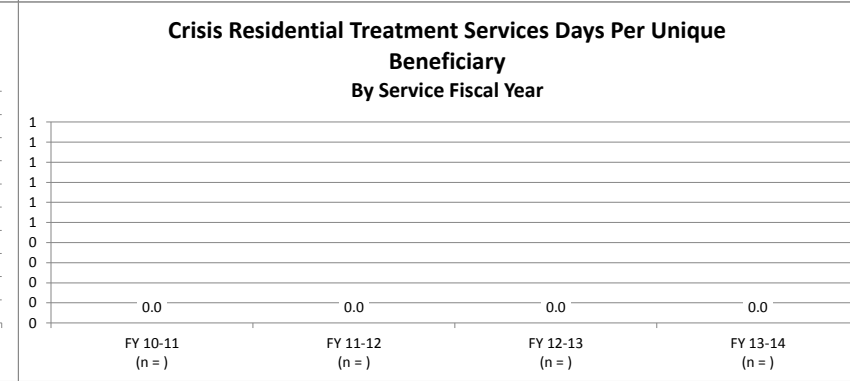
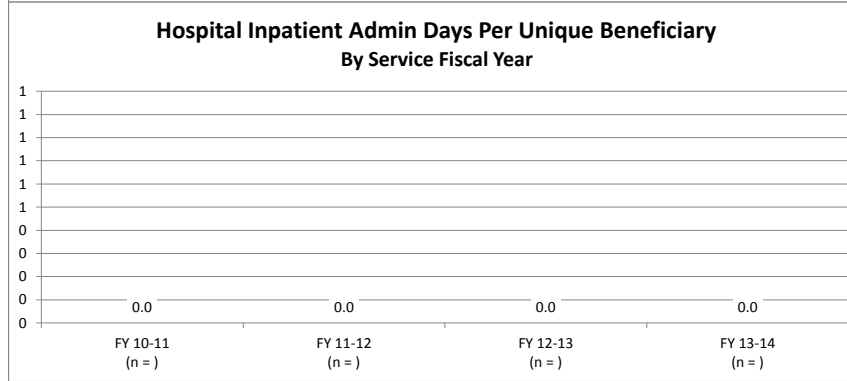
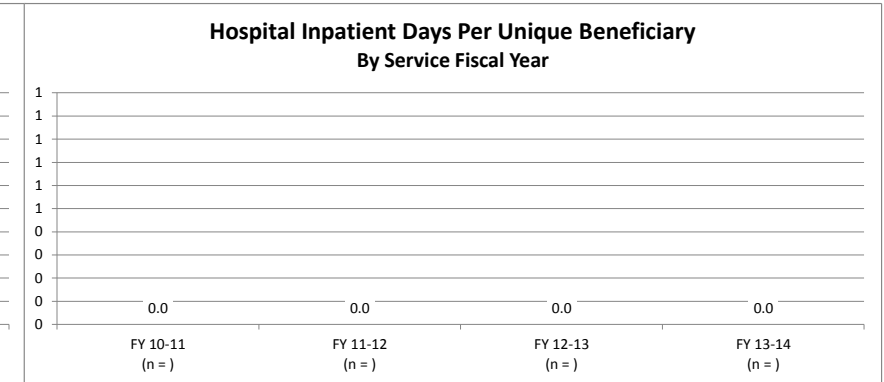
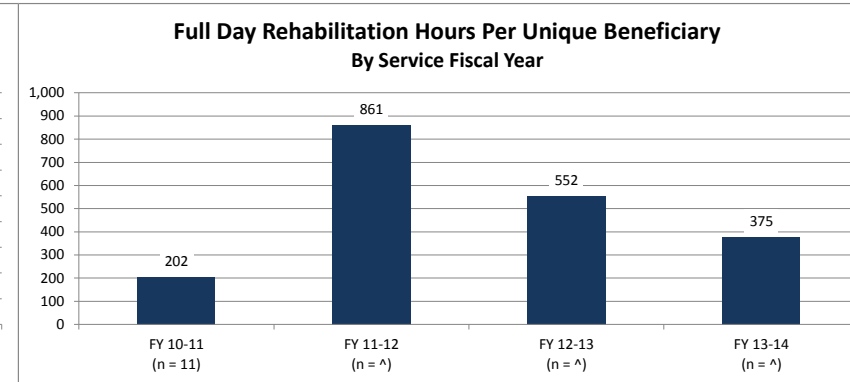
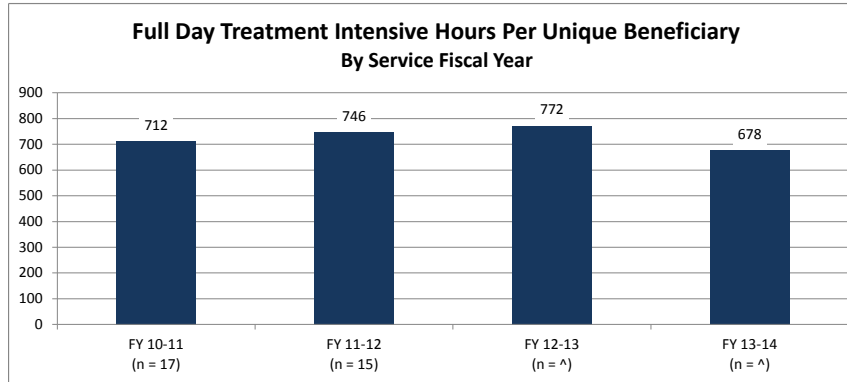
*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year****

Yuba County as of July 29, 2015



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

Snapshot Report: Unique Count of Children and Youth Receiving SMHS

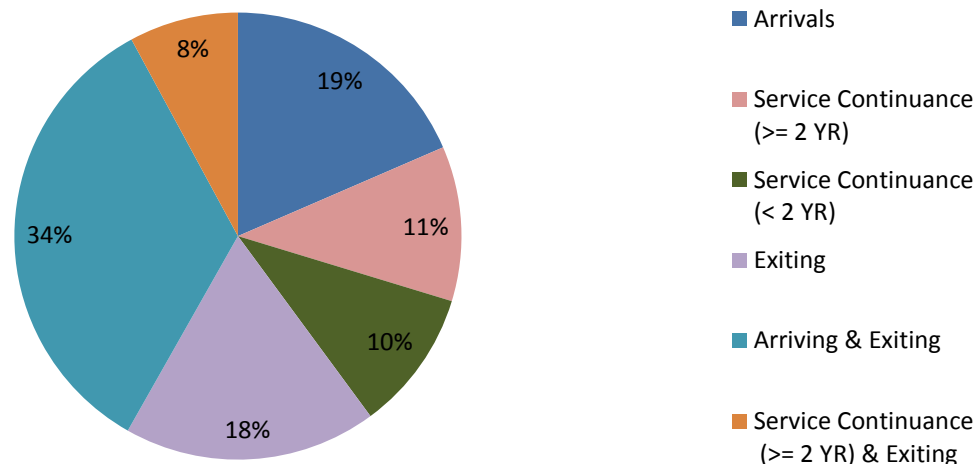
Arriving, Exiting, and with Service Continuance by Fiscal Year

Sutter County as of August 3, 2015

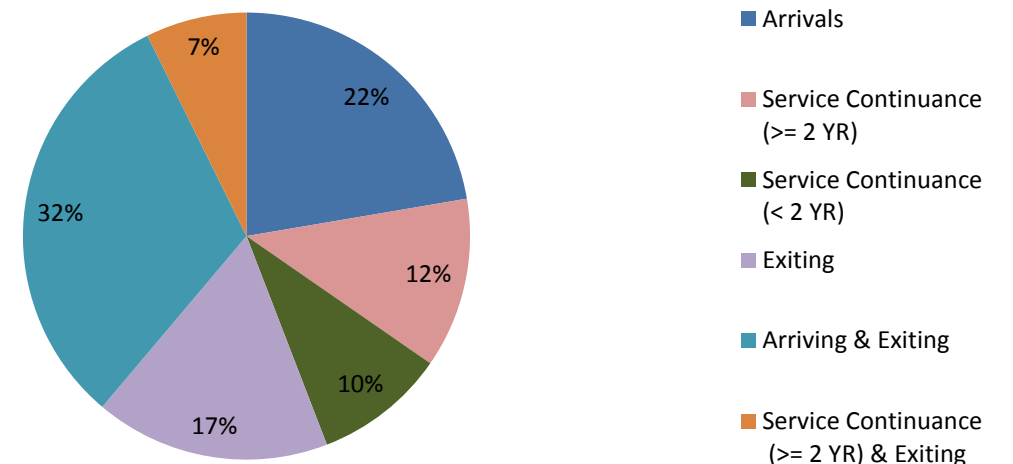
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 12-13	112	18.5%	68	11.2%	62	10.2%	111	18.3%	206	33.9%	48	7.9%	607	100%
FY 13-14	143	22.3%	79	12.3%	61	9.5%	109	17.0%	202	31.5%	47	7.3%	641	100%

Fiscal Year 12-13 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution



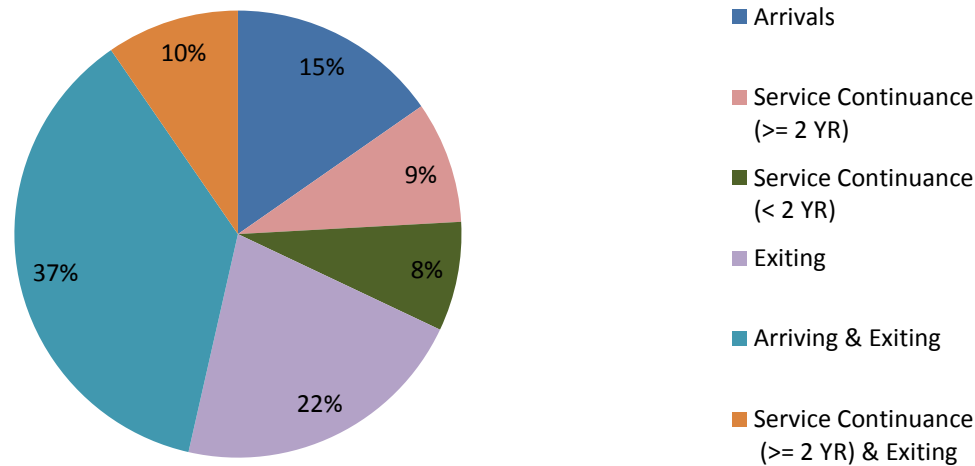
Snapshot Report: Unique Count of Children and Youth Receiving SMHS Arriving, Exiting, and with Service Continuance by Fiscal Year

Yuba County as of August 3, 2015

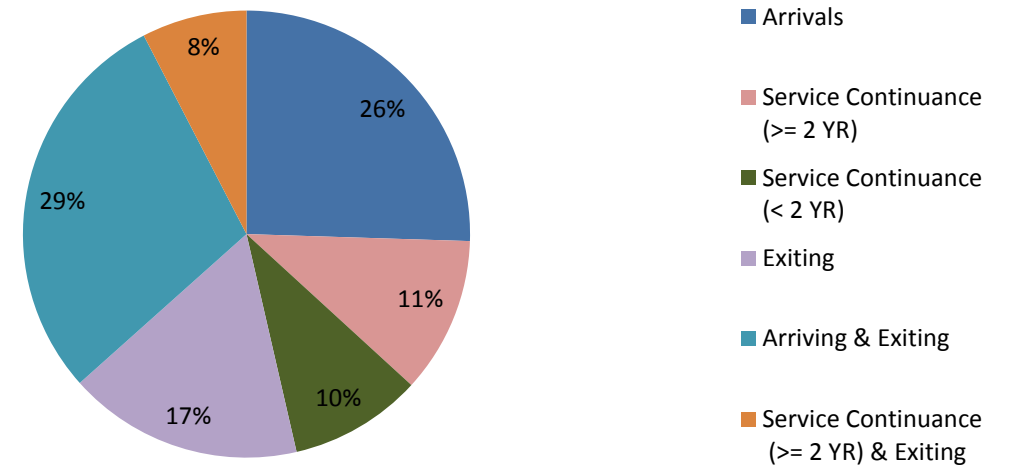
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 12-13	89	15.3%	51	8.8%	46	7.9%	125	21.5%	214	36.8%	56	9.6%	581	100%
FY 13-14	138	25.5%	61	11.3%	52	9.6%	92	17.0%	157	29.0%	41	7.6%	541	100%

Fiscal Year 12-13 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution

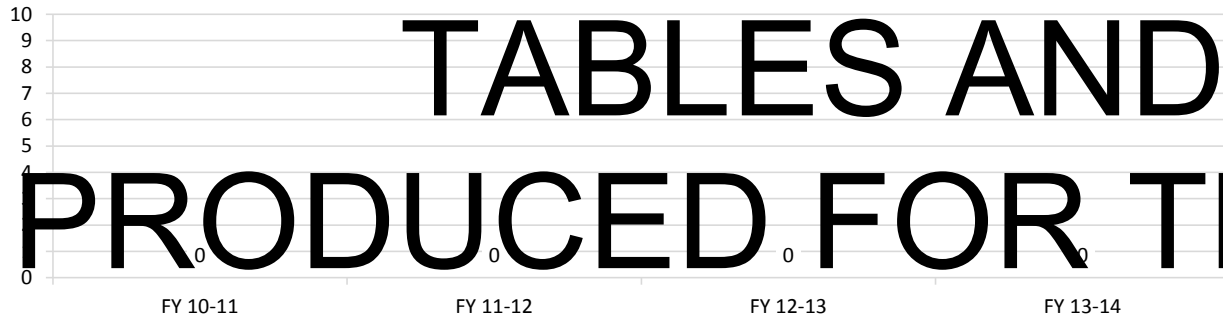


Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge

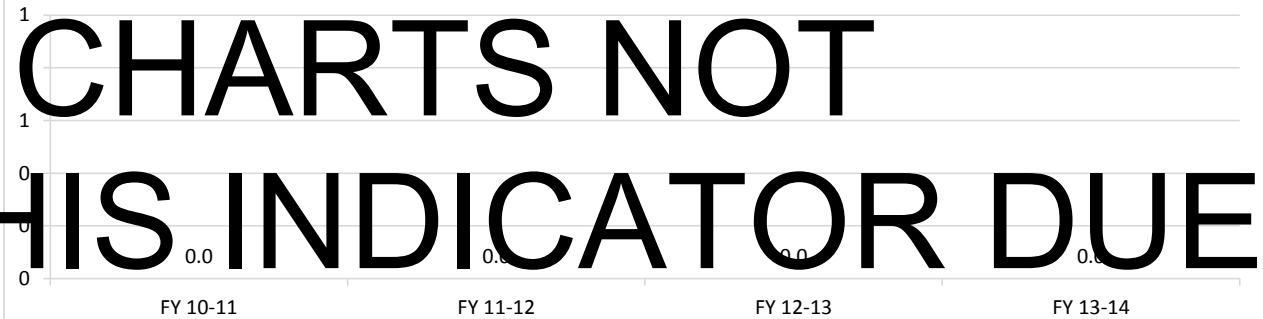
Sutter/Yuba County as of July 28, 2015

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down within 30 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 30 Days of Discharge	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 10-11	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0	0.0	0
FY 11-12	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0	0.0	0
FY 12-13	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0	0.0	0
FY 13-14	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0	0.0	0

Median Time Between Inpatient Discharge and Step Down Service in Days



Mean Time Between Inpatient Discharge and Step Down Service in Days



TABLES AND CHARTS NOT PRODUCED FOR THIS INDICATOR DUE TO SMALL CELL SIZES.

Percentage of Discharges by Time Between Inpatient Discharge and Step Down Service



* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.