

Performance Outcomes System

Report run on March 13, 2018

Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data; population-based county groups; and county-specific data. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

These county-specific reports provide updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth under 21 who are receiving SMH¹ based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources -

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

http://www.dhcs.ca.gov/services/MH/Documents/POS_MeasuresCatalog_Sept2016.pdf

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

***New Age Methodology** for Identifying Children under 21 (POS reports posted after to 7/1/17): Beneficiaries that were under the age of 21 for the entire fiscal year (their age was less than 21 as of June 30th of the reported fiscal year).

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). For the POS, the penetration rate is calculated by taking the total number of youth who received one or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted. Penetration rates provide a measure of initial contact with the specialty mental health system.

*The **engagement rates** are calculated similarly to penetration rates but are intended to measure ongoing engagement with the specialty mental health system. The engagement rate is calculated by taking the total number of youth who received five or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses six general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

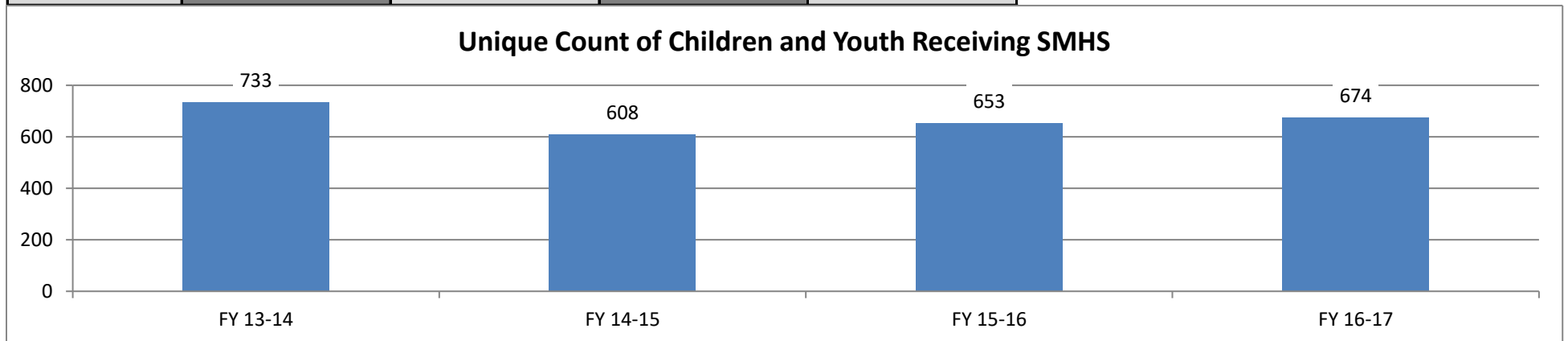
*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the beneficiary's county of Medi-Cal responsibility during the eligibility month when the inpatient service occurred.

✉ Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

Yolo County as of March 13, 2018

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 13-14	733		24,409	
FY 14-15	608	-17.1%	26,069	6.8%
FY 15-16	653	7.4%	27,637	6.0%
FY 16-17	674	3.2%	27,592	-0.2%
Compound Annual Growth Rate SFY**		-2.8%		4.2%



*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

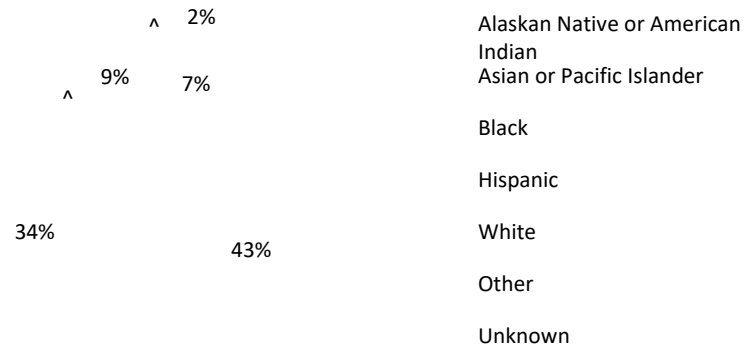
**SFY = State Fiscal Year which is July 1 through June 30.

Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

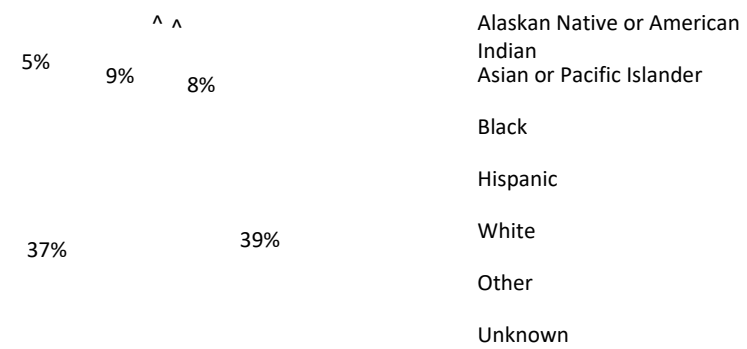
Yolo County as of March 13, 2018

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 13-14	^	^	15	2.0%	49	6.7%	318	43.4%	248	33.8%	^	^	65	8.9%
FY 14-15	^	^	^	^	49	8.1%	234	38.5%	225	37.0%	30	4.9%	52	8.6%
FY 15-16	14	2.1%	^	^	51	7.8%	270	41.3%	222	34.0%	^	^	49	7.5%
FY 16-17	13	1.9%	^	^	66	9.8%	288	42.7%	217	32.2%	^	^	46	6.8%

Fiscal Year 13-14 Race Distribution

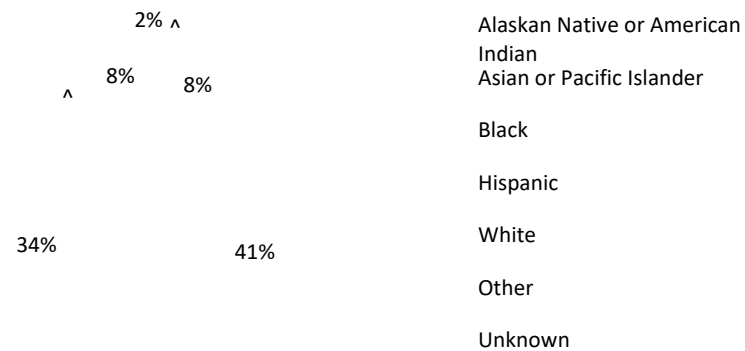


Fiscal Year 14-15 Race Distribution

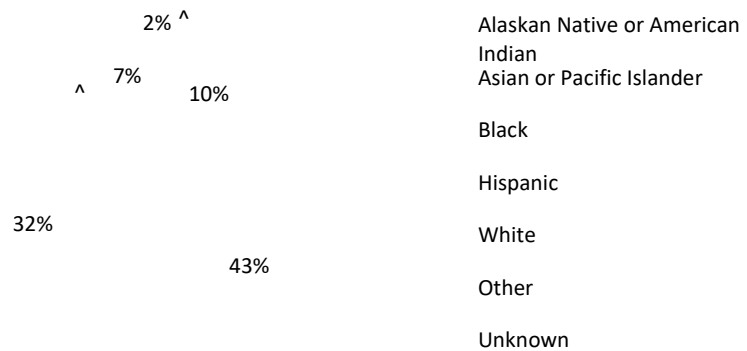


CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.

Fiscal Year 15-16 Race Distribution



Fiscal Year 16-17 Race Distribution



Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.

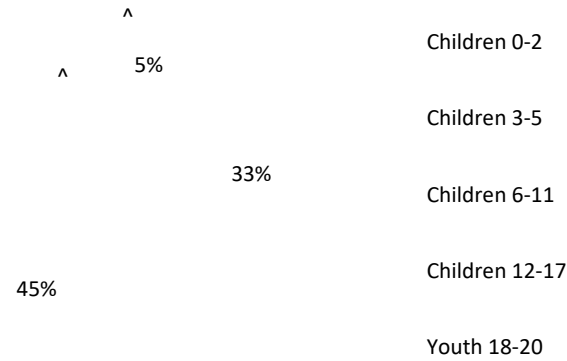
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Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

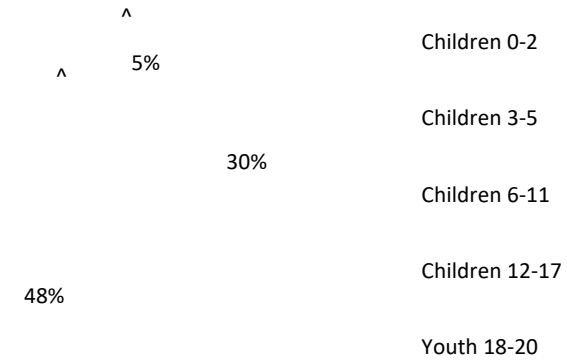
Yolo County as of March 13, 2018

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 13-14	^	^	35	4.8%	245	33.4%	331	45.2%	^	^
FY 14-15	^	^	30	4.9%	184	30.3%	292	48.0%	^	^
FY 15-16	^	^	43	6.6%	195	29.9%	323	49.5%	^	^
FY 16-17	^	^	44	6.5%	194	28.8%	330	49.0%	^	^

Fiscal Year 13-14 Age Group Distribution

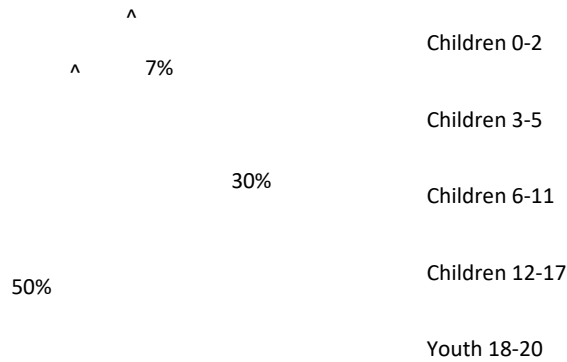


Fiscal Year 14-15 Age Group Distribution

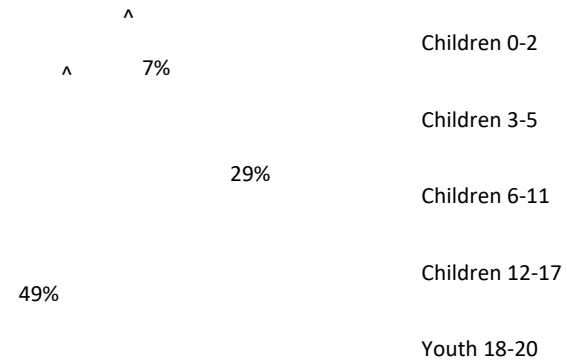


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Fiscal Year 15-16 Age Group Distribution



Fiscal Year 16-17 Age Group Distribution



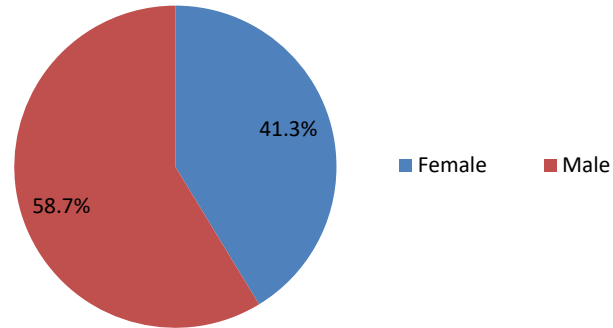
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Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year

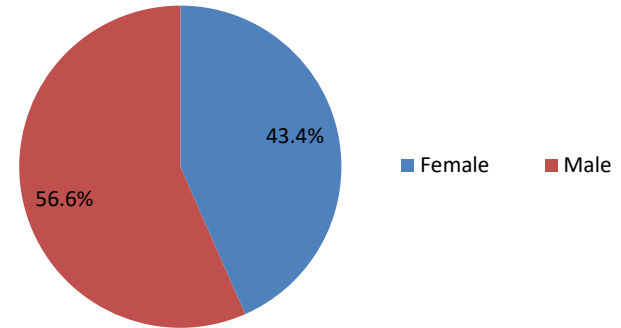
Yolo County as of March 13, 2018

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	303	41.3%	430	58.7%
FY 14-15	264	43.4%	344	56.6%
FY 15-16	297	45.5%	356	54.5%
FY 16-17	307	45.5%	367	54.5%

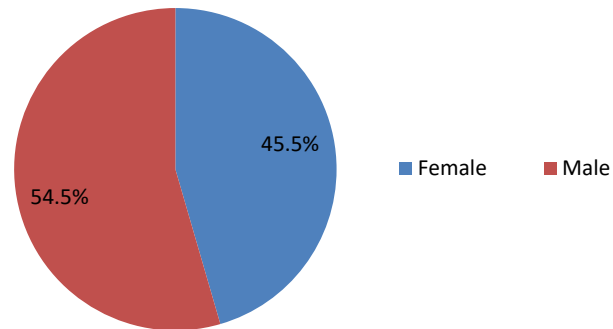
Fiscal Year 13-14 Gender Distribution



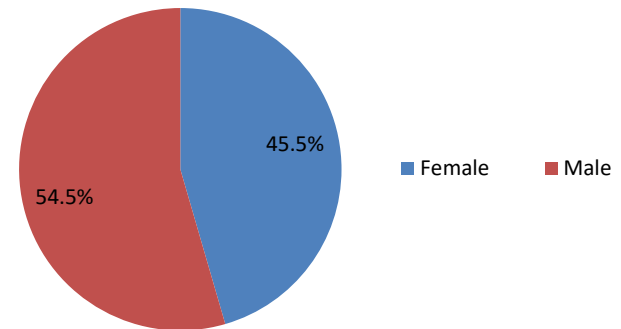
Fiscal Year 14-15 Gender Distribution



Fiscal Year 15-16 Gender Distribution



Fiscal Year 16-17 Gender Distribution

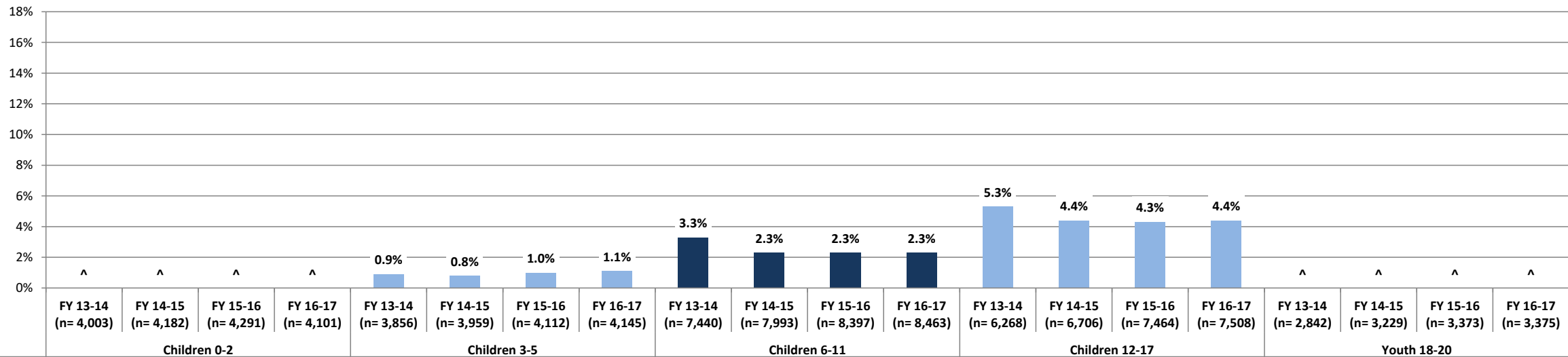


Penetration Rates* Report: Children and Youth with At Least One SMHS Visit**

Yolo County as of March 13, 2018

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	733	24,409	3.0%	608	26,069	2.3%	653	27,637	2.4%	674	27,592	2.4%
Children 0-2	^	4,003	^	^	4,182	^	^	4,291	^	^	4,101	^
Children 3-5	35	3,856	0.9%	30	3,959	0.8%	43	4,112	1.0%	44	4,145	1.1%
Children 6-11	245	7,440	3.3%	184	7,993	2.3%	195	8,397	2.3%	194	8,463	2.3%
Children 12-17	331	6,268	5.3%	292	6,706	4.4%	323	7,464	4.3%	330	7,508	4.4%
Youth 18-20	^	2,842	^	^	3,229	^	^	3,373	^	^	3,375	^
Alaskan Native or American Indian	^	160	^	^	170	^	14	171	8.2%	13	173	7.5%
Asian or Pacific Islander	15	1,632	0.9%	^	1,837	^	^	1,973	^	^	1,947	^
Black	49	1,005	4.9%	49	1,103	4.4%	51	1,188	4.3%	66	1,202	5.5%
Hispanic	318	12,824	2.5%	234	13,541	1.7%	270	14,309	1.9%	288	14,283	2.0%
White	248	5,505	4.5%	225	5,875	3.8%	222	5,957	3.7%	217	5,711	3.8%
Other	^	2,101	^	30	2,380	1.3%	^	2,721	^	^	3,075	^
Unknown	65	1,182	5.5%	52	1,163	4.5%	49	1,318	3.7%	46	1,201	3.8%
Female	303	12,006	2.5%	264	12,784	2.1%	297	13,576	2.2%	307	13,552	2.3%
Male	430	12,403	3.5%	344	13,285	2.6%	356	14,061	2.5%	367	14,040	2.6%

Penetration Rates by Age
Children and Youth With At Least One SMHS Visit**, By Fiscal Year



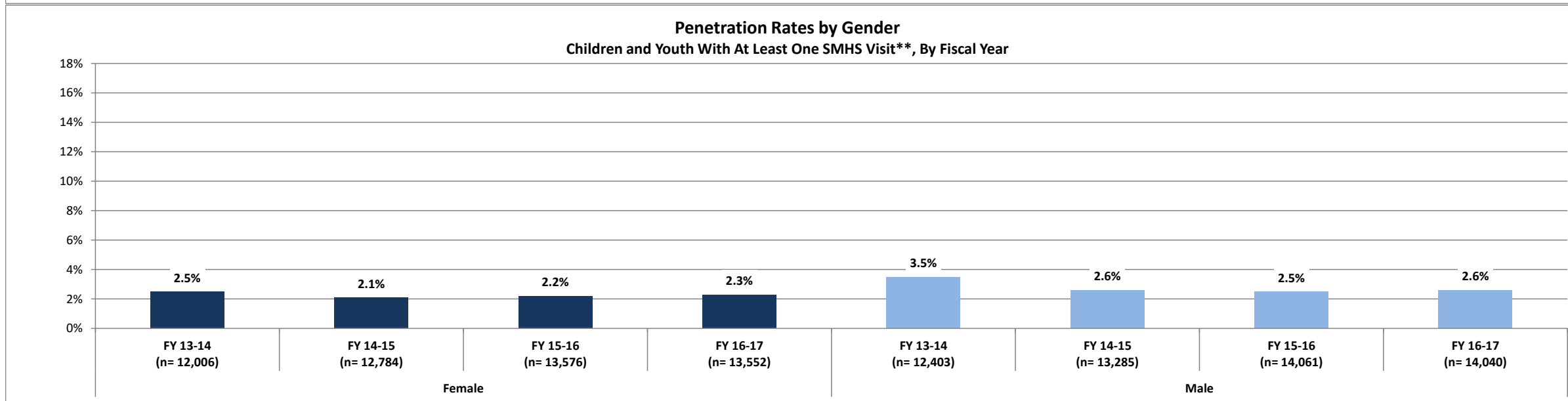
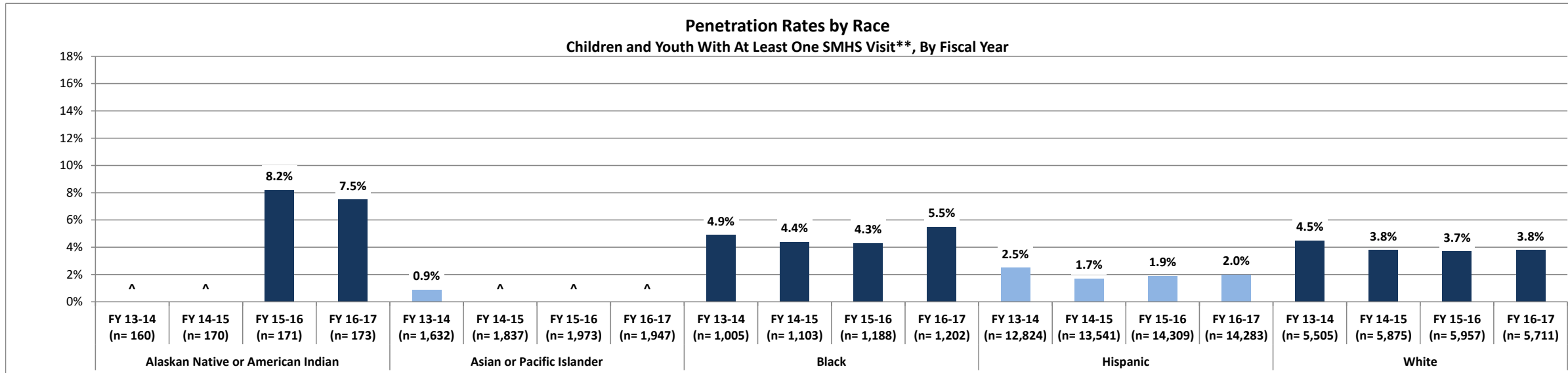
*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

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Penetration Rates* Report: Children and Youth with At Least One SMHS Visit**

Yolo County as of March 13, 2018



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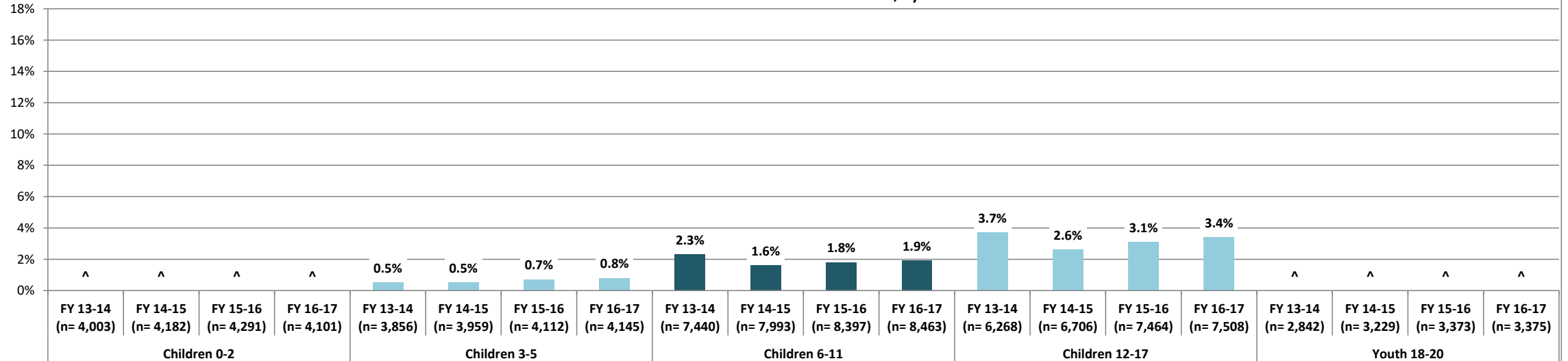
Penetration Rates* Report: Children and Youth with Five or More SMHS Visits**

Yolo County as of March 13, 2018

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	502	24,409	2.1%	377	26,069	1.4%	472	27,637	1.7%	511	27,592	1.9%
Children 0-2	^	4,003	^	^	4,182	^	^	4,291	^	^	4,101	^
Children 3-5	21	3,856	0.5%	20	3,959	0.5%	30	4,112	0.7%	34	4,145	0.8%
Children 6-11	173	7,440	2.3%	126	7,993	1.6%	155	8,397	1.8%	164	8,463	1.9%
Children 12-17	232	6,268	3.7%	174	6,706	2.6%	233	7,464	3.1%	258	7,508	3.4%
Youth 18-20	^	2,842	^	^	3,229	^	^	3,373	^	^	3,375	^
Alaskan Native or American Indian	^	160	^	^	170	^	12	171	7.0%	^	173	^
Asian or Pacific Islander	^	1,632	^	^	1,837	^	^	1,973	^	^	1,947	^
Black	36	1,005	3.6%	39	1,103	3.5%	40	1,188	3.4%	45	1,202	3.7%
Hispanic	217	12,824	1.7%	126	13,541	0.9%	186	14,309	1.3%	208	14,283	1.5%
White	166	5,505	3.0%	140	5,875	2.4%	160	5,957	2.7%	176	5,711	3.1%
Other	18	2,101	0.9%	17	2,380	0.7%	^	2,721	^	25	3,075	0.8%
Unknown	48	1,182	4.1%	40	1,163	3.4%	42	1,318	3.2%	41	1,201	3.4%
Female	210	12,006	1.7%	161	12,784	1.3%	214	13,576	1.6%	231	13,552	1.7%
Male	292	12,403	2.4%	216	13,285	1.6%	258	14,061	1.8%	280	14,040	2.0%

Penetration Rates by Age

Children and Youth With Five or More SMHS Visits**, By Fiscal Year



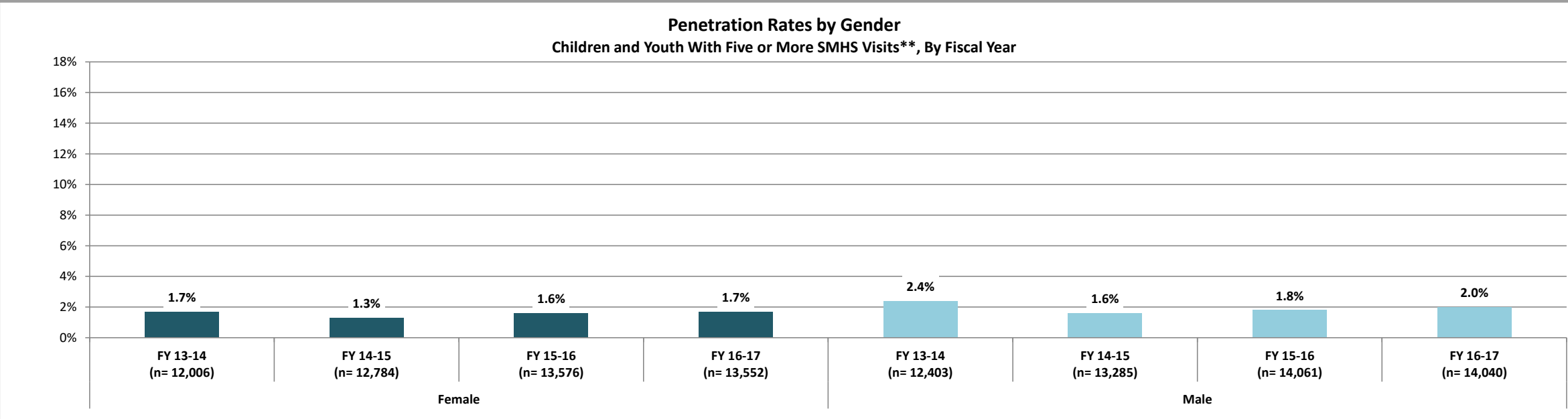
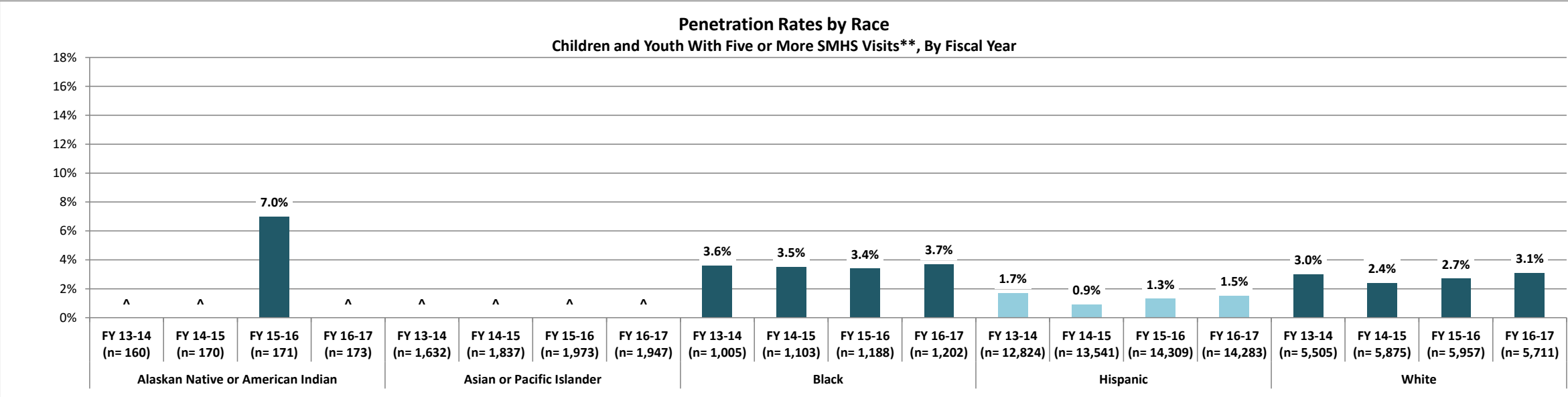
*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

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Penetration Rates* Report: Children and Youth with Five or More SMHS Visits**

Yolo County as of March 13, 2018



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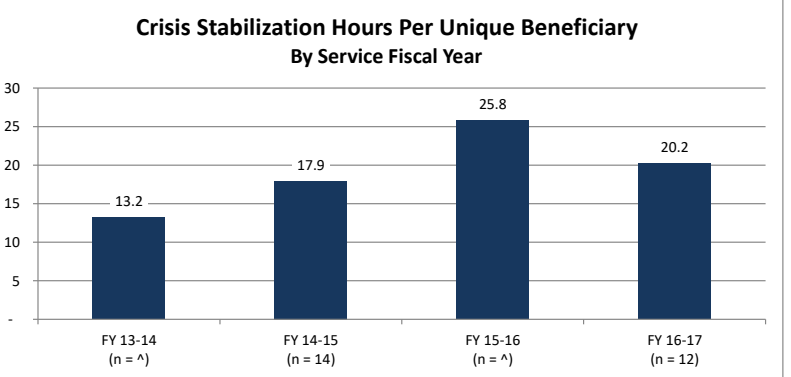
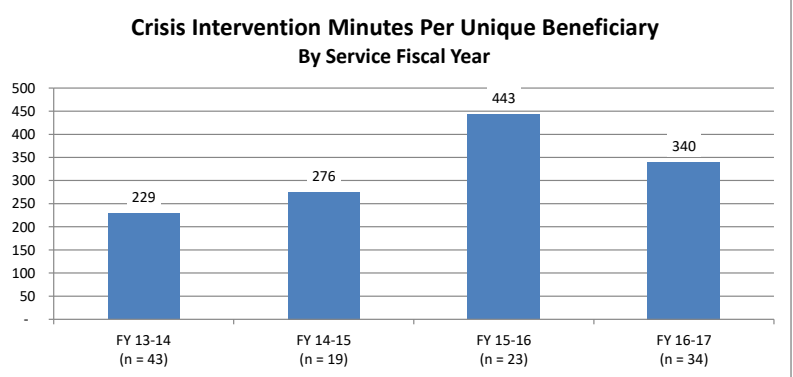
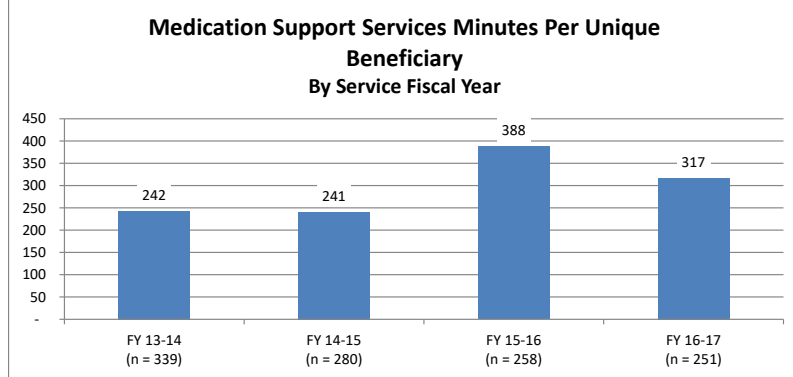
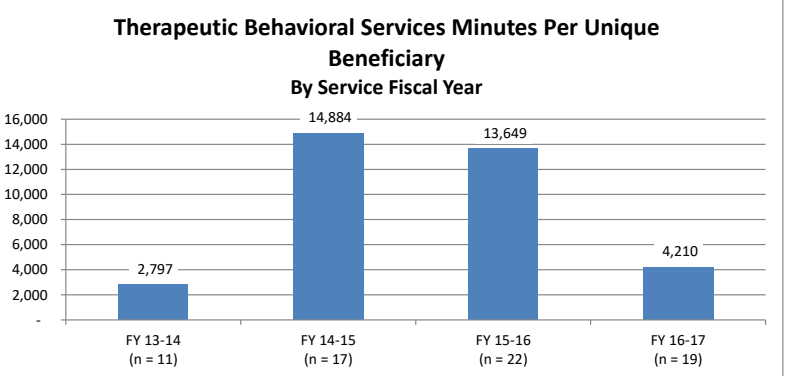
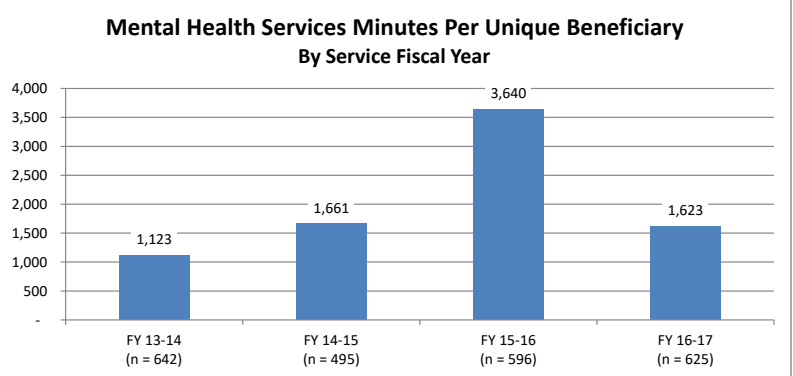
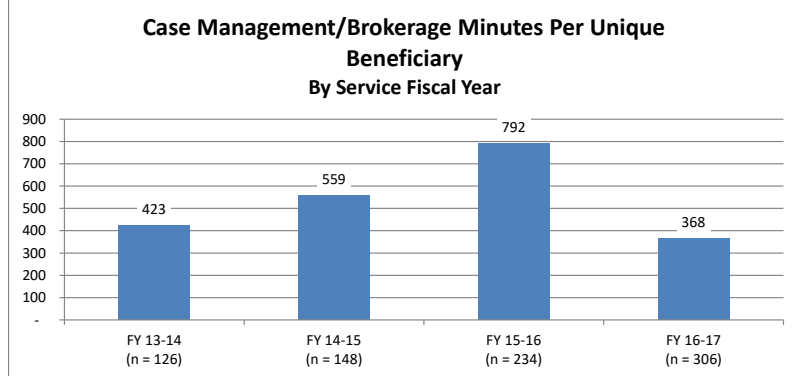
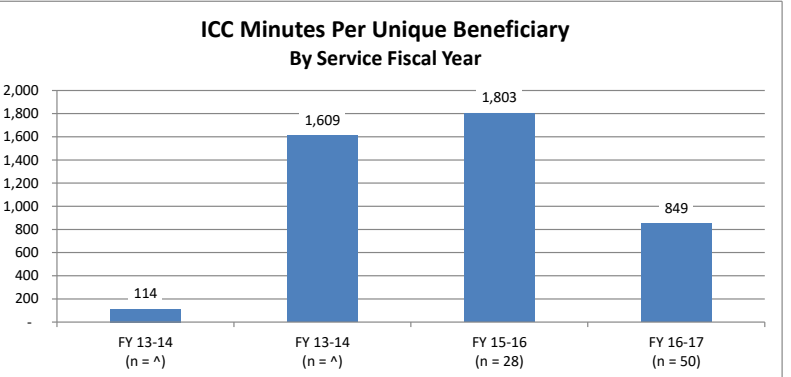
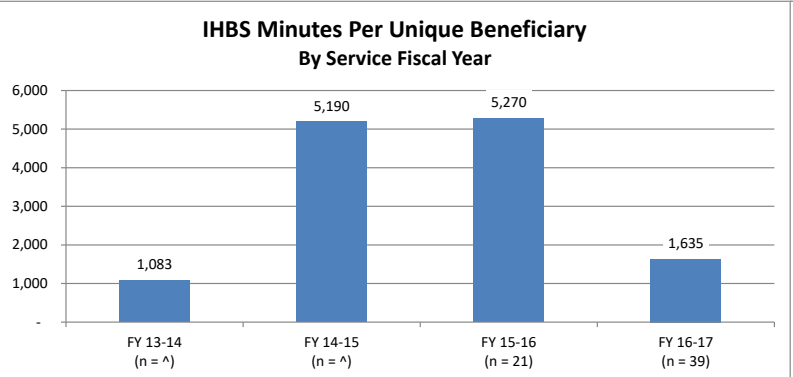
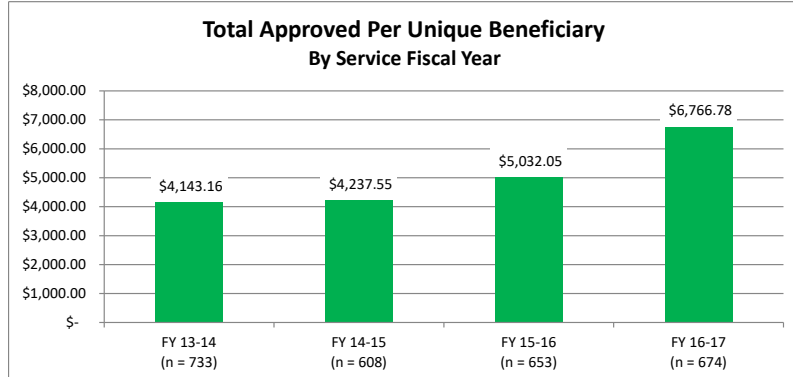
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**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year**

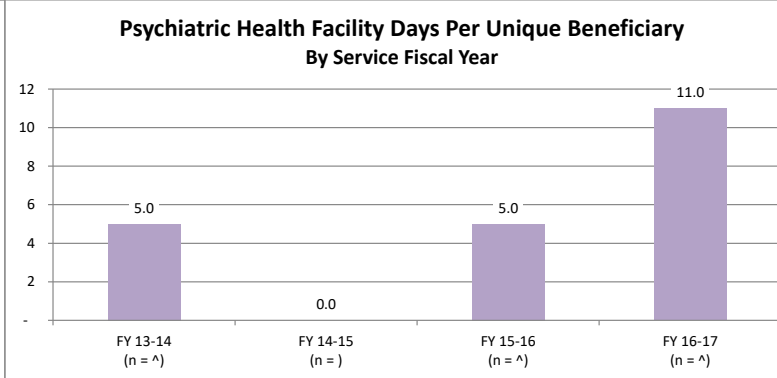
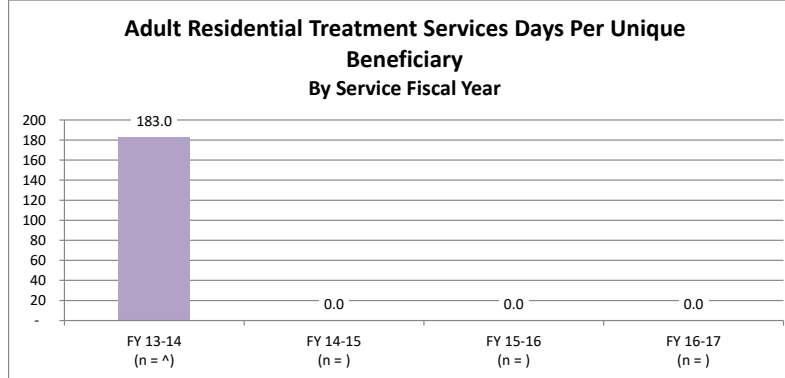
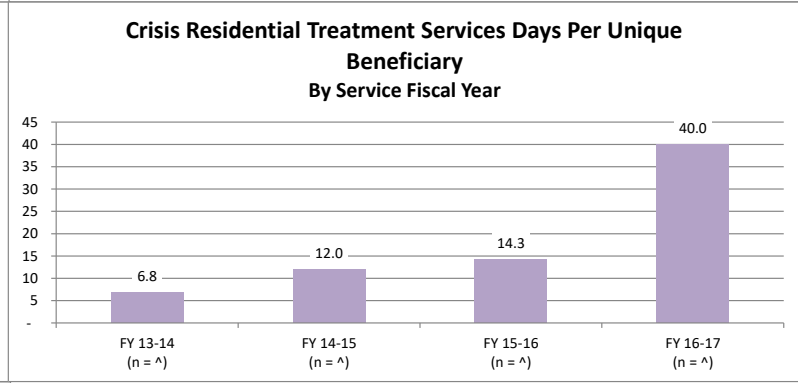
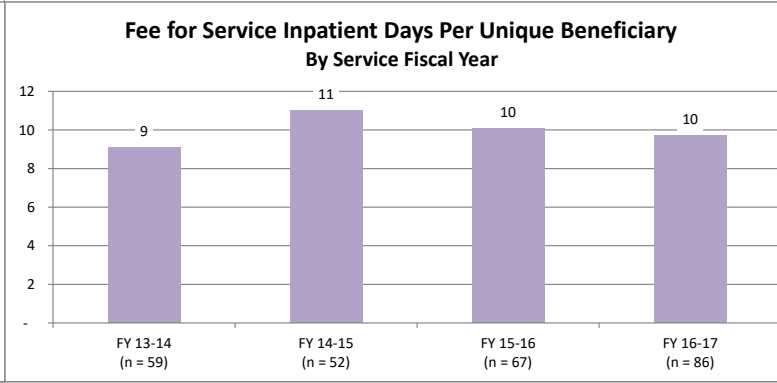
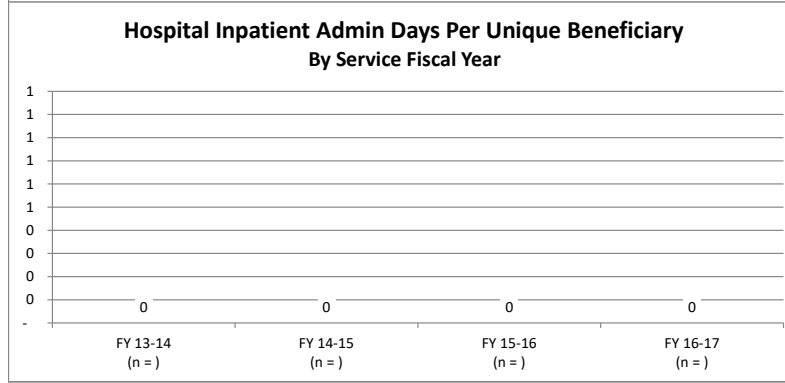
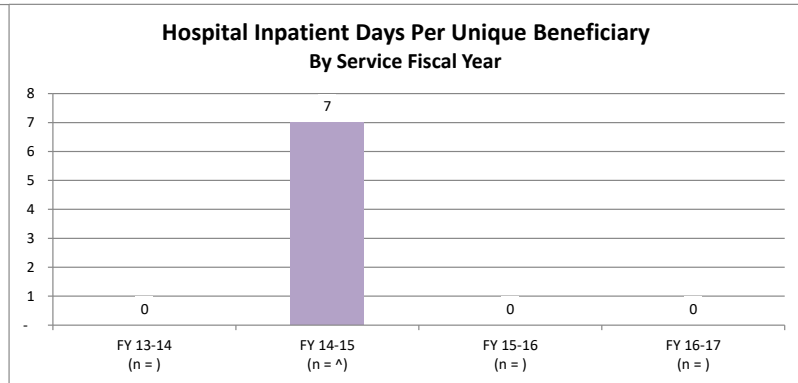
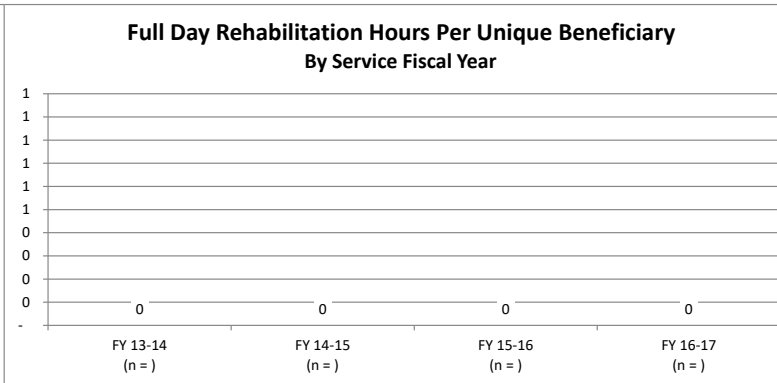
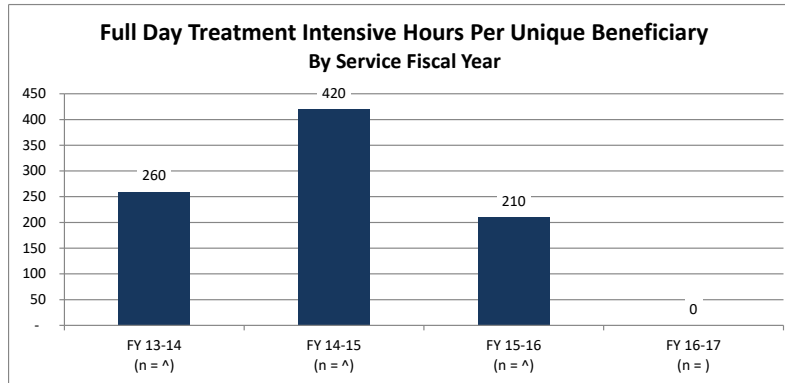
Yolo County as of March 13, 2018

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 4,143.16	1,083	114	423	1,123	2,797	242	229	13	260	0	0	0	9	7	183	5
FY 14-15	\$ 4,237.55	5,190	1,609	559	1,661	14,884	241	276	18	420	0	7	0	11	12	0	0
FY 15-16	\$ 5,032.05	5,270	1,803	792	3,640	13,649	388	443	26	210	0	0	0	10	14	0	5
FY 16-17	\$ 6,766.78	1,635	849	368	1,623	4,210	317	340	20	0	0	0	0	10	40	0	11
MEAN	\$ 5,044.89	3,294	1,094	535	2,012	8,885	297	322	19	297	0	7	0	10	18	183	7



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.
Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
 Yolo County as of March 13, 2018



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

Snapshot Report: Unique Count of Children and Youth Receiving SMHS

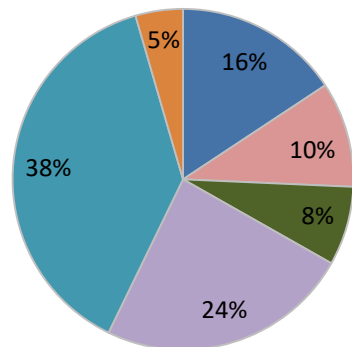
Arriving, Exiting, and with Service Continuance by Fiscal Year

Yolo County as of March 13, 2018

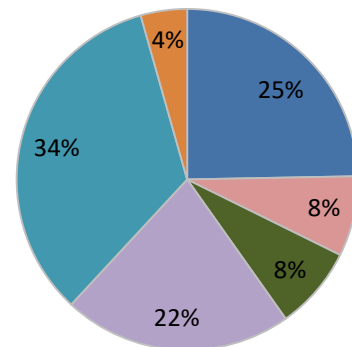
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 13-14	115	15.7%	73	10.0%	55	7.5%	176	24.0%	281	38.3%	33	4.5%	733	100%
FY 14-15	150	24.7%	46	7.6%	48	7.9%	132	21.7%	205	33.7%	27	4.4%	608	100%
FY 15-16	165	25.3%	31	4.7%	55	8.4%	135	20.7%	235	36.0%	32	4.9%	653	100%
FY 16-17	180	26.7%	40	5.9%	58	8.6%	134	19.9%	235	34.9%	27	4.0%	674	100%

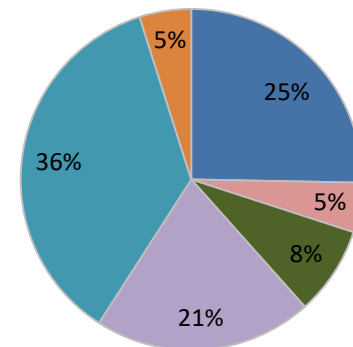
Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution



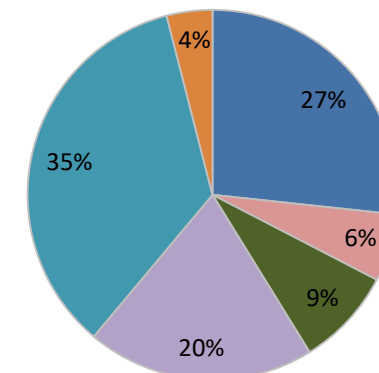
Fiscal Year 14-15 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 15-16 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 16-17 Arrivals, Service Continuance, & Exits Distribution



- Arrivals
- Service Continuance (≥ 2 YR)
- Service Continuance (< 2 YR)
- Exiting
- Arriving & Exiting
- Service Continuance (≥ 2 YR) & Exiting

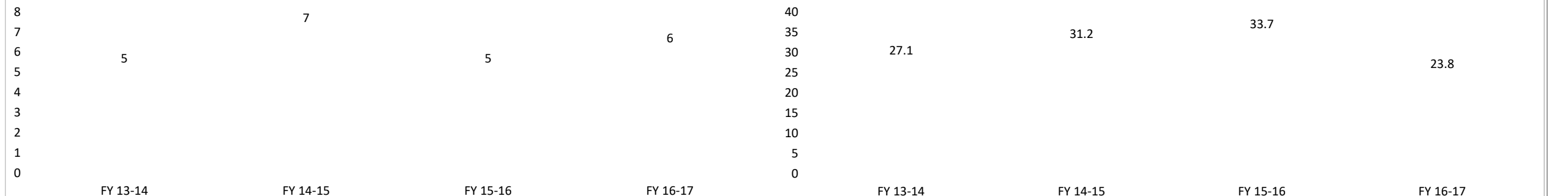
Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge*

Yolo County as of March 13, 2018

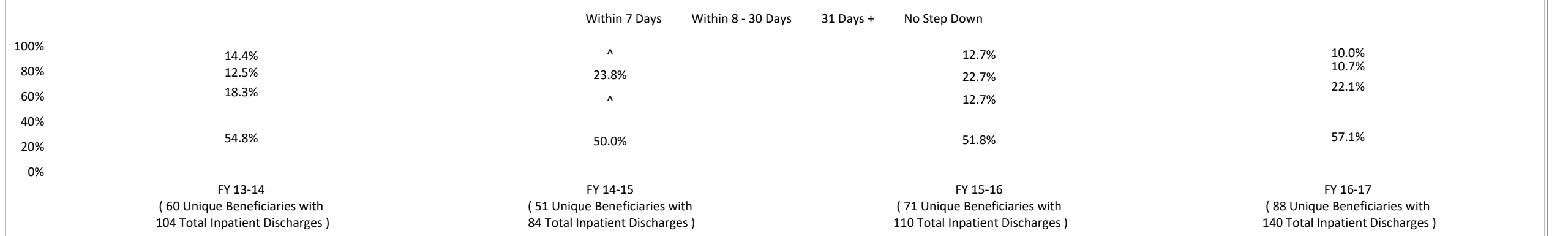
Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	57	54.8%	19	18.3%	13	12.5%	15	14.4%	0	307	27.1	5
FY 14-15	42	50.0%	^	^	20	23.8%	^	^	0	340	31.2	7
FY 15-16	57	51.8%	14	12.7%	25	22.7%	14	12.7%	0	315	33.7	5
FY 16-17	80	57.1%	31	22.1%	15	10.7%	14	10.0%	0	350	23.8	6

Median Time Between Inpatient Discharge and Step Down Service in Days

Mean Time Between Inpatient Discharge and Step Down Service in Days



CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.
Percentage of Discharges by Time Between Inpatient Discharge and Step Down Service



* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

^ Data has been suppressed to protect patient privacy.