

Performance Outcomes System Initial Reports

Report run on March 13, 2018

Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data; population-based county groups; and county-specific data. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.asp>

Purpose and Overview

These county-specific reports provide updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth under 21 who are receiving SMH' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Performance Outcomes System Initial Reports

Report run on March 13, 2018

Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources - Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.

- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.

Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at: <http://dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy: The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

Performance Outcomes System Initial Reports
Report run on March 13, 2018

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:
<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of fiscal responsibility for the patient and whom has been attributed the time to next service in days used in the calculations for this indicator.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Francisco County as of March 13, 2018**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 13-14	3,747		61,045	
FY 14-15	3,977	6.1%	62,078	1.7%
FY 15-16	3,852	-3.1%	63,576	2.4%
FY 16-17	3,902	1.3%	62,932	-1.0%
Compound Annual Growth Rate SFY**		1.4%		1.0%

**SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

***SFY = State Fiscal Year which is July 1 through June 30.*

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Francisco County as of March 13, 2018**

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 13-14	14	0.4%	702	18.7%	811	21.6%	1,036	27.6%	269	7.2%	707	18.9%	208	5.6%
FY 14-15	18	0.5%	723	18.2%	880	22.1%	1,087	27.3%	307	7.7%	743	18.7%	219	5.5%
FY 15-16	^	^	662	17.2%	830	21.5%	1,100	28.6%	306	7.9%	^	^	182	4.7%
FY 16-17	13	0.3%	621	15.9%	754	19.3%	1,292	33.1%	276	7.1%	786	20.1%	160	4.1%

**This report uses the Medi-Cal Eligibility Data System for racial data, while CDSS uses the Child Welfare Services/Case Management System.*

^ Data has been suppressed to protect patient privacy.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Francisco County as of March 13, 2018**

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 13-14	94	2.5%	233	6.2%	1,231	32.9%	1,661	44.3%	528	14.1%
FY 14-15	142	3.6%	269	6.8%	1,332	33.5%	1,653	41.6%	581	14.6%
FY 15-16	143	3.7%	257	6.7%	1,312	34.1%	1,591	41.3%	549	14.3%
FY 16-17	161	4.1%	240	6.2%	1,309	33.5%	1,602	41.1%	590	15.1%

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
San Francisco County as of March 13, 2018**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	1,573	42.0%	2,174	58.0%
FY 14-15	1,698	42.7%	2,279	57.3%
FY 15-16	1,657	43.0%	2,195	57.0%
FY 16-17	1,744	44.7%	2,158	55.3%

Penetration Rates* Report: Children and Youth with At Least One SMHS Visit
San Francisco County as of March 13, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	3,747	61,045	6.1%	3,977	62,078	6.4%	3,852	63,576	6.1%	3,902	62,932	6.2%
Children 0-2	94	9,643	1.0%	142	9,909	1.4%	143	9,773	1.5%	161	9,536	1.7%
Children 3-5	233	9,193	2.5%	269	9,103	3.0%	257	9,495	2.7%	240	9,141	2.6%
Children 6-11	1,231	17,415	7.1%	1,332	17,993	7.4%	1,312	18,278	7.2%	1,309	18,157	7.2%
Children 12-17	1,661	16,181	10.3%	1,653	16,200	10.2%	1,591	17,155	9.3%	1,602	17,215	9.3%
Youth 18-20	528	8,613	6.1%	581	8,873	6.5%	549	8,875	6.2%	590	8,883	6.6%
Alaskan Native or American Indian	14	105	13.3%	18	111	16.2%	^	103	^	13	94	13.8%
Asian or Pacific Islander	702	22,534	3.1%	723	22,487	3.2%	662	21,976	3.0%	621	21,064	2.9%
Black	811	7,080	11.5%	880	6,841	12.9%	830	6,653	12.5%	754	6,181	12.2%
Hispanic	1,036	17,301	6.0%	1,087	17,707	6.1%	1,100	19,199	5.7%	1,292	19,736	6.5%
White	269	3,542	7.6%	307	3,746	8.2%	306	3,593	8.5%	276	3,359	8.2%
Other	707	8,161	8.7%	743	8,914	8.3%	^	9,841	^	786	10,509	7.5%
Unknown	208	2,322	9.0%	219	2,272	9.6%	182	2,211	8.2%	160	1,989	8.0%
Female	1,573	29,741	5.3%	1,698	30,316	5.6%	1,657	30,928	5.4%	1,744	30,545	5.7%
Male	2,174	31,304	6.9%	2,279	31,762	7.2%	2,195	32,648	6.7%	2,158	32,387	6.7%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Children and Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

Penetration Rates* Report: Children and Youth with Five or More SMHS Visits
San Francisco County as of March 13, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	3,047	61,045	5.0%	3,155	62,078	5.1%	3,092	63,576	4.9%	3,086	62,932	4.9%
Children 0-2	61	9,643	0.6%	54	9,909	0.5%	67	9,773	0.7%	63	9,536	0.7%
Children 3-5	177	9,193	1.9%	184	9,103	2.0%	178	9,495	1.9%	160	9,141	1.8%
Children 6-11	1,049	17,415	6.0%	1,089	17,993	6.1%	1,107	18,278	6.1%	1,096	18,157	6.0%
Children 12-17	1,378	16,181	8.5%	1,378	16,200	8.5%	1,329	17,155	7.7%	1,337	17,215	7.8%
Youth 18-20	382	8,613	4.4%	450	8,873	5.1%	411	8,875	4.6%	430	8,883	4.8%
Alaskan Native or American Indian	^	105	^	^	111	^	^	103	^	^	94	^
Asian or Pacific Islander	575	22,534	2.6%	584	22,487	2.6%	536	21,976	2.4%	495	21,064	2.3%
Black	659	7,080	9.3%	684	6,841	10.0%	665	6,653	10.0%	592	6,181	9.6%
Hispanic	817	17,301	4.7%	852	17,707	4.8%	861	19,199	4.5%	1,009	19,736	5.1%
White	212	3,542	6.0%	232	3,746	6.2%	262	3,593	7.3%	210	3,359	6.3%
Other	^	8,161	^	^	8,914	^	^	9,841	^	^	10,509	^
Unknown	181	2,322	7.8%	179	2,272	7.9%	148	2,211	6.7%	140	1,989	7.0%
Female	1,249	29,741	4.2%	1,302	30,316	4.3%	1,306	30,928	4.2%	1,325	30,545	4.3%
Male	1,798	31,304	5.7%	1,853	31,762	5.8%	1,786	32,648	5.5%	1,761	32,387	5.4%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
San Francisco County as of March 13, 2018**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 12,021	4,864	2,292	402	1,879	4,722	358	459	22	615	531	7	21	14	15	102	3
FY 14-15	\$ 14,426	4,744	1,899	374	2,024	5,144	363	377	22	518	453	10	9	11	18	93	10
FY 15-16	\$ 17,508	5,693	1,962	415	2,095	6,012	385	341	14	384	696	4	6	10	14	70	4
FY 16-17	\$ 24,086	5,325	1,697	444	2,098	6,105	385	363	19	0	486	7	3	10	11	103	17
MEAN	\$ 17,010	5,156	1,963	409	2,024	5,496	372	385	19	506	541	7	10	11	15	92	8

**The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.*

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Children and Youth Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year
San Francisco County as of March 13, 2018**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 13-14	979	26.1%	443	11.8%	459	12.2%	676	18.0%	1,050	28.0%	140	3.7%	3,747	100%
FY 14-15	922	23.2%	490	12.3%	366	9.2%	805	20.2%	1,197	30.1%	197	5.0%	3,977	100%
FY 15-16	970	25.2%	461	12.0%	367	9.5%	726	18.8%	1,118	29.0%	210	5.5%	3,852	100%
FY 16-17	881	22.6%	445	11.4%	349	8.9%	737	18.9%	1,255	32.2%	235	6.0%	3,902	100%

Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge*
San Francisco County as of March 13, 2018

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	117	92.1%	^	^	^	^	0	0.0%	0	260	6.7	0
FY 14-15	100	82.0%	^	^	^	^	^	^	0	344	11.7	0
FY 15-16	103	79.2%	11	8.5%	^	^	^	^	0	225	10.3	0
FY 16-17	138	83.6%	^	^	^	^	14	8.5%	0	233	5.2	0

* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data
 ^ Data has been suppressed to protect patient privacy.