# Performance Outcomes System Children/Youth in Foster Care Report Report run on June 8, 2018

# Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide-aggregate data, population-based county groupings, and county-specific reports where possible. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi- Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx

# Purpose and Overview

This report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of Foster Care case children and youth under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Penetration and engagement rate data are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.

# Definitions

Population: Foster Care or Open Child Welfare beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:
Age 20 or younger during the approved date of service on the claim.

### Data Sources:

• Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.

• Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.

• Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 13/14 through FY 16/17.

# Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at: http://www.dhcs.ca.gov/services/MH/Documents/POS\_MeasuresCatalog\_Sept2016.pdf

#### Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "-".

# **Report Interpretation**

\*Population-based and county-specific report findings may be interpreted alongside the POS statewide report findings.

\*New Age Methodology for Identifying Children under 21 (POS reports posted after 7/1/17): Beneficiaries that were under the age of 21 for the entire fiscal year (their age was less than 21 as of June 30th of the reported fiscal year).

\*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). For the POS, the penetration rate is calculated by taking the total number of youth who received one or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted. Penetration rates provide a measure of initial contact with the specialty mental health system.

\*The engagement rates are calculated similarly to penetration rates but are intended to measure ongoing engagement with the specialty mental health system. The engagement rate is calculated by taking the total number of youth who received five or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY.

\*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses six general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:

http://www.dhcs.ca.gov/services/MH/Documents/POS\_MeasuresCatalog\_Sept2016.pdf

\*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this re port will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the beneficiary's county of Medi-Cal responsibility during the eligibility month when the inpatient service occurred.

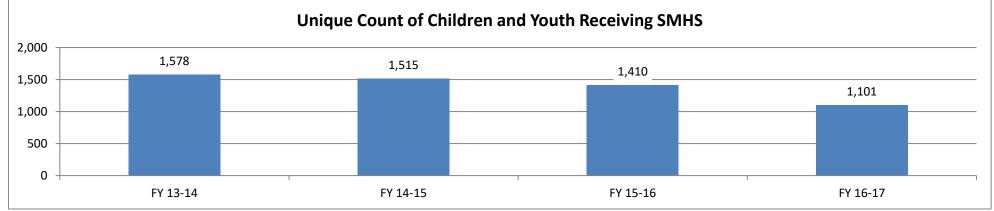
\*Data Source Methodology: Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

\*Open Child Welfare: Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent guardians.

\*Foster Care Placement: Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

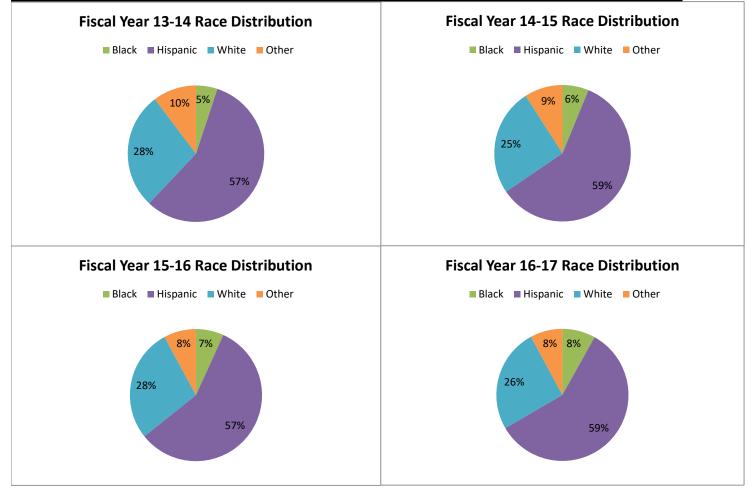
SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Foster Care Youth in Medi-Cal	Year-Over-Year Percentage Change
FY 13-14	1,578		3,444	
FY 14-15	1,515	-4.0%	3,430	-0.4%
FY 15-16	1,410	-6.9%	3,453	0.7%
FY 16-17	1,101	-21.9%	3,333	-3.5%
Compound Annual Growth Rate SFY**		-11.3%		-1.1%



\*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

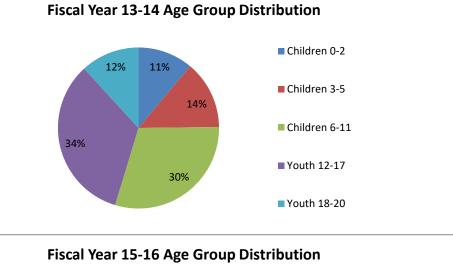
\*\*SFY = State Fiscal Year which is July 1 through June 30.

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 13-14	80	5.1%	899	57.0%	437	27.7%	162	10.3%
FY 14-15	94	6.2%	898	59.3%	385	25.4%	138	9.1%
FY 15-16	96	6.8%	810	57.4%	392	27.8%	112	7.9%
FY 16-17	89	8.1%	644	58.5%	281	25.5%	87	7.9%

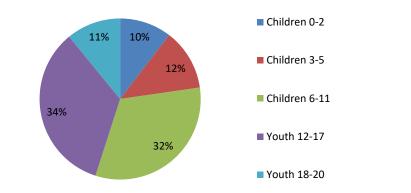


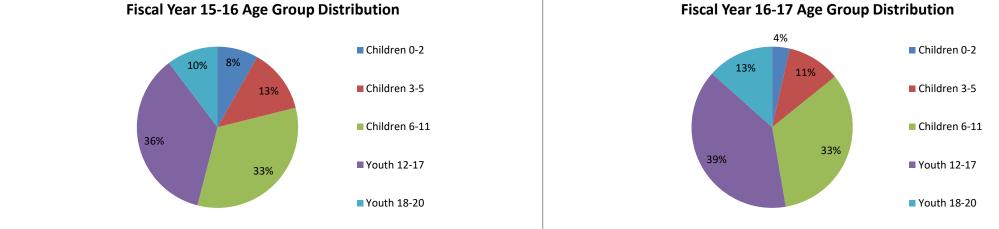
Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Youth 12-17 Count	Youth 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 13-14	175	11.1%	216	13.7%	472	29.9%	529	33.5%	186	11.8%
FY 14-15	158	10.4%	187	12.3%	488	32.2%	517	34.1%	165	10.9%
FY 15-16	115	8.2%	182	12.9%	464	32.9%	504	35.7%	145	10.3%
FY 16-17	38	3.5%	118	10.7%	364	33.1%	433	39.3%	148	13.4%

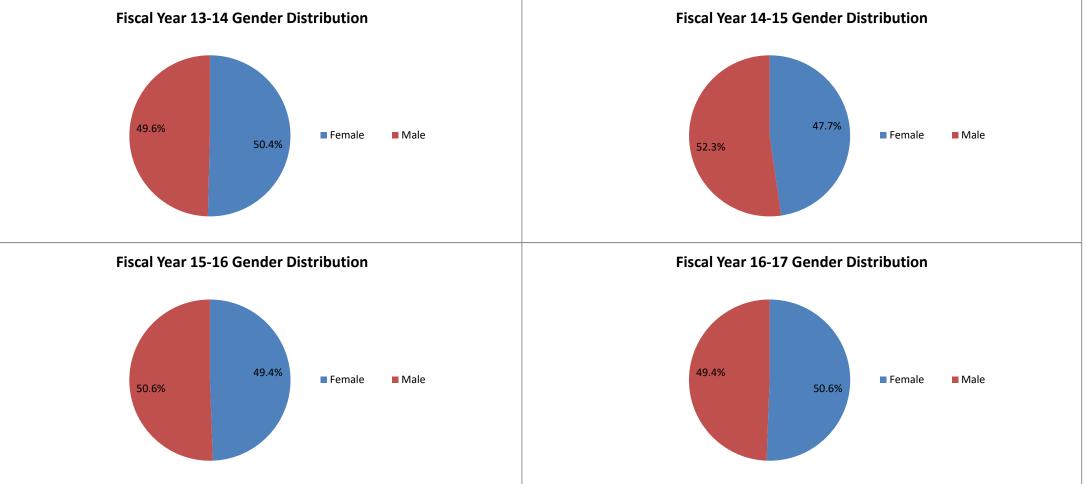






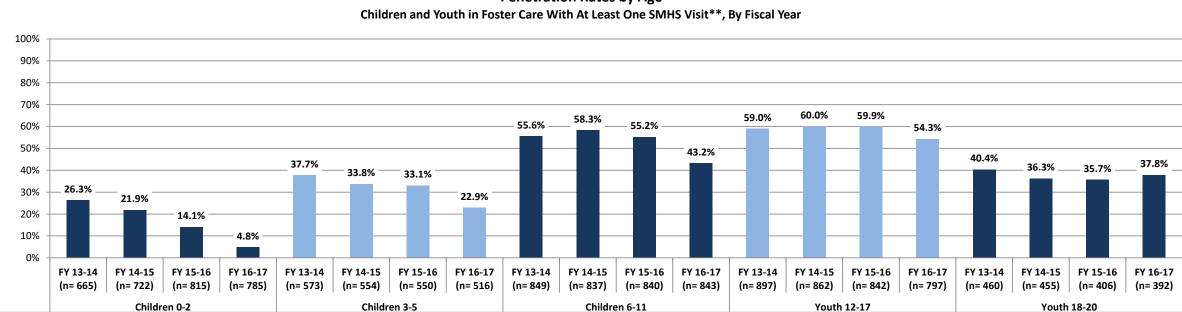


Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	796	50.4%	782	49.6%
FY 14-15	722	47.7%	793	52.3%
FY 15-16	697	49.4%	713	50.6%
FY 16-17	557	50.6%	544	49.4%



#### Penetration Rates\* Report: Children and Youth in Foster Care with At Least One SMHS Visit\*\* Orange County as of June 8, 2018

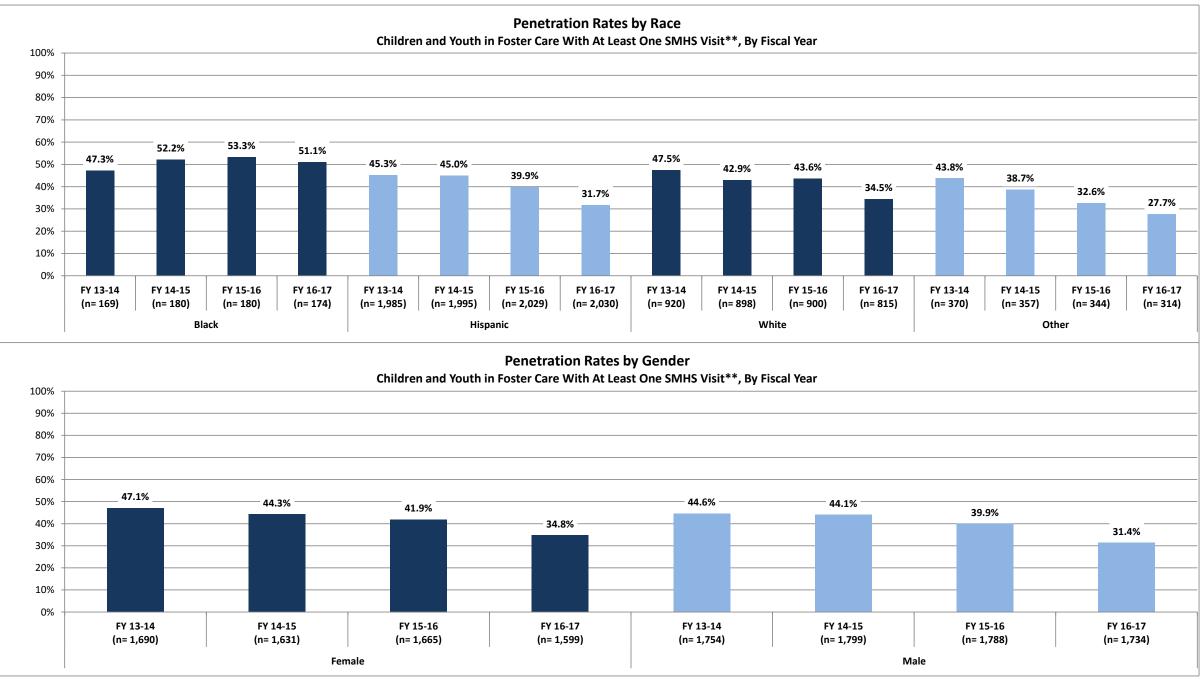
		FY 13-14			FY 14-15			FY 15-16			FY 16-17	
	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate
All	1,578	3,444	45.8%	1,515	3,430	44.2%	1,410	3,453	40.8%	1,101	3,333	33.0%
Children 0-2	175	665	26.3%	158	722	21.9%	115	815	14.1%	38	785	4.8%
Children 3-5	216	573	37.7%	187	554	33.8%	182	550	33.1%	118	516	22.9%
Children 6-11	472	849	55.6%	488	837	58.3%	464	840	55.2%	364	843	43.2%
Youth 12-17	529	897	59.0%	517	862	60.0%	504	842	59.9%	433	797	54.3%
Youth 18-20	186	460	40.4%	165	455	36.3%	145	406	35.7%	148	392	37.8%
Black	80	169	47.3%	94	180	52.2%	96	180	53.3%	89	174	51.1%
Hispanic	899	1,985	45.3%	898	1,995	45.0%	810	2,029	39.9%	644	2,030	31.7%
White	437	920	47.5%	385	898	42.9%	392	900	43.6%	281	815	34.5%
Other	162	370	43.8%	138	357	38.7%	112	344	32.6%	87	314	27.7%
Female	796	1,690	47.1%	722	1,631	44.3%	697	1,665	41.9%	557	1,599	34.8%
Male	782	1,754	44.6%	793	1,799	44.1%	713	1,788	39.9%	544	1,734	31.4%



\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care sy. Page 7 of 14 \*\*Children and Youth in Foster Care that have received at least one SMHS in the Fiscal Year.

# Penetration Rates by Age

#### Penetration Rates\* Report: Children and Youth in Foster Care with At Least One SMHS Visit\*\* Orange County as of June 8, 2018

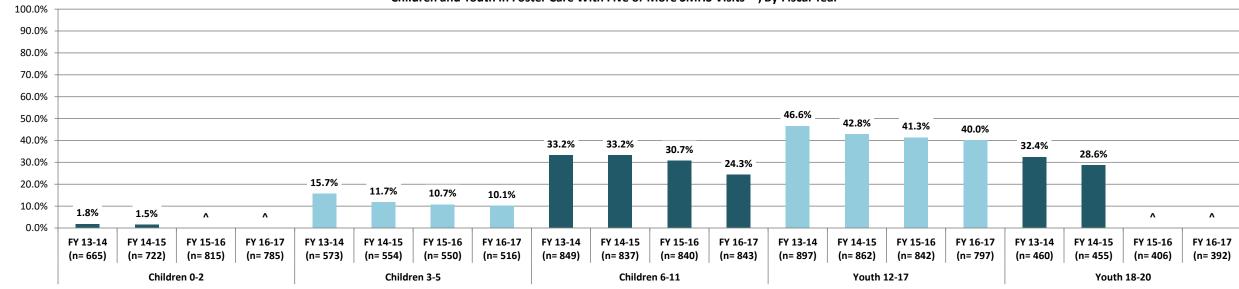


\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care sy. \*\*Children and Youth in Foster Care that have received at least one SMHS in the Fiscal Year.

#### Engagement Rates\* Report: Children and Youth in Foster Care with Five or More SMHS Visits\*\* Orange County as of June 8, 2018

		FY 13-14			FY 14-15			FY 15-16			FY 16-17	
	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate
All	951	3,444	27.6%	853	3,430	24.9%	783	3,453	22.7%	696	3,333	20.9%
Children 0-2	12	665	1.8%	11	722	1.5%	^	815	٨	٨	785	^
Children 3-5	90	573	15.7%	65	554	11.7%	59	550	10.7%	52	516	10.1%
Children 6-11	282	849	33.2%	278	837	33.2%	258	840	30.7%	205	843	24.3%
Youth 12-17	418	897	46.6%	369	862	42.8%	348	842	41.3%	319	797	40.0%
Youth 18-20	149	460	32.4%	130	455	28.6%	^	406	۸	۸	392	^
Black	50	169	29.6%	59	180	32.8%	66	180	36.7%	67	174	38.5%
Hispanic	510	1,985	25.7%	472	1,995	23.7%	422	2,029	20.8%	373	2,030	18.4%
White	276	920	30.0%	237	898	26.4%	226	900	25.1%	200	815	24.5%
Other	115	370	31.1%	85	357	23.8%	69	344	20.1%	56	314	17.8%
Female	471	1,690	27.9%	404	1,631	24.8%	392	1,665	23.5%	360	1,599	22.5%
Male	480	1,754	27.4%	449	1,799	25.0%	391	1,788	21.9%	336	1,734	19.4%

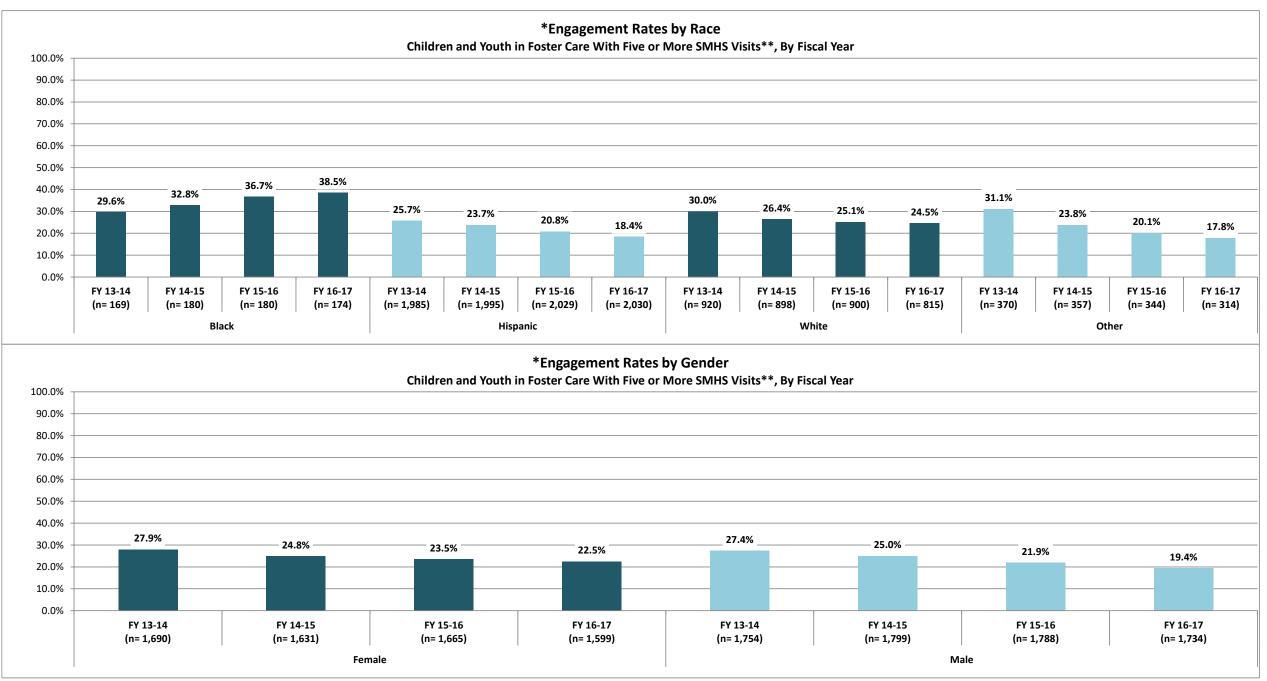




\*Engagement Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system. \*\*Children and Youth in Foster Care that have received at least five SMHS in the Fiscal Year.

^ Data has been suppressed to protect patient privacy.

#### Engagement Rates\* Report: Children and Youth in Foster Care with Five or More SMHS Visits\*\* Orange County as of June 8, 2018



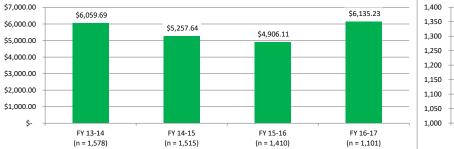
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#### Utilization Report\*: Approved SMHS for Children/Youth in Foster Care Mean Expenditures and Service Quantity per Beneficiary by Fiscal Year

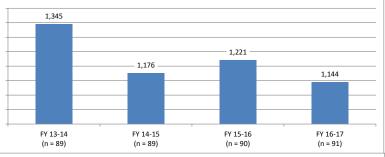
Orange County as of June 8, 2018

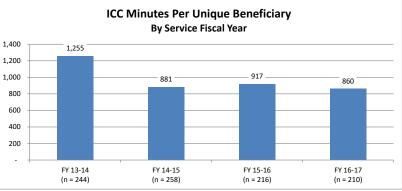
Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service	Crisis Residential Treatment Services (Days)		Psychiatric Health Facility (Days)
FY 13-14	\$ 6,060	1,345	1,255	553	1,241	4,295	574	334	16	468	668	13	0	13	9	0	0
FY 14-15	\$ 5,258	1,176	881	478	1,128	3,483	399	405	19	0	181	0	0	14	0	0	0
FY 15-16	\$ 4,906	1,221	917	395	1,039	3,711	439	290	12	0	697	2	3	13	11	0	0
FY 16-17	\$ 6,135	1,144	860	413	1,203	4,026	475	336	22	0	382	5	0	12	13	0	0
MEAN	\$ 5,590	1,221	978	460	1,153	3,879	472	341	17	468	482	7	3	13	11	0	0

#### Total Approved Per Unique Beneficiary By Service Fiscal Year

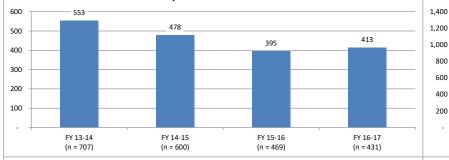


### IHBS Minutes Per Unique Beneficiary By Service Fiscal Year

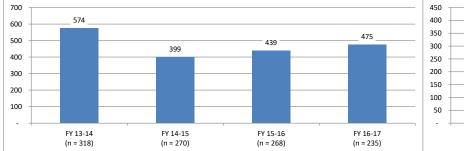




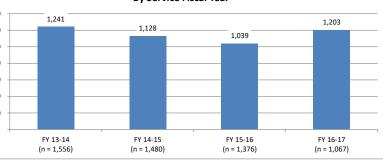
Case Management/Brokerage Minutes Per Unique Beneficiary By Service Fiscal Year



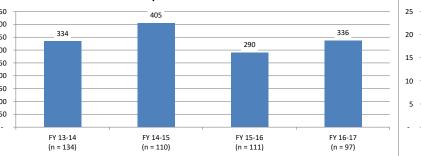
Medication Support Services Minutes Per Unique Beneficiary By Service Fiscal Year



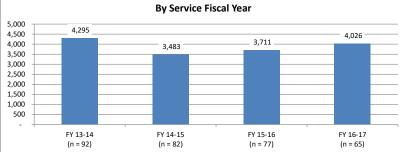
Mental Health Services Minutes Per Unique Beneficiary By Service Fiscal Year



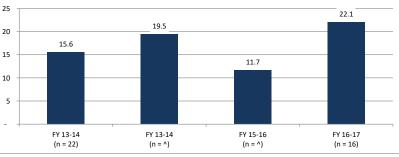
#### Crisis Intervention Minutes Per Unique Beneficiary By Service Fiscal Year



# Therapeutic Behavioral Services Minutes Per Unique Beneficiary



#### Crisis Stabilization Hours Per Unique Beneficiary By Service Fiscal Year

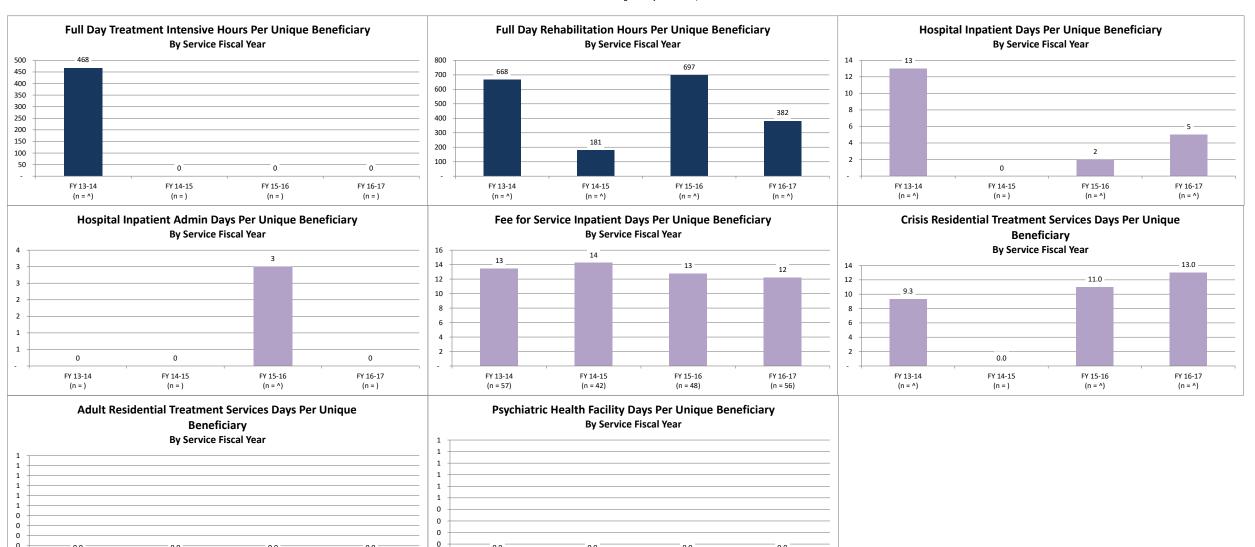


\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

#### Utilization Report\*: Approved SMHS for Children/Youth in Foster Care Mean Expenditures and Service Quantity per Beneficiary by Fiscal Year Orange County as of June 8, 2018



\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

0.0

FY 14-15

(n = )

0

0.0

FY 13-14

(n = )

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year. ^ Data has been suppressed to protect patient privacy.

0.0

FY 16-17

(n = )

0.0

FY 15-16

(n = )

Page 12 of 14

0.0

FY 14-15

(n = )

0.0

FY 15-16

(n = )

0.0

FY 16-17

(n = )

0.0

FY 13-14

(n = )

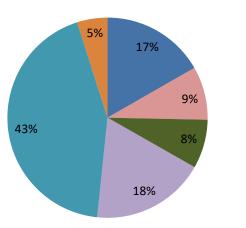
# Snapshot Report: Unique Count of Children and Youth in Foster Care Receiving SMHS Arriving, Exiting, and with Service Continuance by Fiscal Year Orange County as of June 8, 2018

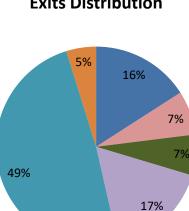
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance &	
Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

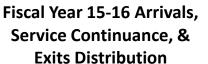
Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Continuance	Service Continuance (<2 YR) Count		Exiting Count	Exiting %	Arriving & Exiting Count	Arriving &		Service Continuance (>= 2 YR) and Exiting %		Total %
FY 13-14	264	16.7%	136	8.6%	125	7.9%	290	18.4%	685	43.4%	78	4.9%	1,578	100%
FY 14-15	241	15.9%	108	7.1%	100	6.6%	255	16.8%	738	48.7%	73	4.8%	1,515	100%
FY 15-16	248	17.6%	91	6.5%	81	5.7%	204	14.5%	715	50.7%	71	5.0%	1,410	100%
FY 16-17	227	20.6%	94	8.5%	75	6.8%	172	15.6%	464	42.1%	69	6.3%	1,101	100%

# Fiscal Year 13-14 Arrivals, Service Continuance, & **Exits Distribution**

Fiscal Year 14-15 Arrivals, Service Continuance, & **Exits Distribution** 









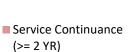
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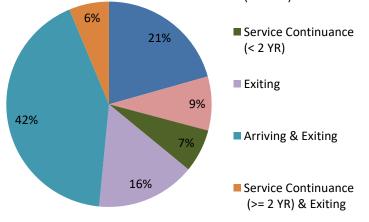
15%

18%

# Fiscal Year 16-17 Arrivals, Service Continuance, & **Exits Distribution**



Arrivals



51%

# Time to Step Down Report: Children and Youth in Foster Care Stepping Down in SMHS Services Post Inpatient Discharge\* Orange County as of June 8, 2018

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*		Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	71	79.8%	۸	۸	۸	۸	0	113	5.4	0
FY 14-15	49	58.3%	14	16.7%	21	25.0%	0	233	21.2	5
FY 15-16	64	76.2%	^	^	^	^	0	241	8.5	1
FY 16-17	71	75.5%	11	11.7%	12	12.8%	0	148	9.9	0
	Median Time		patient Disc vice in Days	harge and		Mean Tim		npatient Dis ervice in Day	charge and S	Step
6 5 4 3 2 1 0	0 FY 13-14	5 FY 14-15	1 ARTS NO of Discharges	by Time Be	tween Inpat		21.2 L CELL SIZ ge and Step	8.5 8.5 <b>ZES.</b>	-16	9.9 FY 16-17
				vvitilili 7 Days Vv	ithin 8 - 30 Days	SI Days + or No Step I	Jown			
100%	^			25.004		^			12.8%	
80%	٨			25.0%		^			11.7%	
60%				16.7%						
40% 20%	79.8%	, 0		58.3%		76.	2%		75.5%	
0%	FY 13-2 ( 56 Unique Bene 89 Total Inpatient	ficiaries with	·	FY 14-15 Inique Beneficiaries w tal Inpatient Discharg		FY 15 ( 50 Unique Ber 84 Total Inpatie	neficiaries with	•	FY 16-17 ) Unique Beneficiaries Total Inpatient Dischar	

\* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated. ^ Data has been suppressed to protect patient privacy.