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9 IN THE UNITED STATES DISTRICT COURT
 10 FOR THE CENTRAL DISTRICT OF CALIFORNIA

13 **EMILY Q., et al.,**

14 Plaintiffs,

15 v.

16 **DIANA BONTA, et al.,**

17 Defendant.

CV 98-4181 AHM (AJWx)

**CDMH'S 2009 ASSESSMENT
 REPORT RE: IMPLEMENTATION
 OF THE NINE POINT PLAN**

[No Hearing Required]

Courtroom: 14
 Judge: The Honorable A. Howard
 Matz

22 In accordance with the Court's April 23, 2009 Order Approving Exit Plan Re:
 23 Criteria for Performance and Termination of Jurisdiction (Doc. No. 573) (Order),
 24 the California Department of Mental Health (CDMH) is pleased to provide the
 25 Court with the attached 2009 Annual Assessment for Implementation of the
 26 Emily Q Nine Point Plan and County Mental Health Plan Performance, dated
 27 October 30, 2009. CDMH has opted to file this assessment with the Court,
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1 although the Order does not require it to do so, as it believes the Court should be
2 informed of the progress and efforts involved in implementing the Nine Point Plan.

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Dated: October 30, 2009

Respectfully submitted,
EDMUND G. BROWN JR.
Attorney General of California
PAUL REYNAGA
Supervising Deputy Attorney General

/s/

MELINDA VAUGHN
Deputy Attorney General
Attorneys for Defendant

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CALIFORNIA DEPARTMENT OF MENTAL HEALTH

2009 ANNUAL ASSESSMENT

For

IMPLEMENTATION OF THE EMILY Q NINE POINT PLAN

And

**COUNTY MENTAL HEALTH PLAN
PERFORMANCE**

October 30, 2009

CALIFORNIA DEPARTMENT OF MENTAL HEALTH

2009 ANNUAL ASSESSMENT

For

IMPLEMENTATION OF THE EMILY Q NINE POINT PLAN

And

COUNTY MENTAL HEALTH PLAN PERFORMANCE

The California Department of Mental Health (CDMH) has demonstrated extraordinary commitment and investment to implement the Emily Q Nine-Point Plan as ordered by the United States District Court, Central District of California on November 14, 2008 and April 23, 2009.

As a result of these orders and the design of the Nine Point Plan, CDMH's role includes the following:

- Ensure implementation of the Nine-Point Plan;
- Provide guidance and support to counties to increase Therapeutic Behavioral Services (TBS) utilization among Emily Q class members;
- Maintain transparency statewide;
- Demonstrate increased utilization of TBS by local Mental Health Plans (MHPs); and
- Satisfy requirements of the federal Court.

CDMH's efforts to implement the Emily Q Nine Point Plan are displayed in greater detail in this report, along with documents that can help the Court understand the strategies, partnerships, tools, and consulting services offered to local MHPs, private providers, state and local government partners, and the children, youth, and families who benefit from TBS.

Early in the process, CDMH recognized that in order for successful implementation of the Nine-Point Plan to occur, continued support from the Special Master and the Emily Q Settlement Team members would be necessary. In addition, support would be required from two CDMH-sponsored task groups. Thus, to focus on accountability, the Accountability Structure Implementation Strategy (ASIS) team was formed, and in order to implement statewide training initiatives, the Technical Assistance, Communications, and Training (TACT) team was formed. As a consequence of the national and state economic crisis, and a subsequent state budget crisis that greatly reduced financial resources and staff time, the two task groups were combined into a Super TACT (TBS Accountability Communications and Training team) to create greater economy and efficiency. The Emily Q Settlement Team and Super TACT both meet monthly. These teams are the framework that supports CDMH governance, decision-making, product review and evaluation, and technical expertise for the Nine Point Plan implementation effort.

To highlight the accomplishments thus far in the implementation of the Emily Q Nine-Point Plan, the following, is a high-level summary of plan components and CDMH services:

Point 1 – Reduce Administrative Requirements

CDMH Action: Eliminated 23 administrative barriers to support MHPs and their providers to increase access and utilization, and have not re-introduced or incorporated any additional administrative barriers. In addition, CDMH eliminated a significant impediment to local MHP TBS services known as pre-authorization. To further normalize TBS as a specialty mental health service, CDMH eliminated ten review questions focused on TBS in the CDMH Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) compliance protocol.

Point 2 – Clarify TBS Eligibility Requirements

CDMH Action: Established and communicated eligibility requirements in CDMH Information Notice 08-38, CDMH Information Notice 09-10, and the 2009 TBS Documentation Manual.

Point 3 – Establish an Accountability Process and Structure

CDMH Action: Sponsored a collaborative task group that meets monthly to develop, monitor, and improve the accountability structure (Super TACT), and continue participation with the Emily Q Settlement Team.

Created TBS Data Dashboards for the state and each MHP to support efforts to achieve the 4% benchmark established in the Exit Plan.

Developed support tools for MHPs to facilitate local meetings with stakeholders and decision makers about the service delivery system for TBS clients and other high-needs children.

CDMH provided a simple reporting structure for MHPs to share results of progress made in the implementation of the Nine-Point Plan accountability efforts.

In collaboration with the Special Master and Emily Q Settlement Team, CDMH developed and communicated criteria for “TBS-equivalent” services so that MHPs may apply to the Special Master to have those services count toward the 4% benchmark. The Special Master has sole discretion to determine if such services will be deemed TBS-equivalent.

Point 4 – Establish a TBS Best Practices approach

CDMH Action: Utilized the Nine-Point Plan best practices appendix as the foundation of a new CDMH Manual, “TBS Coordination of Care and Best Practices.” This new manual, scheduled for Emily Q Settlement Team review in December 2009 and release in the field by March 2010, will bring focus to the opportunities for multi-disciplinary collaboration and evaluation of TBS as a service to help high-needs children within the Emily Q class.

Point 5 – Multi-agency coordination strategy

CDMH Action: Established key state and local partnerships to learn, educate, and communicate the Nine Point Plan elements and philosophy to public agencies, private providers, professional associations, and consumer/family/youth networks.

Recruited and sponsored a state-local TBS Coordination of Care Council to help develop the training manual for professionals from a variety of disciplines, including: schools; juvenile probation; child welfare; juvenile dependency and delinquency courts; providers; and family/youth services. This effort was launched in August 2009 and is expected to continue through 2010 to support the development and continuous improvement of the lessons learned as the manual is used throughout the state.

Point 6 – Statewide TBS Training Program

CDMH Action: Along with the Special Master, CDMH representatives and CDMH contractors, APS Healthcare (APS) and the California Institute of Mental Health (CiMH), met with the ten Level II MHP directors, key management, and local stakeholders in early 2009 to discuss the Nine Point Plan, with a specific focus on accountability and training support services.

CDMH, CiMH and the original TACT team developed a training survey tool that was posted on the CDMH website, and aggressively marketed to MHPs, coordination of care partners, and family/youth networks.

In September 2009, CDMH, CiMH, and the ten Level II MHPs began a once-a-month teleconference call to discuss training needs.

The first field training is proposed for a combined Kern/Tulare event in December 2009.

Technical assistance is continuously offered and provided to MHPs for training; several counties have taken advantage of these services, including Los Angeles, San Diego, Kern, and Alameda.

Point 7 – Technical Assistance Manuals

CDMH Action: In June 2009, CDMH released a proposed TBS Documentation Manual (Version 1.0) for review, comment and improvement. In October 2009, CDMH released Version 2.0 of this manual, and will employ it for training and education purposes throughout the year, with a pledge to review the contents in January 2010 with Super TACT and the Emily Q Settlement Team.

In August 2009, CDMH began development of a Coordination of Care Best Practices Manual. This manual is scheduled to be reviewed by the Emily Q Settlement Team in December 2009, and released to the field by March 2010.

Point 8 – Outreach Strategy

CDMH Action: CDMH employed an e-outreach strategy to maximize resources and reach the largest number of TBS professionals and clients by developing a comprehensive page on the CDMH's website and publishing of e-newsletters and establishing an e-subscription service.

As of October 2009, this TBS Internet site has received over 235,000 hits, which makes it one of the most visited locations on the CDMH website since January 2009. Additionally, the TBS e-distribution list includes over 300 subscribers.

Since January 2009, CDMH has maintained a homepage Internet link entitled, “Updated TBS Information Regarding *Emily Q v. Bonta*,” to help interested parties find information quickly and easily.

Point 9 – Court Exit Process

CDMH Action: Once the Court approved the Exit Plan in April 2009, CDMH notified MHP directors and others in CDMH’s Information Notice 09-10.

The Exit Plan calls for development and release of data dashboards and establishes a benchmark for improvements in TBS access and utilization. Under the terms of the Court’s order adopting the Exit Plan, both CDMH and the MHPs are responsible for the implementation.

Looking Forward: A 2009 CDMH Summary Assessment for the Emily Q Nine-Point Plan Implementation

Significant progress has been made to move California toward a successful Exit Strategy and termination of the Court’s jurisdiction. All nine points in the plan have the full attention of CDMH and enjoy strong support from others dedicated to children’s mental health services.

The Governor’s Administration and the California Legislature have demonstrated their support for an effective exit from the Court’s jurisdiction by funding a \$38 million expansion for EPSDT services

per the 2009 State Budget Act.¹ As stated by the Legislative Analyst's Office report, *The Budget Package: 2009-10 California Spending Plan*, reductions to EPSDT are "offset by other General Fund spending increases, including \$19 million for compliance with the *Emily Q v Bonta* ruling, which requires CDMH to implement a nine-point plan to increase local MHP use of therapeutic behavioral services."

Local mental health practitioner knowledge, experience, and delivery systems are also strengths that support the successful implementation of the Nine Point Plan. Based on our work with local MHP management, private providers, and consumers, families, and youth, TBS is consistently identified as an effective and life-changing behavioral mental health service.

There are considerations involved in the implementation of the Nine Point Plan that CDMH will continue to work through in 2009 and 2010. For example:

- MHP petitions to the Special Master for TBS Equivalent Services could support the statewide goal to learn about other effective services that represent the core of TBS. Some counties may be able to meet the 4% benchmark if the Special Master grants their request to count those services.
- The Technical Assistance Manuals (TBS Documentation Manual and Coordination of Care Best Practices Manual) will continue to require CDMH's attention and resources. The

¹ The \$38 million is split between State General Fund (SGF) and Federal Financial Participation (FFP) reimbursements per the prevailing FFP Federal Medical Assistance Percentage (FMAP) rate in 2009-2010 of 61.59%. The 2009 Budget Act authorizes \$14.6 million SGF (38.41%) and \$23.4 million FFP (44.2%). FMAP is the federal formula used to determine the amount of federal matching funds the state receives for Medi-Cal and certain social services programs. The FMAP adjusts the federal share from 50% minimum for most services to 61.59%. This stimulus is currently scheduled to exist from October 2008 to December 2010.

experience with the TBS Documentation Manual demonstrates that CDMH will need to monitor new developments, lessons-learned, and changes in the law that impact the delivery of services, which could require updates to the manuals.

- Certification of MHPs making the 4% benchmark should begin in early 2010. We presume that MHPs will come forward with more “real time” claims data in an effort to obtain certification from the Special Master. A new statewide Medi-Cal claims Information Technology billing system is scheduled to be completed in March 2010, and this too could give CDMH a quicker assessment about TBS growth for approved claims in each of the 27 medium/large MHPs.
- A TBS “Small County” Strategy is due to the Court in February 2010; discussions about this plan began in August 2009. The California Mental Health Directors Association (CMHDA) hosted a conversation about this strategy on October 1, 2009, and is considering participation in this specific effort.
- The TACT team plans to expand the formal roles and responsibilities of consumers, family, and youth in state-level efforts and decision-making about TBS. Formal roles, responsibilities and involvement will require training and education about the Nine Point Plan, setting expectations and reporting procedures, and potential state-level action based on the findings and recommendations of these individuals.
- Emphasis on connecting current cultural competence initiatives on the state and local levels with TBS delivery will be revisited. Due to the challenges identified by both families and

providers about TBS engagement strategies, a focus on culturally competent and community-based decision practices will be a priority in the months ahead.

- CDMH, the Emily Q Settlement Team, TACT, and APS Healthcare will continue to expand upon the existing data dashboards. The data dashboard designs include matched data from the State Department of Social Services and CDMH to combine case data for Emily Q class members. A third set of data dashboards will construct pre- and post-TBS comparisons for emergency hospitalization, rate classification level (RCL), and emergency/crisis services.
- Further data analysis and evaluation about the delivery of TBS services will be required to support best practices and cost efficiencies, and to identify program improvements.

A 2009 CDMH Summary Assessment for the Emily Q Nine-Point Plan Implementation by Local Mental Health Plans

In its April 23, 2009 order adopting the Exit Plan, the Court specified performance requirements for CDMH and MHPs. These criteria include:

- A 4% benchmark for TBS utilization;
- Strive to increase TBS utilization to the 4% benchmark;
- Implement quality TBS to the satisfaction of the Special Master;
- MHPs demonstrate ability to accurately employ procedure codes, cost reports, and CSI data reporting for TBS services;
- Engage other key local stakeholders;

- Demonstrate commitment to outreach, train and engage staff and providers; and
- Demonstrate commitment to outreach, training, and engaging family and youth.

Attached as Appendix A to this report is the most current TBS approved claims data for each MHP. Statewide, the number of children/youth served increased by 115 clients from fiscal year 2007/2008 to fiscal year 2008/2009. This data is current through August 2009. Because the MHPs have considerable time to submit claims for the 2008/2009 fiscal year, final numbers are expected to be available in March 2010. CDMH will continue to post this data on its website as new claims information becomes available.

Attached as Appendix B to this report is a table that summarizes the local meeting activities (Stakeholders and Decision-Makers) required by the Nine Point Plan. To consider a statewide view of the implementation effort, the CDMH has received DMH Information Notice 09-10 forms from 49 out of 56 MHPs.

Nine out of ten Level II MHPs have submitted the DMH Information Notice 09-10 form.

In August, September, and October 2009, CDMH communicated to those MHPs that had not yet completed the Information Notice 09-10 update about the importance of compliance with the Court-approved Exit Plan. CDMH has also shared this outreach effort and requested support from CMHDA to encourage reporting to CDMH and they agreed in October 2009 to post this report on their website.

CDMH is in the process of developing a more detailed assessment tool to illustrate and evaluate MHP implementation efforts relative to local meetings about TBS.

CDMH proactively identified model MHPs with respect to the quality and content of local meetings, increase in TBS approved claims and services, and support for provider/family/youth training initiatives. Of particular note, the stakeholder and training initiative efforts of Los Angeles, San Bernardino, and San Diego Counties represent Level II models. As to Level I counties, meeting and education model MHPs include Santa Cruz and Imperial Counties. These models have been posted on CDMH's website and communicated in several forums, e.g., trainings, meetings and TBS e-newsletter messages.

At this time, CDMH and its contractors are evaluating each MHP's local meeting report to identify strengths, additional local meeting models, innovative practices, and to offer technical assistance when applicable. By March 2010, CDMH plans to have a more comprehensive assessment of the meeting reports that will further identify issues, practice themes, and determine where MHP training or education may be valuable to reach the goals of the Nine Point Plan.

With every effort at program revitalization and implementation, there are challenges. It is important for the Court to be kept informed of these challenges, and to understand that CDMH continues to make efforts to resolve them through many levels of communications, a variety of organizational strategies, and an open mind toward alternatives in accord with the Nine Point Plan.

A foremost challenge is the unfortunate departure of CMHDA and local MHP director representatives from the Emily Q Settlement Team from December 2008 through October 2009. CDMH and the Emily Q Settlement Team are pleased to report that CMHDA recently indicated it will resume participation in the Settlement Team. However, valuable time and momentum toward successful implementation of the Nine Point Plan has been lost, and considerable communication and direction from CMHDA will be essential to achieve our shared goals of increased access to and utilization of TBS in 2010.

As the State of California heads into another budget year, it is apparent that State General Fund revenues will continue to decline for the 2010/2011 fiscal cycle. This presents particular challenges for the financing of public health and social services in California. It is important for all parties to demonstrate that the state's 2009/2010 investment in EPSDT/TBS to achieve the goals set forth in the Nine Point Plan and Exit Strategy is successful.

Relative to state and county relations and delivery of mental health services, an important agreement is created every three years through the state/MHP contract process. Since August 2008, CDMH and CMHDA representatives have been negotiating an agreement for the local delivery of mental health services, but have yet to finalize a contract for consideration by local boards of supervisors. From a positive perspective, CDMH/CMHDA representatives were able to rapidly move through the contract regarding efficiencies in administrative duties and modernizing the language in the contract. The sticking points thus far have been about the financial framework between the state and counties, and

broader governance of the mental health services delivery system for Medi-Cal, realignment, and managed care resources. CMHDA has also expressed an interest in addressing TBS in a contract amendment process, and CDMH has committed to explore such an amendment after the contract is approved for consideration by locally elected officials. Negotiations for the state/MHP contract are expected to conclude in November 2009.

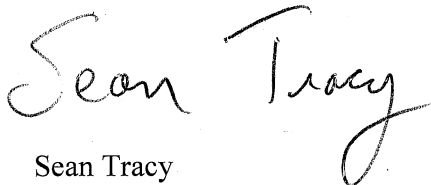
At either the state or local level, public service system changes can take longer than expected, particularly when it comes to the contract process. Although in December 2008, CDMH promptly announced to the MHPs the Court's adoption of the Nine-Point Plan (in CDMH Information Notice 08-38), efforts by local MHPs to rearrange service delivery or to bid and renegotiate contracts take many months in most situations. Therefore, while CDMH is eager to report that MHPs have embraced the Nine Point Plan and that there has been a substantial increase in access and utilization, CDMH most likely will not be able to identify enhanced TBS service delivery until approximately March 2010.

Conclusion

CDMH appreciates the opportunity to report on its progress in implementing the Nine-Point Plan and Exit Plan, and is prepared to provide additional documentation or information to demonstrate the level of its commitment to increase access to and utilization of TBS. CDMH looks forward to producing a report in October 2010 with more specific outcomes, accomplishments, and results of the Nine Point Plan and Exit Plan.

CDMH would like to take the opportunity to thank the members of the Emily Q Settlement Team and the Super TACT task group for their commitment in support of CDMH's efforts to increase access and utilization of TBS across California. CDMH also recognizes the contributions and efforts of the Special Master, Rick Saletta, and his consultant team, and commend them for their fairness, expertise, and dedication.

Sincerely,

A handwritten signature in cursive script that reads "Sean Tracy". The signature is written in dark ink and is positioned above the typed name and title.

Sean Tracy
CDMH Director's Office
Chief, Strategic Planning and Policy

Appendix A

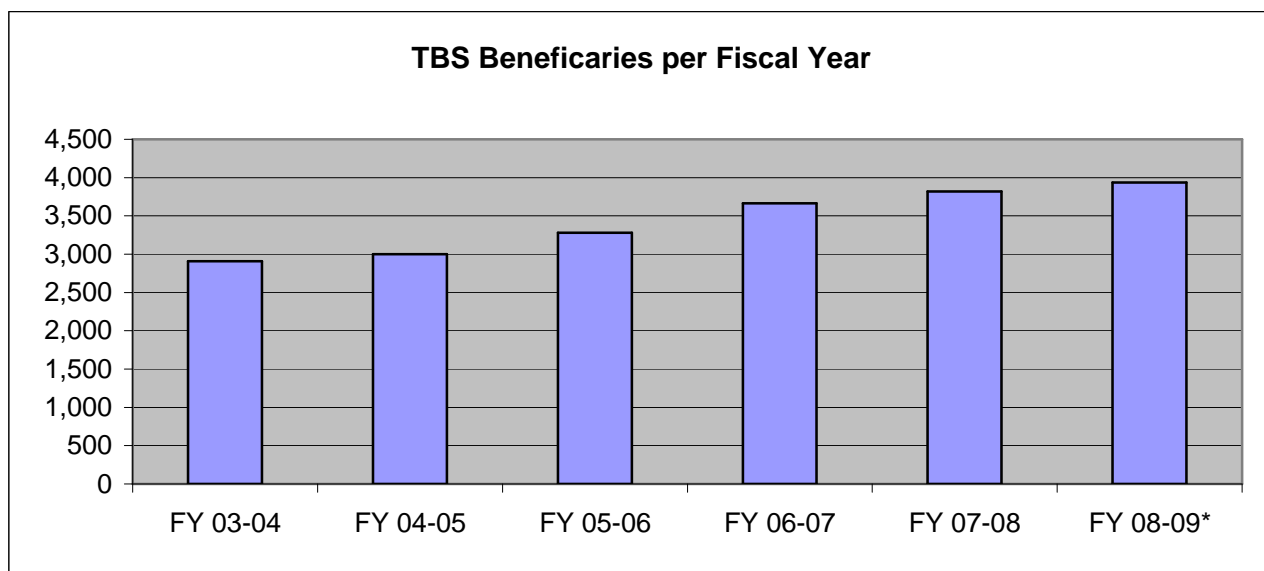


SHORT-DOYLE/MEDI-CAL
 THERAPUECTIC BEHAVIORAL SERVICES
 UNDUPLICATED CLIENTS BY BENEFICIARY COUNTY
FISCAL YEAR 2003-2004, 2004-2005, 2005-2006, 2006-2007, 2007-2008, and 2008-2009
 SOURCE: SD/MC APPROVED (not yet paid) CLAIMS FILE
 DATA AS OF 8/31/09

Beneficiary County	Children/Youth Served					
	FY 03-04	FY 04-05	FY 05-06	FY 06-07	FY 07-08	FY 08-09*
(10 Level II Focus Counties)						
STATEWIDE	2,909	3,000	3,281	3,665	3,820	3,935
ALAMEDA	157	140	145	117	144	133
ALPINE	0	0	0	0	0	0
AMADOR	0	0	0	0	0	0
BUTTE	19	4	5	18	33	38
CALAVERAS	0	0	0	0	2	5
COLUSA	0	0	0	0	0	0
CONTRA COSTA	164	197	240	217	229	273
DEL NORTE	0	0	0	12	2	0
EL DORADO	6	1	6	0	2	6
FRESNO	48	49	32	68	81	87
GLENN	0	1	3	1	6	6
HUMBOLDT	22	13	27	40	23	19
IMPERIAL	0	0	1	1	3	0
INYO	1	2	2	2	2	0
KERN	4	14	6	13	27	20
KINGS	5	3	6	2	6	6
LAKE	3	1	4	6	4	4
LASSEN	0	0	0	0	0	0
LOS ANGELES	1,143	1,102	1,136	1,148	1,169	1,153
MADERA	0	2	1	0	3	9
MARIN	16	14	20	10	11	10
MARIPOSA	0	0	0	0	0	0
MENDOCINO	13	4	9	13	18	23
MERCED	7	7	5	5	6	1
MODOC	0	0	0	0	0	0
MONO	4	1	0	0	0	0
MONTEREY	33	42	42	26	25	22
NAPA	1	3	9	12	16	8
NEVADA	4	1	1	2	0	4
ORANGE	233	232	229	277	267	273
PLACER	2	0	0	7	7	8
PLUMAS	0	0	0	0	0	0
RIVERSIDE	76	73	85	140	150	194

Beneficiary County	Children/Youth Served					
	FY 03-04	FY 04-05	FY 05-06	FY 06-07	FY 07-08	FY 08-09*
(10 Level II Focus Counties)						
SACRAMENTO	172	202	189	219	257	267
SAN BENITO	0	0	2	4	2	4
SAN BERNARDINO	29	31	69	96	109	136
SAN DIEGO	181	213	208	243	194	205
SAN FRANCISCO	92	85	88	92	100	80
SAN JOAQUIN	32	28	25	24	31	29
SAN LUIS OBISPO	0	1	43	69	71	67
SAN MATEO	39	39	32	52	56	49
SANTA BARBARA	80	121	138	145	119	130
SANTA CLARA	141	159	182	237	273	303
SANTA CRUZ	1	2	4	10	7	12
SHASTA	12	7	5	8	14	20
SIERRA	0	0	0	0	0	0
SISKIYOU	11	12	19	18	17	13
SOLANO	8	10	17	31	26	18
SONOMA	10	9	11	18	14	12
STANISLAUS	28	39	42	39	49	56
SUTTER/YUBA	1	1	9	5	3	5
TEHAMA	4	1	2	0	1	3
TRINITY	1	1	1	2	0	0
TULARE	11	15	27	16	12	14
TUOLUMNE	5	0	0	0	0	0
VENTURA	88	117	153	183	209	194
YOLO	2	1	1	17	20	16

*Due to a lag in data reporting, some claims from FY 08-09 are still being approved and incorporated into the data after the end of the fiscal year.



Appendix B



Emily Q. v Bonta Exit Plan: 2009 MHP Responsibilities Form

Purpose: The *Emily Q. v Bonta* Exit Plan was approved by the Federal Court on April 23, 2009. The State Department of Mental Health (DMH) released Information Notice 09-10 outlining the Exit Plan for County Mental Health Plans (MHPs) on July 9, 2009. DMH included the 2009 MHP Responsibilities Form as an enclosure to Information Notice 09-10. This document is submitted to DMH as an indicator of the MHPs' progress toward completing its tasks in accordance with the Nine Point Plan. This information will be used in the DMH report to the public and Federal Court in October 2009. For a list of Level I and Level II MHPs, please refer to DMH Information Notice 08-38. Please visit the DMH TBS website for more information: http://www.dmh.ca.gov/Services_and_Programs/Children_and_Youth/EPSTDT.asp.

COUNTY	Date I.N. 09-10 Form Received	CONTACT	STAKEHOLDER MEETING	Date Report Received	DECISION-MAKER MEETING	Date Report Received	Notes
Level I Requirements (Per Information Notice 08-38, some Level II MHPs are only required to fulfill Level I requirements at this time.)							
Alpine	10/26/09	Nancy Callahan, PhD, IDEA Consulting, ncallahan.idea@gmail.com, 530-758-8815 Pamela Knorr, Director, 530-694-2287, alpineCAO@alpinecountyca.gov	June 18, 2009	07/21/09	June 18, 2009	07/21/09	One combined report submitted
Amador	10/07/09	Jane Hoff, jhoff@co.amador.ca.us and Tamara Garner, Crisis Services Coordinator, 209-223-6249, TGarner@co.amador.ca.us	November 9, 2009	Due by 1/8/10	October 27, 2009	Due by 12/26/09	Sent note to DMH on 9/9/09
Calaveras	09/04/09	Denise Giblin, MFTI, Quality Management Supervisor, 209-754-6844, dgiblin@co.calaveras.ca.us	November 3, 2009	Due by 1/2/10	December X, 2009		DMH contacted MHP on 9/03/09, 10/8/09 and 10/20/09
Colusa	Not submitted	Curtis R. Boewer, Director, 530-458-0822, jstone@countyofcolusa.org					DMH contacted MHP on 9/03/09, 10/8/09 and 10/22/09
Contra Costa*	09/04/09	Vern Wallace, LMFT, Program Chief, 925-957-5126, vwallace@hsd.cccounty.us	October 15, 2009	Due by 12/14/09	December 10, 2009	Due by 2/08/10	DMH contacted MHP on 9/03/09

Yellow Shade - No communication from MHP

Blue Shade - Meeting report overdue/missing information

X - Specific date to be determined by MHP

*27 Medium-Large MHP Pool
10/28/09
Contact: Sean Tracy
916.651.1281
Sean.Tracy@dmh.ca.gov

COUNTY	Date I.N. 09-10 Form Received	CONTACT	STAKEHOLDER MEETING	Date Report Received	DECISION-MAKER MEETING	Date Report Received	Notes
Del Norte	10/26/09	Gary Blatnick, Director, 707-464-7224, gblatnick@co.del-norte.ca.us and Lisa Hardy, Administrative Analyst, lhardy@co.del-norte.ca.us 707-464-7224 ext. 51114	October 28, 2009	Due by 12/27/09	October 28, 2009	Due by 12/27/09	DMH contacted MHP on 9/03/09
El Dorado	08/10/09	Barry Wasserman, Program Manager, 530-621-6220, Barry.Wasserman@edcgov.us	May 15, 2009	07/31/09	May 26, 2009	07/31/09	July 31, 2009 letter notifying that both meetings were held
Fresno*	07/31/09	Yuleen Al-Saoudi, LMFT, Clinical Supervisor, 559-453-6164, YAl-Saoudi@co.fresno.ca.us.	August 26, 2009	09/14/09	September 21, 2009	10/19/09	DMH contacted MHP on 9/03/09 and 10/8/09
Glenn	08/12/09	Kathy Montero, Deputy Director of Behavioral Health, 530-934-6582, kmontero@glenncountyhealth.net	April 13, 2009	07/20/09	April 15, 2009	07/20/09	One combined report submitted
Humboldt	07/31/09	Karolyn Stein, Mental Health Director, 707-268-2009, kstein@co.humboldt.ca.us	July 28, 2009	07/31/09	September 29, 2009	10/09/09	DMH contacted MHP on 10/08/09
Imperial	07/31/09	Leticia Plancarte-Garcia, Sr. Behavioral Health Manager, 760-339-6860, letyplancarte@co.imperial.ca.us	April 23, 2009	06/25/09	April 30, 2009	06/25/09	
Inyo	Not submitted	Gail Zwier, Director, 760-873-6533, gzwier@inyocounty.us					DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09
Kings	09/09/09	Chuck Garon, QM Director, 559-582-4481 x. 146, cgaron@kingsview.org	October 21, 2009	Due by 12/20/09	October 14, 2009	Due by 12/13/09	DMH contacted MHP on 9/03/09 and 10/8/09
Lake	09/04/09	Amanda Yocham, MHS, 707-994-7090 x.14127, amanday@co.lake.ca.us	September 30, 2009	Due by 11/29/09	December 30, 2009	Due by 2/28/10	DMH contacted MHP on 9/03/09 and 10/8/09
Lassen	Not submitted	Ken Crandall, Director, 530-251-8108, kcrandall@co.lassen.ca.us					DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09

Yellow Shade - No communication from MHP

Blue Shade - Meeting report overdue/missing information

X - Specific date to be determined by MHP

*27 Medium-Large MHP Pool
10/28/09
Contact: Sean Tracy
916.651.1281
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COUNTY	Date I.N. 09-10 Form Received	CONTACT	STAKEHOLDER MEETING	Date Report Received	DECISION-MAKER MEETING	Date Report Received	Notes
Madera	07/31/09	Debbie C. DiNoto, LMFT, Division Manager, 559-675-7850, debbie.dinoto@madera-county.com	In progress of setting up final dates		In progress of setting up final dates		DMH contacted MHP on 9/03/09, 10/08/09 and 10/20/09
Marin*	07/21/09	Hutton Taylor, MFT; Manager Marin Mental Health Plan; 415-499-7587; htaylor@co.marin.ca.us	June 2, 2009	06/19/09	June 11, 2009	06/19/09	
Mariposa	Not submitted	James A. Rydingsword, Director of Human Services, 209-966-2000, jrydings@mariposacounty.org					DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09
Mendocino	08/03/09	Gayle Harris, Sr. Program Manager, MHP and Contracts, 707.467.6456, harrisg@co.mendocino.ca.us	May 11, 2009	08/03/09	March 26, 2009	08/03/09	One combined report submitted
Merced*	10/15/09	Janet Spangler, Program Manager, 209-381-6800, jspangler@co.merced.ca	June 19, 2009	10/15/09	July 25, 2009	10/15/09	DMH contacted MHP on 9/03/09 and 10/08/09 One combined report submitted
Modoc	07/31/09	Jack Futterman, Ph.D., Phone: 530-233-6312, E-mail: jfutterman@modocounty.us	October 27, 2009	Due by 12/26/09	October 27, 2009	Due by 12/26/09	
Mono	10/20/09	Ann Gimpel, Director, 760-924-1740, agimpel@mono.ca.gov	September 30, 2009	Due by 11/29/09	To be determined		DMH contacted MHP on 9/03/09, 10/08/09 and 10/20/09
Napa	07/31/09	Barbara Reynolds, MFT TBS Coordinator 707-253-4103 Breynold@co.napa.ca.us	October 1, 2009	Due by 11/30/09	October 12, 2009	Due by 12/11/09	DMH contacted MHP on 10/08/09
Nevada	08/17/09	Rebecca Slade, Children's Program Manager, 530-470-2539, Rebecca.Slade@co.nevada.ca.us	October 22, 2009	Due by 12/21/09	October 7, 2009	Due by 12/06/09	DMH contacted MHP on 10/08/09

Yellow Shade - No communication from MHP

Blue Shade - Meeting report overdue/missing information

X - Specific date to be determined by MHP

*27 Medium-Large MHP Pool
10/28/09
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Orange*	07/31/09	Mark Refowitz, Deputy Director for Behavioral Health Services, MRefowitz@ochca.com	May 14, 2009	07/31/09	May 21, 2009	07/31/09	
Placer/Sierra*	07/24/09	Eric Branson, TBS Coordinator, 530-889-6702, ebranson@placer.ca.gov	May 29, 2009	07/20/09	May 6, 2009	07/20/09	
Plumas	07/30/09	John Sebold, Director, 530-283-6307 jsebold@kingsview.org	April 8, 2009	10/13/09	April 28, 2009	10/13/09	DMH contacted MHP on 9/03/09
Riverside*	07/31/09	Tom Thomazin, Mental Health Services Supervisor, 951-358-5810, TTHOMAZI@co.riverside.ca.us	April 22, 2009	07/31/09	April 22, 2009	07/31/09	
Sacramento*	07/31/09	Maria Pagador, Mental Health Program Coordinator, 916-876-5096, pagadorm@sacounty.net	October 30, 2009	Due by 12/29/09	October 30, 2009	Due by 12/29/09	DMH contacted MHP on 10/20/09
San Benito	10/26/09	Alan Yamamoto, Director, 831-636-4020, alan@sbcmh.org	April 23, 2009	07/01/09	May 11, 2009	07/01/09	One combined report submitted
San Francisco*	07/31/09	Chris Lovoy, LCSW, 415-206-7612, chris.lovoy@sfdph.org	November 12, 2009	Due by 1/11/10	December 11, 2009	Due by 2/09/09	DMH contacted MHP on 10/20/09
San Luis Obispo*	07/30/09	Brad Sunseri, MFT, Div Mgr-Youth Services, 805-781-4179, bsunseri@co.slo.cal.us	December 4, 2009	Due by 2/02/10	October 30, 2009	Due by 12/29/09	
San Mateo*	07/30/09	Kimberly Kang, Program Specialist, 650-581-1260 ext. 226, kkang@co.sanmateo.ca.us	December 3, 2009	Due by 2/01/10	October 5, 2009	Due by 12/04/09	DMH contacted MHP on 10/08/09

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Santa Barbara*	07/14/09	Suzanne Grimesey-Kirk, MFT, Division Chief, Mental Health Programs, 805-681-5289, suzkirk@co.santa-barbara.ca.us	May 19, 2009	06/17/09	May 21, 2009	06/17/09	
Santa Clara*	07/31/09	David Guerrero, QI Manager, 408-885-5784, David.guerrero@hhs.co.santa-clara.ca.us	September 21, 2009	Due by 11/20/09	November 12, 2009	Due by 1/11/10	DMH contacted MHP on 10/08/09
Santa Cruz*	07/31/09	Stan Einhorn, PhD., Children's Program Manager, 831-454-4147, Stanley.Einhorn@health.co.santa-cruz.ca.us	May 21, 2009	06/12/09	May 29, 2009	06/16/09	
Shasta	07/31/09	Terry L. Baker MFT, Quality Management Coordinator __530-242-0707, tbaker@co.shasta.ca.us	December 8, 2009	Due by 2/06/10	December 9, 2009	Due by 2/07/10	
Siskiyou	Not submitted	Lauri A. Hunner, Director, 530-841-4801, lhun@co.siskiyou.ca.us					DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09
Solano*	08/10/09	Debbie Terry Butler, Sr. Mental Health Manager, 707-399-4900, ddterrybutler@solanocounty.com	April 23, June 30 & August 4	8/5/2009 and 10/01/09	October 6, 2009 and November 18, 2009	Due by 12/5/09 and 1/17/10	DMH contacted MHP on 9/03/09 and 10/08/09 One combined report submitted
Stanislaus*	Not submitted	Denise C. Hunt, Director, 209-525-6225, dhunt@stancounty.com					DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09
Sutter/Yuba	07/31/09	Laura Ruble, LCSW, Quality Assurance Officer, (530) 822-7200, lruble@co.sutter.ca.us	October 22, 2009	Due by 12/21/09	October 20, 2009	Due by 12/19/09	DMH contacted MHP on 10/20/09

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Tehama	10/09/09	Ann Houghtby, Director, 530-527-8491 x. 3026, houghtbya@tcha.net	May 21, 2009		May 21, 2009		DMH contacted MHP on 9/03/09, 10/08/09 and 10/20/09
Trinity	09/13/09	Noel J. O'Neill, Director, 707-272-2456, nolga2@sbcglobal.net	November 3, 2009	Due by 1/2/10	November 18, 2009	Due by 1/17/10	DMH contacted MHP on 9/03/09
Tuolumne	09/03/09	Mark Gee, MFT CSOC Program Manager, 209-533-5400, mgee@co.tuolumne.ca.us	August 18, 2009	Due by 10/17/09	September 16, 2009	Due by 11/15/09	DMH contacted MHP on 9/03/09 and 10/08/09 One combined report submitted
Ventura*	10/26/09	Meredyth Leafman, LCSW, Behavioral Health Manager II, Meredyth.Lefman@ventura.org	May 20, 2009	09/04/09	May 29, 2009	09/04/09	DMH contacted MHP on 9/03/09, 10/08/09 and 10/26/09 One combined report submitted
Yolo	07/31/09	Theresa Smith, Clinical Program Manager/TBS Coordinator, 530-666-8746, theresa.smith@yolocounty.org	October 7, 2009	Due by 12/06/09	September 29, 2009	Due by 11/28/09	DMH contacted MHP on 10/08/09
Level II Requirements							
Alameda*	08/03/09	Sara L. Wood-Kraft, TBS Coordinator, 510-667-7545, SWood_Kraft@acbhcs.org	August 19, 2009 & October X, 2009	09/04/09	April 29, 2009 & October X, 2009	09/04/09	DMH contacted MHP on 9/03/09 and 10/20/09
Butte*	09/04/09	Paul Bugnacki, BCDBH TBS Coordinator/Contract Monitor, 530-879-3347, pbugnacki@buttecounty.net	October 22 & November 18, 2009	10/26/09 Next report due by 1/17/10	May 27, 2009 & September 29, 2009	7/6/2009 & 10/02/09	Submitted update on 8/12/09 - TBS Annual Plan. DMH contacted MHP on 8/28/09

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Kern*	10/26/09	Jim Waterman, Director, 661-868-6609, jwaterman@co.kern.ca.us, Deanna Cloud, DCloud@co.kern.ca.us	June 16, 2009 and December 2009 following SDMH/Kern Co training	07/30/09	June 25, 2009 and December 2009 with date to be determined with SDMH training	07/29/09	DMH contacted MHP on 10/22/09 TBS training scheduled for Nov/Dec 2009
Los Angeles*	10/28/09	Olivia Celis, LCSW, MPI, 213-738-2147	April 20, 2009 June 30, 2009	9/10/2009 & 9/14/09	12/10/09 Champs Meeting; during December 2009, LA DMH will be in the process of setting up 2nd Decision-Maker Meeting.	02/08/09	Stakeholder Meeting notes submitted to DMH. DMH, APS, & CiMH attended April and June stakeholder meetings. DMH contacted on 9/03/09 and 10/26/09
Monterey*	Not submitted	Sharon Saul, RHIT, Compliance Officer, Quality Services Manager, Medical Records, 831-796-1626, sauls@co.monterey.ca.us			October 29, 2009	Due by 12/28/09	DMH contacted MHP on 9/03/09, 10/08/09 and 10/22/09
San Bernardino*	07/31/09	Timothy E. Hougén, PhD., Acting Program Manager II, Children & Youth Collaborative Services, Department of Behavioral Health, County of San Bernardino; (909) 421-9300, thougén@dbh.sbcounty.gov	May 21, 2009 & October 14, 2009	8/27/2009 Next report due by 12/13/09	June 25, 2009 & November 17, 2009	8/27/2009 Next report due by 1/16/10	
San Diego*	07/21/09	Katie Astor, Chief, 619-584-5004, katie.astor@sdcounty.ca.gov	June 4, 2009 & October 29, 2009	07/08/09	April 29, 2009 & August 3, 2009	08/18/09	
San Joaquin*	07/31/09	Michele Rowland-Bird, LCSW, Lead Manager, 209-468-2385, mrowland-bird@sjcbhs.org	October X and November X, 2009		June 4, 2009 & September X 2009		DMH contacted MHP on 10/01/09, 10/08/09 and 10/22/09

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Sonoma*	07/30/09	Lyle Keller, LCSW Program Manager, 707-565-4855, LKeller1@sonoma-county.org	September 15, 2009 & November 25, 2009	10/27/09	July 8, 2009 & August 12, 2009	10/27/09	DMH contacted MHP on 10/01/09, 10/08/09 and 10/22/09
Tulare*	09/02/09	Maria Montano, LCSW, TBS Coordinator, mmontano@tularehhsa.org, Betsy Ellis, Children's Unit Manager, bellis@tularehhsa.org, Cheryl L. Duerksen, PhD, Director, 559-737-4660 x2300, cduerkse@tularehhsa.org	November 4, 2009 2nd meeting date TBD	Due by 1/03/09	November 16, 2009 2nd meeting date TBD	Due by 1/15/10	DMH contacted MHP on 10/08/09 and 10/22/09 TBS training scheduled for Nov/Dec 2009

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DECLARATION OF SERVICE BY U.S. MAIL

Case Name: **Emily Q., et al. v. Diana Bonta, et al.**

Case No.: **CV 98-4181 AHM (AJWx)**

I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service that same day in the ordinary course of business.


On October 30, 2009, I served the attached **CDMH'S 2009 ASSESSMENT REPORT RE: IMPLEMENTATION OF THE NINE POINT PLAN** by placing a true copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the internal mail collection system at the Office of the Attorney General at 1300 I Street, Suite 125, P.O. Box 944255, Sacramento, CA 94244-2550, addressed as follows:

Allison Wheeler
Mental Health Advocacy Services Inc.
3255 Wilshire Boulevard, Suite 902
Los Angeles CA 90010

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that this declaration was executed on October 30, 2009, at Sacramento, California.

Patty Conway

Declarant



Signature