

Performance Outcomes System Initial Reports

Report run on March 13, 2018

Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide aggregate data; population-based county groups; and county-specific data. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to:

<http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.asp>

Purpose and Overview

These county-specific reports provide updated information on the initial indicators that were developed for the Performance Outcomes System and reported on at the statewide aggregate level in February 2015; they help establish a foundation for on-going reporting. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of children and youth under 21 who are receiving SMH' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Two types of penetration information are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The snapshot report provides a point-in-time view of children arriving, exiting, and continuing services over a two-year period. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports, any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

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Definitions

Population - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources - Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.

- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.

Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at: <http://dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

Note on Privacy: The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "^".

Report Interpretation

*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology make comparison between the POS penetration rates and the EQRO penetration rates not appropriate or useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of youth who received X number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

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*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses five general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:
<http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the county of fiscal responsibility for the patient and whom has been attributed the time to next service in days used in the calculations for this indicator.

Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
Fresno County as of March 13, 2018**

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 13-14	6,719		228,176	
FY 14-15	7,226	7.5%	235,382	3.2%
FY 15-16	8,118	12.3%	244,600	3.9%
FY 16-17	8,255	1.7%	246,888	0.9%
Compound Annual Growth Rate SFY**		7.1%		2.7%

**SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.*

***SFY = State Fiscal Year which is July 1 through June 30.*

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
Fresno County as of March 13, 2018**

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 13-14	56	0.8%	161	2.4%	647	9.6%	3,692	54.9%	1,274	19.0%	356	5.3%	533	7.9%
FY 14-15	49	0.7%	168	2.3%	705	9.8%	4,008	55.5%	1,336	18.5%	368	5.1%	592	8.2%
FY 15-16	52	0.6%	180	2.2%	782	9.6%	4,543	56.0%	1,530	18.8%	406	5.0%	625	7.7%
FY 16-17	67	0.8%	174	2.1%	809	9.8%	4,705	57.0%	1,561	18.9%	383	4.6%	556	6.7%

**This report uses the Medi-Cal Eligibility Data System for racial data, while CDSS uses the Child Welfare Services/Case Management System.*

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
Fresno County as of March 13, 2018**

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Children 12-17 Count	Children 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 13-14	100	1.5%	603	9.0%	2,301	34.2%	2,890	43.0%	825	12.3%
FY 14-15	150	2.1%	717	9.9%	2,388	33.0%	3,063	42.4%	908	12.6%
FY 15-16	350	4.3%	745	9.2%	2,744	33.8%	3,220	39.7%	1,059	13.0%
FY 16-17	362	4.4%	783	9.5%	2,644	32.0%	3,433	41.6%	1,033	12.5%

**Demographics Report: Unique Count of Children and Youth Receiving SMHS by Fiscal Year
Fresno County as of March 13, 2018**

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	2,869	42.7%	3,850	57.3%
FY 14-15	3,130	43.3%	4,096	56.7%
FY 15-16	3,551	43.7%	4,567	56.3%
FY 16-17	3,680	44.6%	4,575	55.4%

Penetration Rates* Report: Children and Youth with At Least One SMHS Visit
Fresno County as of March 13, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 1 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	6,719	228,176	2.9%	7,226	235,382	3.1%	8,118	244,600	3.3%	8,255	246,888	3.3%
Children 0-2	100	38,528	0.3%	150	38,592	0.4%	350	38,647	0.9%	362	37,650	1.0%
Children 3-5	603	37,315	1.6%	717	37,468	1.9%	745	38,120	2.0%	783	38,257	2.0%
Children 6-11	2,301	69,307	3.3%	2,388	71,898	3.3%	2,744	74,761	3.7%	2,644	75,574	3.5%
Children 12-17	2,890	57,397	5.0%	3,063	59,743	5.1%	3,220	63,932	5.0%	3,433	65,642	5.2%
Youth 18-20	825	25,629	3.2%	908	27,681	3.3%	1,059	29,140	3.6%	1,033	29,765	3.5%
Alaskan Native or American Indian	56	1,199	4.7%	49	1,234	4.0%	52	1,268	4.1%	67	1,232	5.4%
Asian or Pacific Islander	161	13,327	1.2%	168	13,250	1.3%	180	13,207	1.4%	174	12,948	1.3%
Black	647	14,578	4.4%	705	14,885	4.7%	782	15,212	5.1%	809	15,168	5.3%
Hispanic	3,692	145,364	2.5%	4,008	150,619	2.7%	4,543	156,910	2.9%	4,705	159,143	3.0%
White	1,274	24,695	5.2%	1,336	25,543	5.2%	1,530	26,051	5.9%	1,561	25,759	6.1%
Other	356	19,031	1.9%	368	19,902	1.8%	406	21,045	1.9%	383	22,214	1.7%
Unknown	533	9,982	5.3%	592	9,949	6.0%	625	10,907	5.7%	556	10,424	5.3%
Female	2,869	112,305	2.6%	3,130	115,651	2.7%	3,551	120,250	3.0%	3,680	121,176	3.0%
Male	3,850	115,871	3.3%	4,096	119,731	3.4%	4,567	124,350	3.7%	4,575	125,712	3.6%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Children and Youth that have received at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

Penetration Rates* Report: Children and Youth with Five or More SMHS Visits
Fresno County as of March 13, 2018**

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate	Children and Youth with 5 or more SMHS Visits	Certified Eligible Children and Youth	Penetration Rate
All	4,076	228,176	1.8%	4,587	235,382	1.9%	5,002	244,600	2.0%	5,315	246,888	2.2%
Children 0-2	18	38,528	0.0%	52	38,592	0.1%	62	38,647	0.2%	150	37,650	0.4%
Children 3-5	279	37,315	0.7%	358	37,468	1.0%	368	38,120	1.0%	437	38,257	1.1%
Children 6-11	1,460	69,307	2.1%	1,626	71,898	2.3%	1,811	74,761	2.4%	1,827	75,574	2.4%
Children 12-17	1,816	57,397	3.2%	2,027	59,743	3.4%	2,127	63,932	3.3%	2,310	65,642	3.5%
Youth 18-20	503	25,629	2.0%	524	27,681	1.9%	634	29,140	2.2%	591	29,765	2.0%
Alaskan Native or American Indian	33	1,199	2.8%	29	1,234	2.4%	32	1,268	2.5%	39	1,232	3.2%
Asian or Pacific Islander	104	13,327	0.8%	110	13,250	0.8%	121	13,207	0.9%	106	12,948	0.8%
Black	380	14,578	2.6%	429	14,885	2.9%	483	15,212	3.2%	516	15,168	3.4%
Hispanic	2,186	145,364	1.5%	2,492	150,619	1.7%	2,736	156,910	1.7%	3,035	159,143	1.9%
White	800	24,695	3.2%	909	25,543	3.6%	967	26,051	3.7%	1,018	25,759	4.0%
Other	206	19,031	1.1%	220	19,902	1.1%	225	21,045	1.1%	225	22,214	1.0%
Unknown	367	9,982	3.7%	398	9,949	4.0%	438	10,907	4.0%	376	10,424	3.6%
Female	1,757	112,305	1.6%	1,991	115,651	1.7%	2,190	120,250	1.8%	2,380	121,176	2.0%
Male	2,319	115,871	2.0%	2,596	119,731	2.2%	2,812	124,350	2.3%	2,935	125,712	2.3%

*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system.

**Children and Youth that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

**Utilization Report*: Approved Specialty Mental Health Services for Children and Youth
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year
Fresno County as of March 13, 2018**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 4,034	4,425	1,634	263	877	4,828	258	211	19	480	0	8	6	9	0	0	13
FY 14-15	\$ 4,514	3,986	1,429	284	960	5,089	252	153	21	340	270	13	0	9	0	0	8
FY 15-16	\$ 4,553	917	354	417	1,059	4,766	257	193	28	476	758	0	0	7	0	0	8
FY 16-17	\$ 4,772	1,162	220	420	1,109	4,597	249	193	29	259	0	7	10	7	12	8	9
MEAN	\$ 4,468	2,623	909	346	1,001	4,820	254	187	24	389	514	9	8	8	12	8	10

**The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.*

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

**Snapshot Report: Unique Count of Children and Youth Receiving SMHS
Arriving, Exiting, and with Service Continuance by Fiscal Year
Fresno County as of March 13, 2018**

Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 13-14	1,536	22.9%	368	5.5%	506	7.5%	1,200	17.9%	2,924	43.5%	185	2.8%	6,719	100%
FY 14-15	1,963	27.2%	439	6.1%	644	8.9%	1,179	16.3%	2,809	38.9%	192	2.7%	7,226	100%
FY 15-16	1,887	23.2%	532	6.6%	694	8.5%	1,641	20.2%	3,130	38.6%	234	2.9%	8,118	100%
FY 16-17	945	11.4%	234	2.8%	330	4.0%	2,034	24.6%	4,169	50.5%	543	6.6%	8,255	100%

Time to Step Down Report: Children and Youth Stepping Down in SMHS Services Post Inpatient Discharge*
Fresno County as of March 13, 2018

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	338	83.5%	31	7.7%	23	5.7%	13	3.2%	0	295	6.2	0
FY 14-15	380	80.0%	56	11.8%	22	4.6%	17	3.6%	0	283	6.6	0
FY 15-16	269	87.9%	^	^	16	5.2%	^	^	0	297	6.4	0
FY 16-17	423	87.9%	18	3.7%	15	3.1%	25	5.2%	0	252	4.5	0

* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data
 ^ Data has been suppressed to protect patient privacy.