

Performance Outcomes System Children/Youth in Foster Care Report

Report run on June 8, 2018

Background

Three reports will be created during each new reporting period. The reports that will be produced are as follows: statewide-aggregate data, population-based county groupings, and county-specific reports where possible. These reports help meet the intent of the Legislature, as stated in Welfare and Institutions Code Section 14707.5, to develop a performance outcomes system for Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services that will improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services. This reporting effort is part of the implementation of a performance outcomes system for Medi-Cal Specialty Mental Health Services (SMHS) for children and youth.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop the Performance Measurement Paradigm, and to develop indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>

Purpose and Overview

This report provides updated information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of this data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of Foster Care case children and youth under 21 who are receiving SMHS' based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Penetration and engagement rate data are provided. Both penetration rates tables are also broken out by demographic characteristics. Utilization of services data are shown in terms of dollars, as well as by service, in time increments. The snapshot table provides a point-in-time view of children/youth arriving, exiting, and continuing services over a two-year period. The time to step down table provides a view over the past four years of the time to step-down services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for four Fiscal Years (FY). A FY is from July 1st to June 30th. For all of the measures and indicators included in this report, the denominator is the "Unique Count of Children and Youth receiving SMHS" shown on page 3 of the report and is broken out by state FY.

Utilization of services reports are shown in terms of dollars, as well as by service in time increments. The final report provides a view over the past four years of the time to step-down services (i.e., time to next contact after an inpatient discharge). **Note:** *The time to step-down report has a change in methodology from the first report produced in February 2015. In the initial report only outpatient services provided at least one day after the inpatient discharge were included in the calculations. On subsequent reports any outpatient service that occurs on or after the inpatient discharge is included in the analysis.*

Definitions

Population: Foster Care or Open Child Welfare beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 20 or younger during the approved date of service on the claim.

Data Sources:

- Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 13/14 through FY 16/17.
- Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 13/14 through FY16/17.
- Child Welfare Services/Case Management System (CWS/CMS) data for children in FY 13/14 through FY 16/17.

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Additional Information

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

http://www.dhcs.ca.gov/services/MH/Documents/POS_MeasuresCatalog_Sept2016.pdf

Note on Privacy:

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium, whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A "Public Aggregate Reporting – DHCS Business Reports" process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, this data is represented as follows: 1) Data that is missing is indicated as "-" 2) Data that has been suppressed due to privacy concerns is indicated as "A".

Report Interpretation

*Population-based and county-specific report findings may be interpreted alongside the POS statewide report findings.

***New Age Methodology** for Identifying Children under 21 (POS reports posted **after** 7/1/17): Beneficiaries that were under the age of 21 for the entire fiscal year (their age was less than 21 as of June 30th of the reported fiscal year).

*The **penetration rates** reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). For the POS, the penetration rate is calculated by taking the total number of youth who received one or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted. Penetration rates provide a measure of initial contact with the specialty mental health system.

*The **engagement rates** are calculated similarly to penetration rates but are intended to measure ongoing engagement with the specialty mental health system. The engagement rate is calculated by taking the total number of youth who received five or more SMHS' in a FY and dividing that by the total number of Medi-Cal eligible youth for that FY.

*The **snapshot** report provides a point-in-time look at children and youth's movement through the SMHS system. The report uses six general categories to classify if a youth is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). Eventually the snapshot data will be used along with measures of service effectiveness to identify whether youth are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here:

http://www.dhcs.ca.gov/services/MH/Documents/POS_MeasuresCatalog_Sept2016.pdf

*The psychiatric emergency services/hospital data reported on in the **time to step-down services** report includes data from Short Doyle/Medi-Cal II claims data and fee-for-service data. In the future this report will incorporate other outpatient and inpatient Medi-Cal SMHS' billed through the Managed Care healthcare delivery systems. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based off of the beneficiary's county of Medi-Cal responsibility during the eligibility month when the inpatient service occurred.

***Data Source Methodology:** Demographic & Penetration and Snapshot - based on MEDS data; Utilization - based on Claims Submission data; Time to step-down - based on Inpatient Hospital data.

***Open Child Welfare:** Children/youth who are provided child welfare services either while living in their home, or while living out-of-home in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent guardians.

***Foster Care Placement:** Children/youth who are removed from their home by a child placement agency, including county child welfare services and probation departments and placed in a foster care setting. Excludes children: placed in California under the jurisdiction of another state (incoming interstate Compact on the Placement of Children (ICPC)) and who are placed with non-dependent legal guardians.

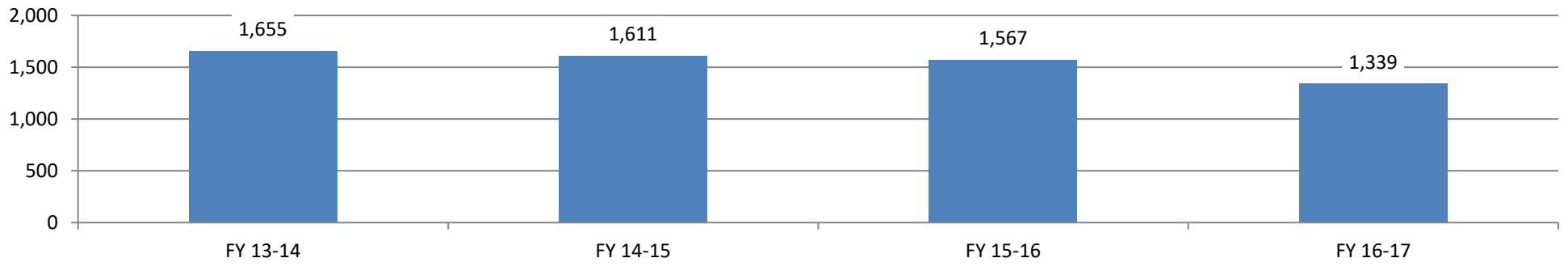
Please contact cmhpos@dhcs.ca.gov for any questions regarding this report.

Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year

Alameda County as of June 8, 2018

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Foster Care Youth in Medi-Cal	Year-Over-Year Percentage Change
FY 13-14	1,655		2,490	
FY 14-15	1,611	-2.7%	2,462	-1.1%
FY 15-16	1,567	-2.7%	2,347	-4.7%
FY 16-17	1,339	-14.6%	2,080	-11.4%
Compound Annual Growth Rate SFY**		-6.8%		-5.8%

Unique Count of Children and Youth Receiving SMHS



*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

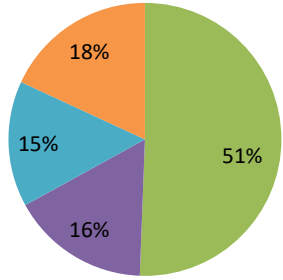
**SFY = State Fiscal Year which is July 1 through June 30.

Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year
 Alameda County as of June 8, 2018

Fiscal Year	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %
FY 13-14	837	50.6%	272	16.4%	247	14.9%	299	18.1%
FY 14-15	805	50.0%	291	18.1%	241	15.0%	274	17.0%
FY 15-16	784	50.0%	294	18.8%	213	13.6%	276	17.6%
FY 16-17	670	50.0%	259	19.3%	202	15.1%	208	15.5%

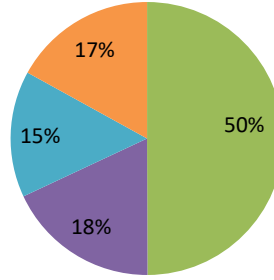
Fiscal Year 13-14 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



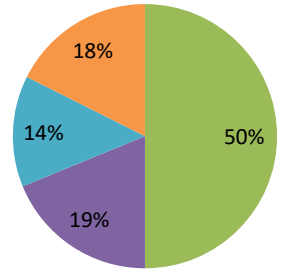
Fiscal Year 14-15 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



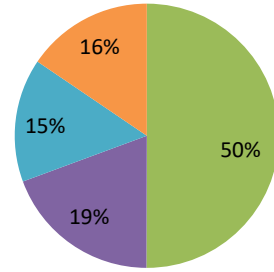
Fiscal Year 15-16 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



Fiscal Year 16-17 Race Distribution

■ Black ■ Hispanic ■ White ■ Other



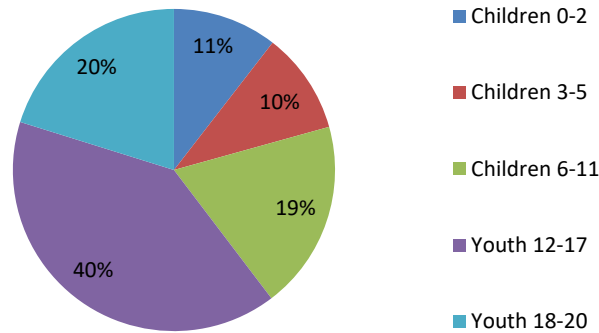
Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.

Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year

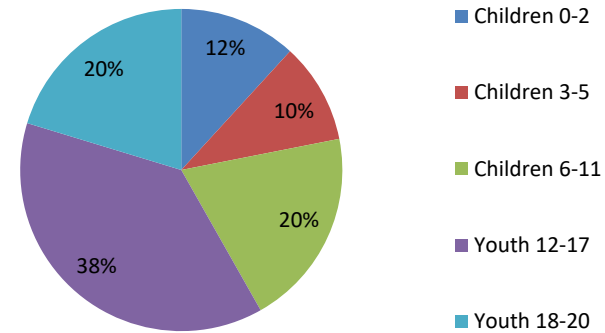
Alameda County as of June 8, 2018

Fiscal Year	Children 0-2 Count	Children 0-2 %	Children 3-5 Count	Children 3-5 %	Children 6-11 Count	Children 6-11 %	Youth 12-17 Count	Youth 12-17 %	Youth 18-20 Count	Youth 18-20 %
FY 13-14	173	10.5%	169	10.2%	314	19.0%	665	40.2%	334	20.2%
FY 14-15	190	11.8%	163	10.1%	321	19.9%	610	37.9%	327	20.3%
FY 15-16	149	9.5%	196	12.5%	335	21.4%	555	35.4%	332	21.2%
FY 16-17	136	10.2%	141	10.5%	303	22.6%	477	35.6%	282	21.1%

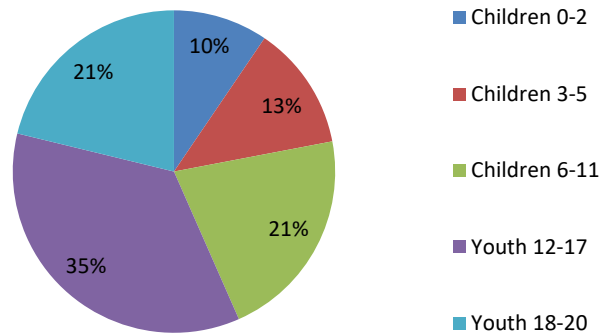
Fiscal Year 13-14 Age Group Distribution



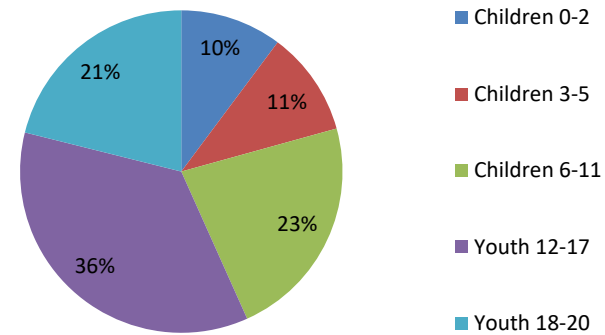
Fiscal Year 14-15 Age Group Distribution



Fiscal Year 15-16 Age Group Distribution



Fiscal Year 16-17 Age Group Distribution

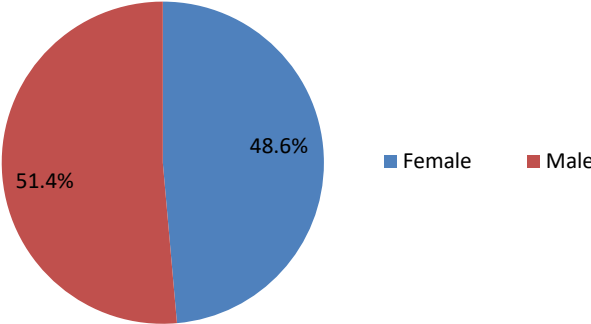


Demographics Report: Unique Count of Children and Youth in Foster Care Receiving SMHS by Fiscal Year

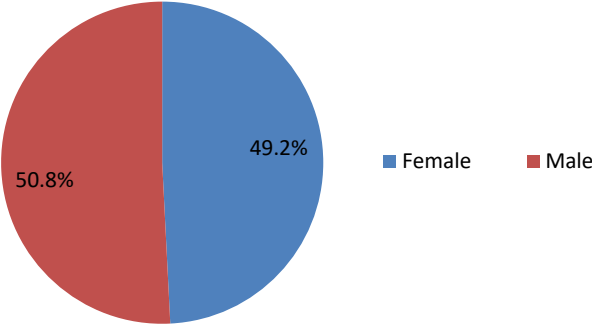
Alameda County as of June 8, 2018

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 13-14	805	48.6%	850	51.4%
FY 14-15	792	49.2%	819	50.8%
FY 15-16	759	48.4%	808	51.6%
FY 16-17	662	49.4%	677	50.6%

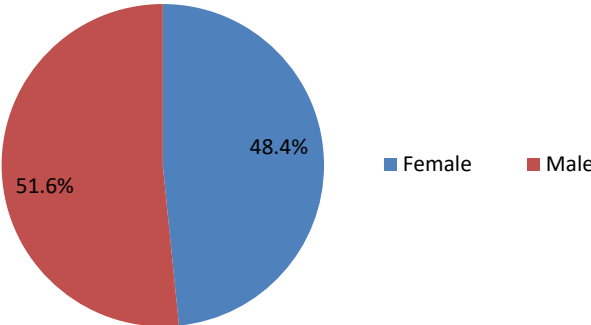
Fiscal Year 13-14 Gender Distribution



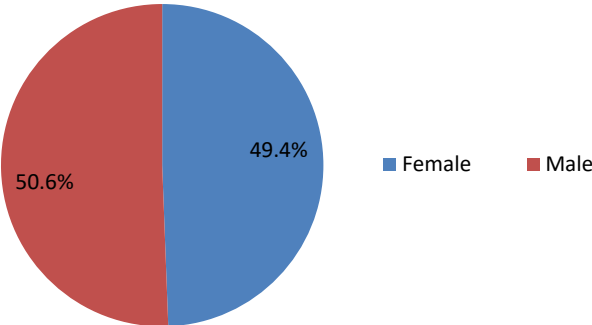
Fiscal Year 14-15 Gender Distribution



Fiscal Year 15-16 Gender Distribution



Fiscal Year 16-17 Gender Distribution

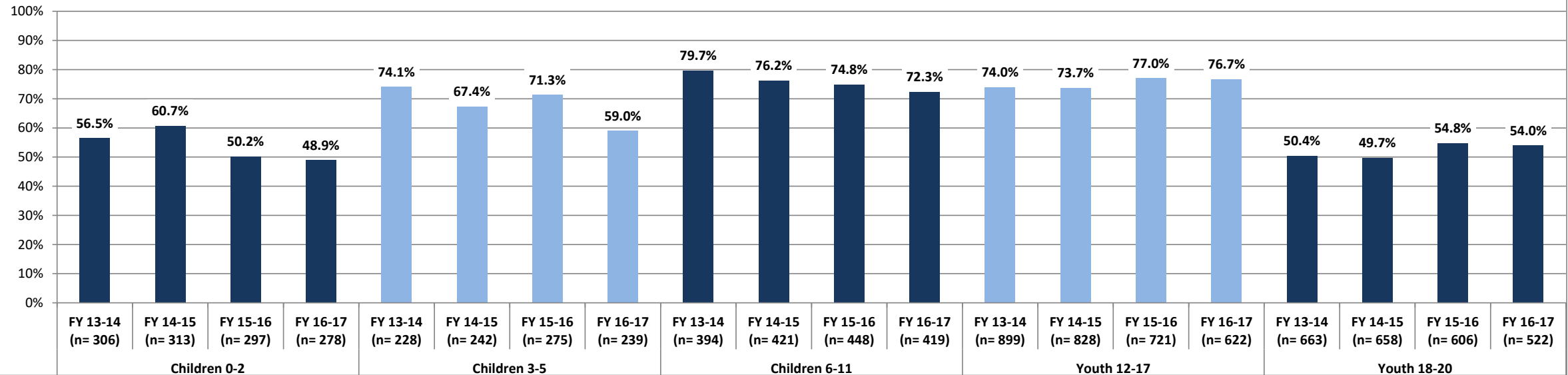


Penetration Rates* Report: Children and Youth in Foster Care with At Least One SMHS Visit**
Alameda County as of June 8, 2018

	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate	Children and Youth in Foster Care with 1 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Penetration Rate
All	1,655	2,490	66.5%	1,611	2,462	65.4%	1,567	2,347	66.8%	1,339	2,080	64.4%
Children 0-2	173	306	56.5%	190	313	60.7%	149	297	50.2%	136	278	48.9%
Children 3-5	169	228	74.1%	163	242	67.4%	196	275	71.3%	141	239	59.0%
Children 6-11	314	394	79.7%	321	421	76.2%	335	448	74.8%	303	419	72.3%
Youth 12-17	665	899	74.0%	610	828	73.7%	555	721	77.0%	477	622	76.7%
Youth 18-20	334	663	50.4%	327	658	49.7%	332	606	54.8%	282	522	54.0%
Black	837	1,260	66.4%	805	1,244	64.7%	784	1,131	69.3%	670	1,018	65.8%
Hispanic	272	426	63.8%	291	445	65.4%	294	452	65.0%	259	433	59.8%
White	247	349	70.8%	241	347	69.5%	213	302	70.5%	202	284	71.1%
Other	299	455	65.7%	274	426	64.3%	276	462	59.7%	208	345	60.3%
Female	805	1,139	70.7%	792	1,146	69.1%	759	1,090	69.6%	662	989	66.9%
Male	850	1,351	62.9%	819	1,316	62.2%	808	1,257	64.3%	677	1,091	62.1%

Penetration Rates by Age

Children and Youth in Foster Care With At Least One SMHS Visit, By Fiscal Year**



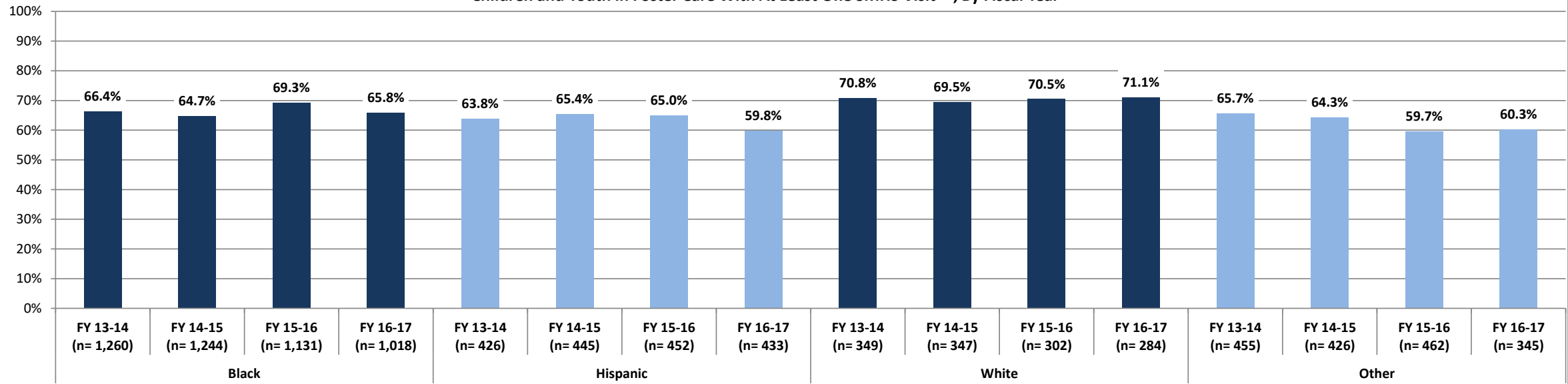
*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care sy.

**Children and Youth in Foster Care that have received at least one SMHS in the Fiscal Year.

Penetration Rates* Report: Children and Youth in Foster Care with At Least One SMHS Visit**
Alameda County as of June 8, 2018

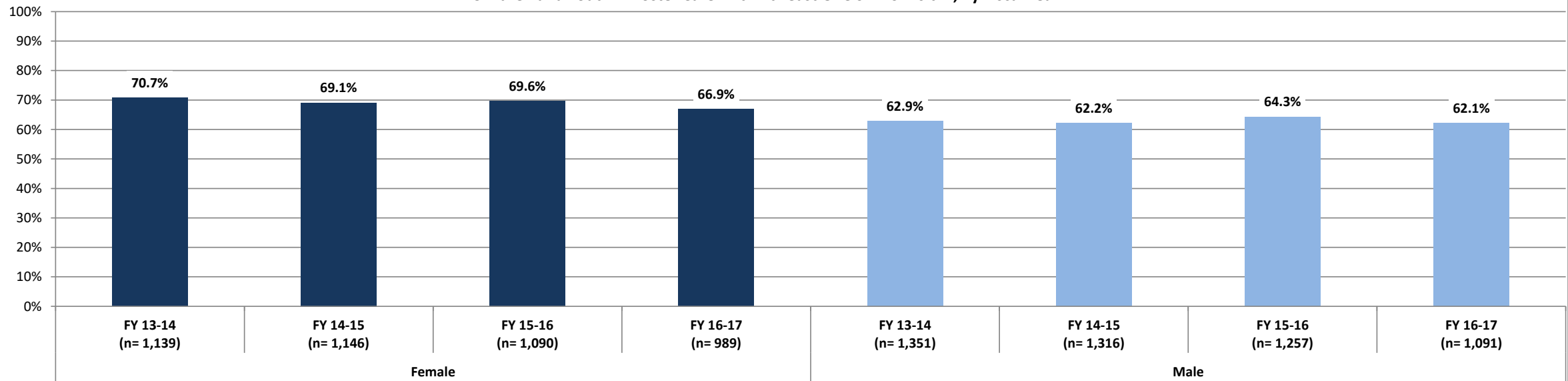
Penetration Rates by Race

Children and Youth in Foster Care With At Least One SMHS Visit, By Fiscal Year**



Penetration Rates by Gender

Children and Youth in Foster Care With At Least One SMHS Visit, By Fiscal Year**

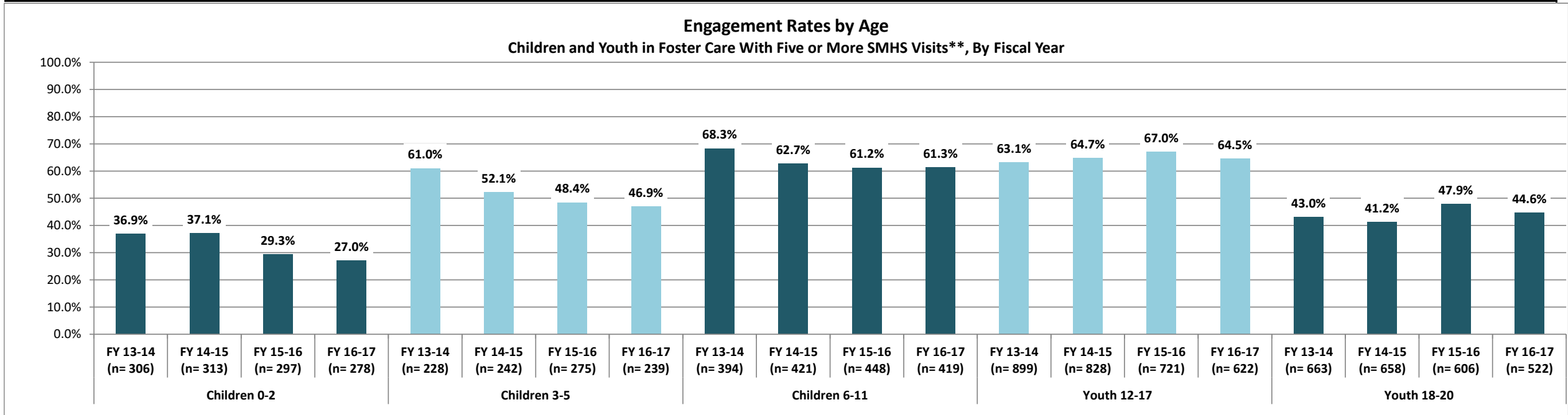


*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in the Medi-Cal Managed Care sy.

**Children and Youth in Foster Care that have received at least one SMHS in the Fiscal Year.

Engagement Rates* Report: Children and Youth in Foster Care with Five or More SMHS Visits**
Alameda County as of June 8, 2018

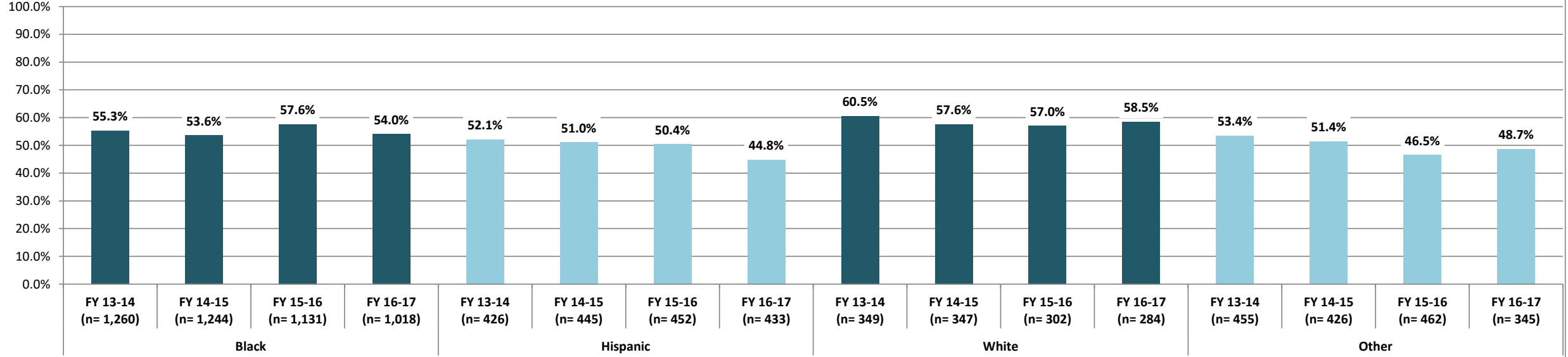
	FY 13-14			FY 14-15			FY 15-16			FY 16-17		
	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate	Children and Youth in Foster Care with 5 or more SMHS Visits	Certified Eligible Children and Youth in Foster Care	Engagement Rate
All	1,373	2,490	55.1%	1,313	2,462	53.3%	1,267	2,347	54.0%	1,078	2,080	51.8%
Children 0-2	113	306	36.9%	116	313	37.1%	87	297	29.3%	75	278	27.0%
Children 3-5	139	228	61.0%	126	242	52.1%	133	275	48.4%	112	239	46.9%
Children 6-11	269	394	68.3%	264	421	62.7%	274	448	61.2%	257	419	61.3%
Youth 12-17	567	899	63.1%	536	828	64.7%	483	721	67.0%	401	622	64.5%
Youth 18-20	285	663	43.0%	271	658	41.2%	290	606	47.9%	233	522	44.6%
Black	697	1,260	55.3%	667	1,244	53.6%	652	1,131	57.6%	550	1,018	54.0%
Hispanic	222	426	52.1%	227	445	51.0%	228	452	50.4%	194	433	44.8%
White	211	349	60.5%	200	347	57.6%	172	302	57.0%	166	284	58.5%
Other	243	455	53.4%	219	426	51.4%	215	462	46.5%	168	345	48.7%
Female	674	1,139	59.2%	648	1,146	56.5%	616	1,090	56.5%	534	989	54.0%
Male	699	1,351	51.7%	665	1,316	50.5%	651	1,257	51.8%	544	1,091	49.9%



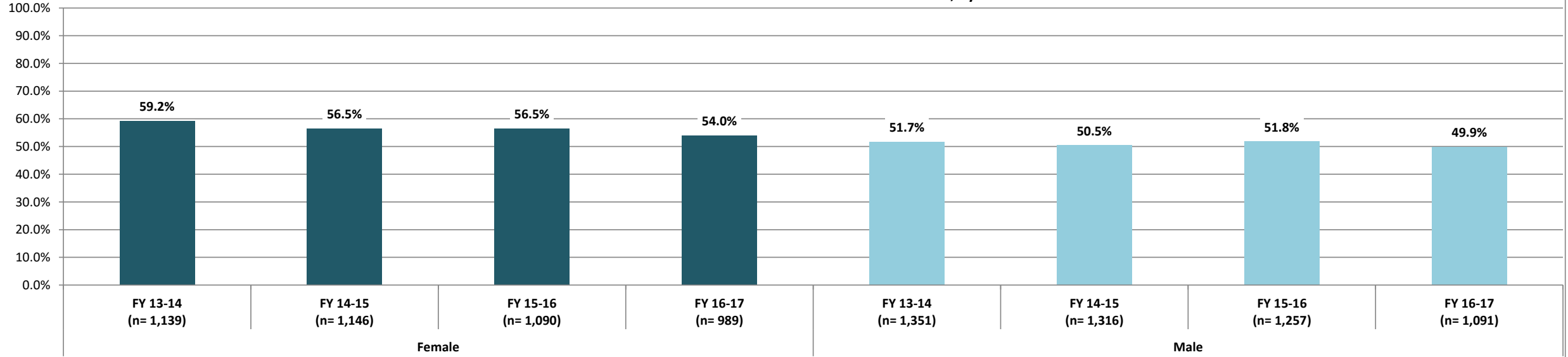
*Engagement Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

Engagement Rates* Report: Children and Youth in Foster Care with Five or More SMHS Visits**
Alameda County as of June 8, 2018

***Engagement Rates by Race**
Children and Youth in Foster Care With Five or More SMHS Visits, By Fiscal Year**



***Engagement Rates by Gender**
Children and Youth in Foster Care With Five or More SMHS Visits, By Fiscal Year**

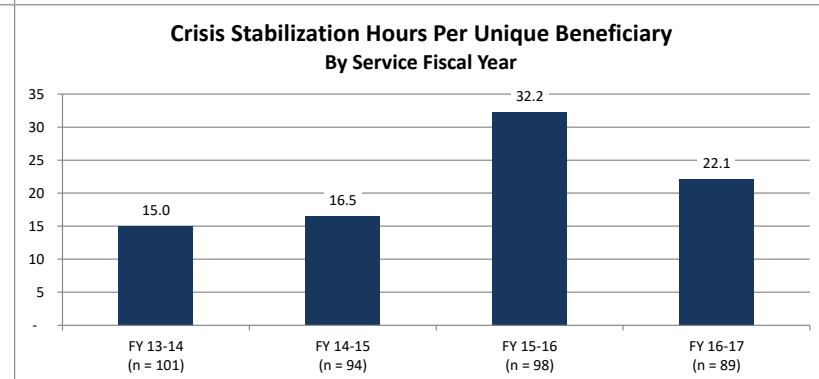
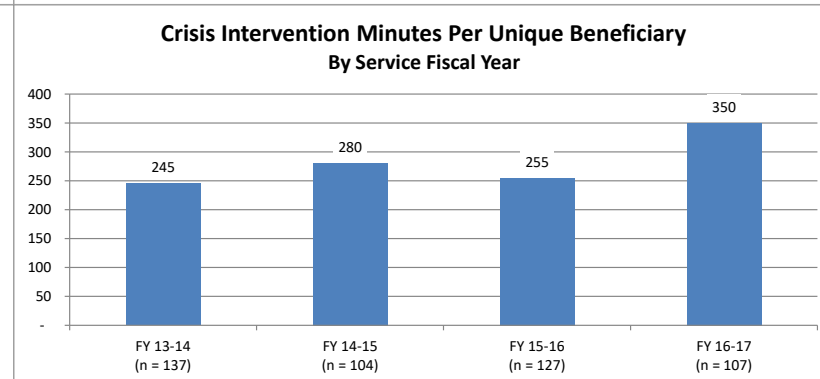
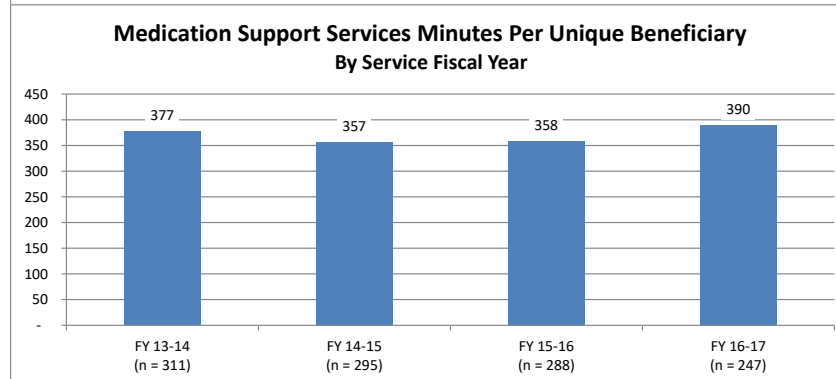
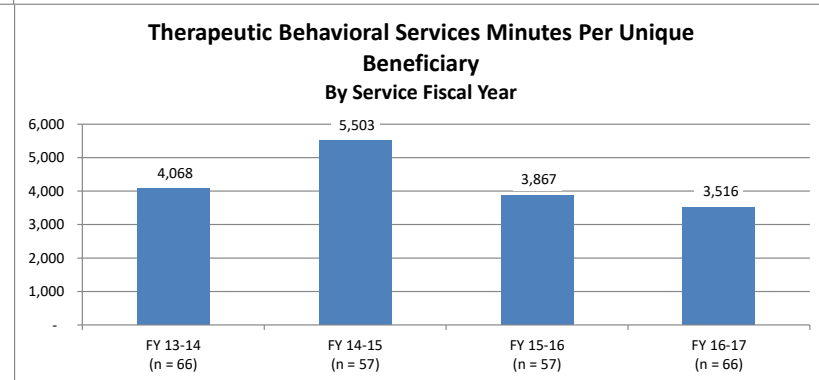
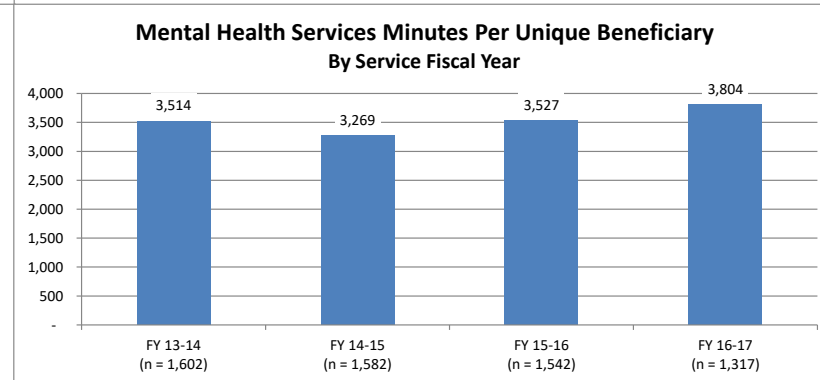
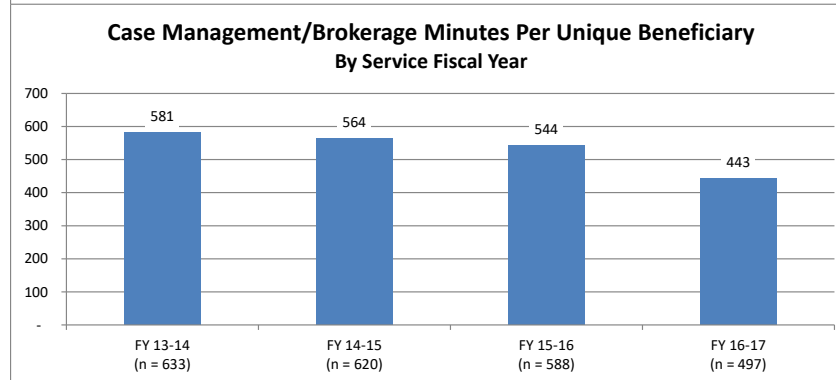
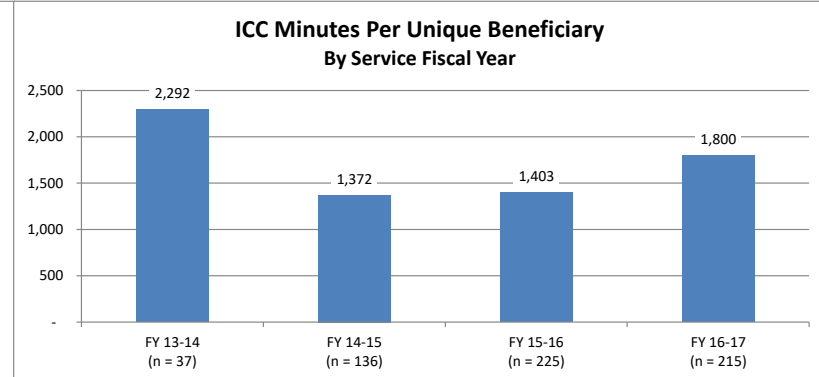
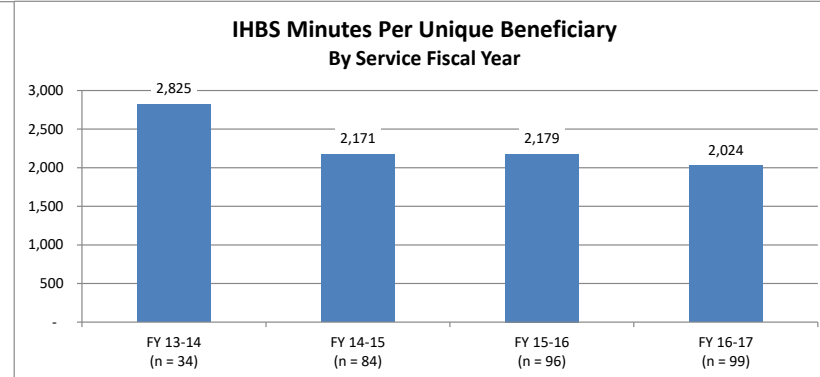
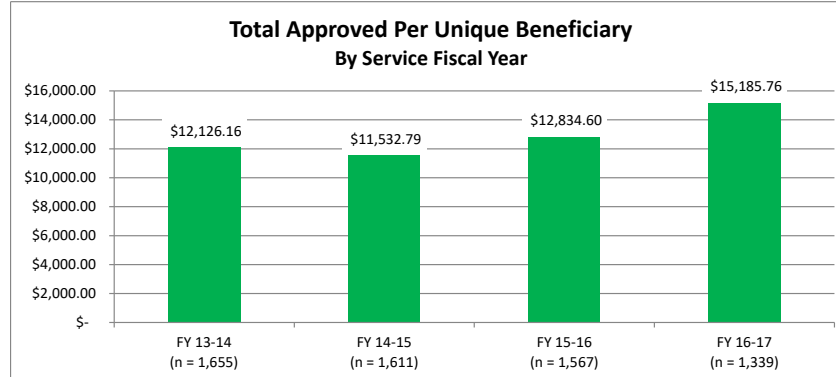


*Engagement Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

**Children and Youth in Foster Care that have received at least five SMHS in the Fiscal Year.

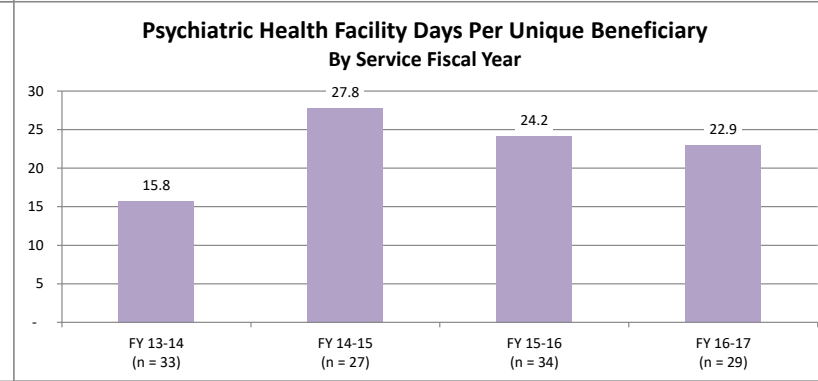
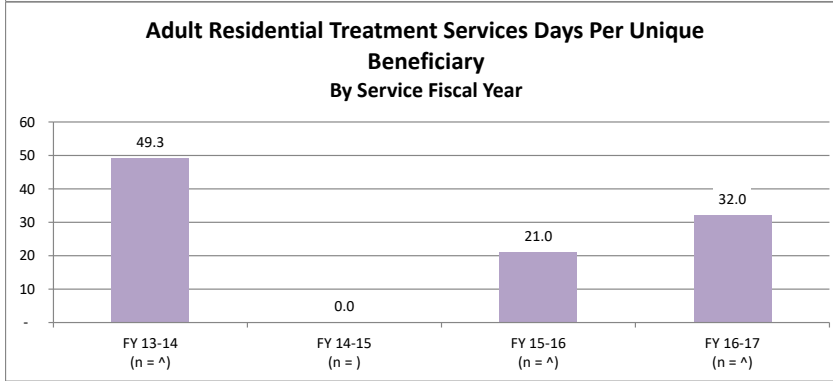
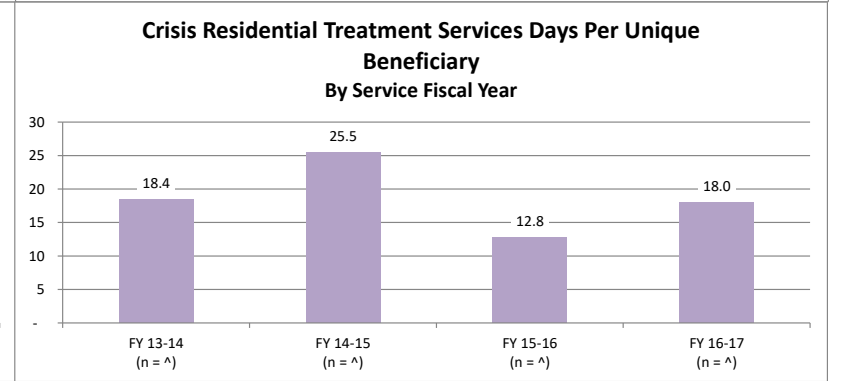
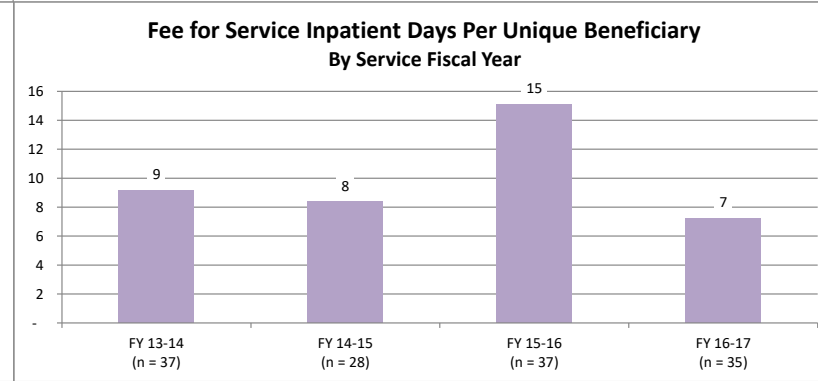
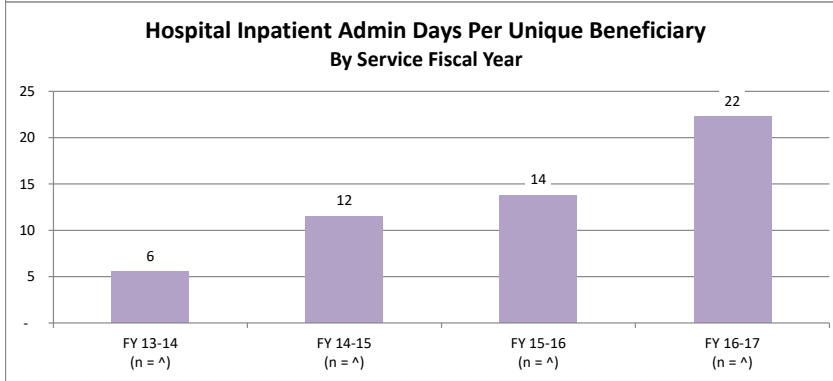
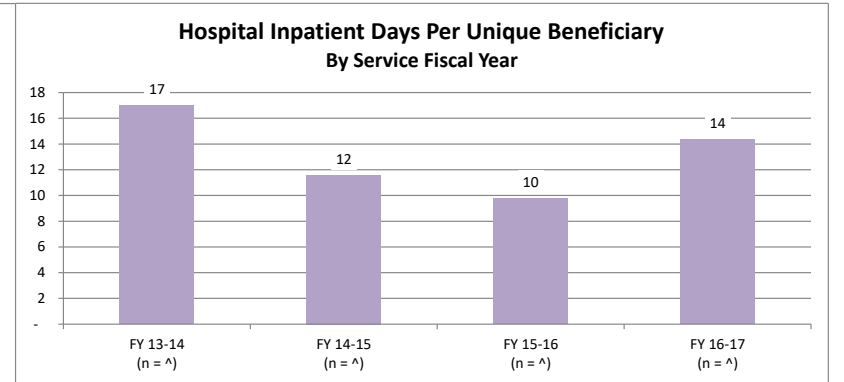
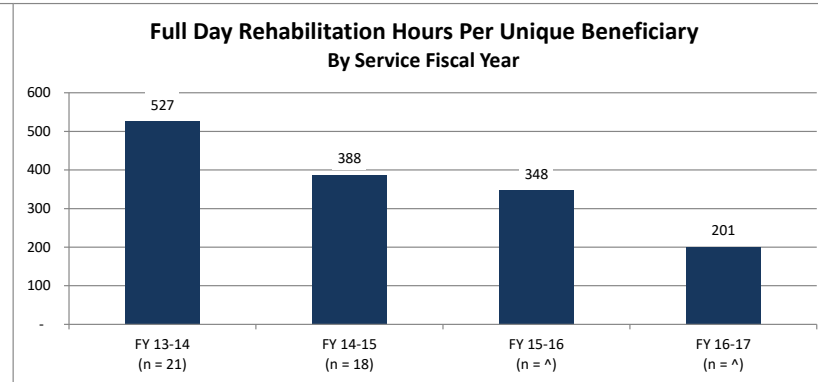
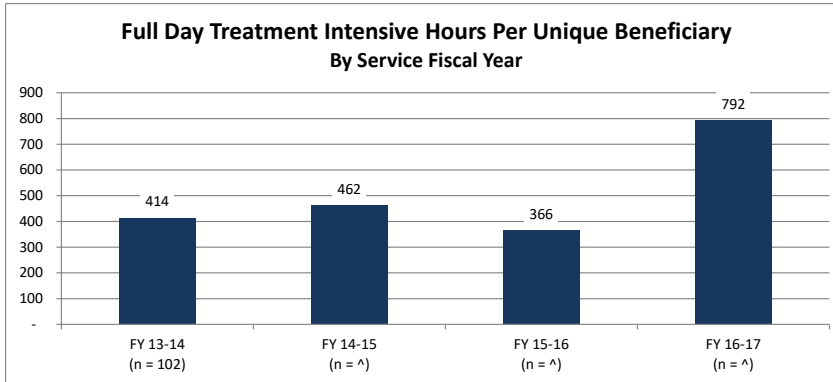
**Utilization Report*: Approved SMHS for Children/Youth in Foster Care
Mean Expenditures and Service Quantity per Beneficiary by Fiscal Year
Alameda County as of June 8, 2018**

Fiscal Year	SDMC Total Approved	IHBS (Minutes)	ICC (Minutes)	Case Management/Brokerage (Minutes)	Mental Health Services (Minutes)	Therapeutic Behavioral Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 13-14	\$ 12,126	2,825	2,292	581	3,514	4,068	377	245	15	414	527	17	6	9	18	49	16
FY 14-15	\$ 11,533	2,171	1,372	564	3,269	5,503	357	280	16	462	388	12	12	8	26	0	28
FY 15-16	\$ 12,835	2,179	1,403	544	3,527	3,867	358	255	32	366	348	10	14	15	13	21	24
FY 16-17	\$ 15,186	2,024	1,800	443	3,804	3,516	390	350	22	792	201	14	22	7	18	32	23
MEAN	\$ 12,920	2,299	1,717	533	3,529	4,238	371	283	21	509	366	13	13	10	19	34	23



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.



*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

Snapshot Report: Unique Count of Children and Youth in Foster Care Receiving SMHS

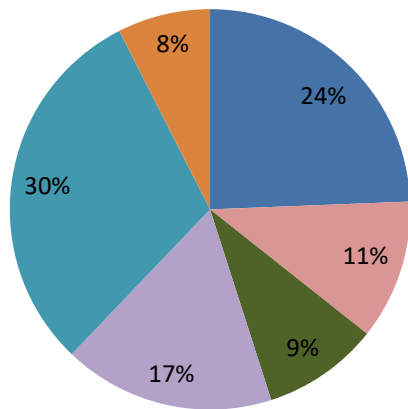
Arriving, Exiting, and with Service Continuance by Fiscal Year

Alameda County as of June 8, 2018

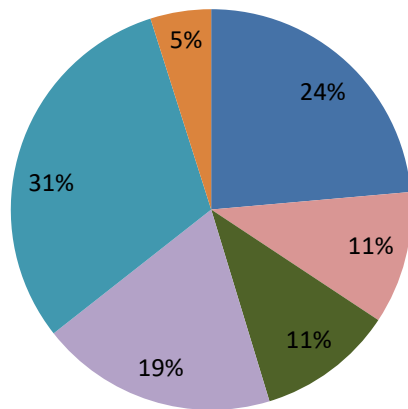
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Children/Youth that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Children/Youth receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (≥ 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Children/Youth that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which children/youth met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Children/Youth had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (≥ 2 YR) Count	Service Continuance (≥ 2 YR) %	Service Continuance (< 2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (≥ 2 YR) & Exiting Count	Service Continuance (≥ 2 YR) and Exiting %	Total Count	Total %
FY 13-14	403	24.4%	187	11.3%	155	9.4%	283	17.1%	503	30.4%	124	7.5%	1,655	100%
FY 14-15	381	23.6%	172	10.7%	177	11.0%	308	19.1%	494	30.7%	79	4.9%	1,611	100%
FY 15-16	333	21.3%	149	9.5%	150	9.6%	318	20.3%	489	31.2%	128	8.2%	1,567	100%
FY 16-17	294	22.0%	161	12.0%	129	9.6%	245	18.3%	417	31.1%	93	6.9%	1,339	100%

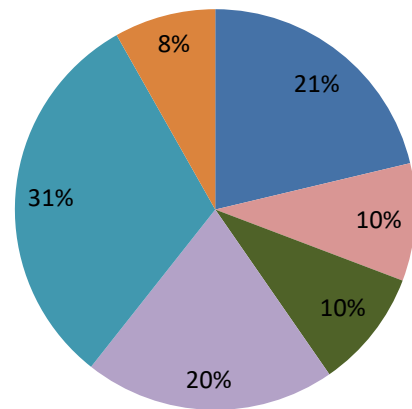
Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution



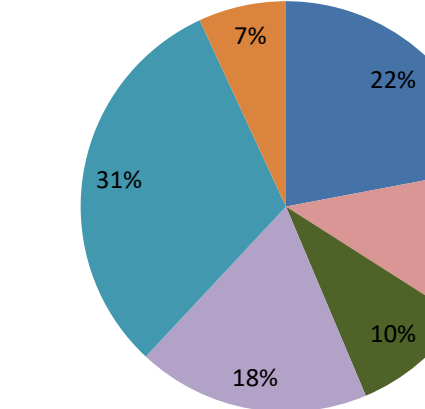
Fiscal Year 14-15 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 15-16 Arrivals, Service Continuance, & Exits Distribution



Fiscal Year 16-17 Arrivals, Service Continuance, & Exits Distribution



- Arrivals
- Service Continuance (≥ 2 YR)
- Service Continuance (< 2 YR)
- Exiting
- Arriving & Exiting
- Service Continuance (≥ 2 YR) & Exiting

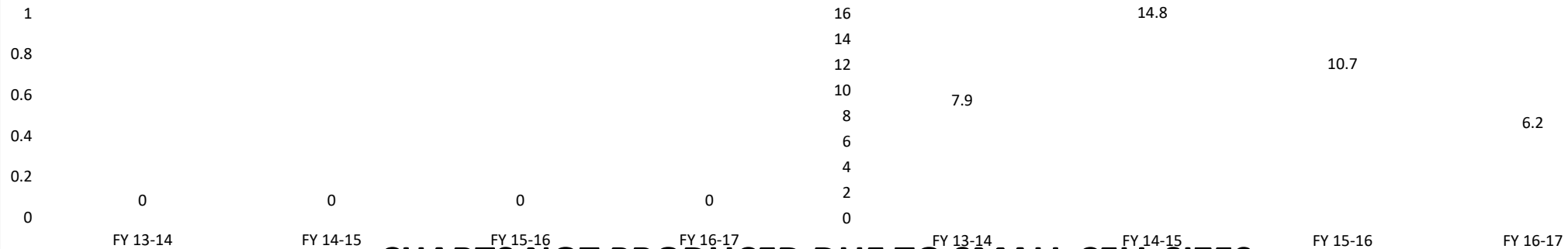
Time to Step Down Report: Children and Youth in Foster Care Stepping Down in SMHS Services Post Inpatient Discharge*

Alameda County as of June 8, 2018

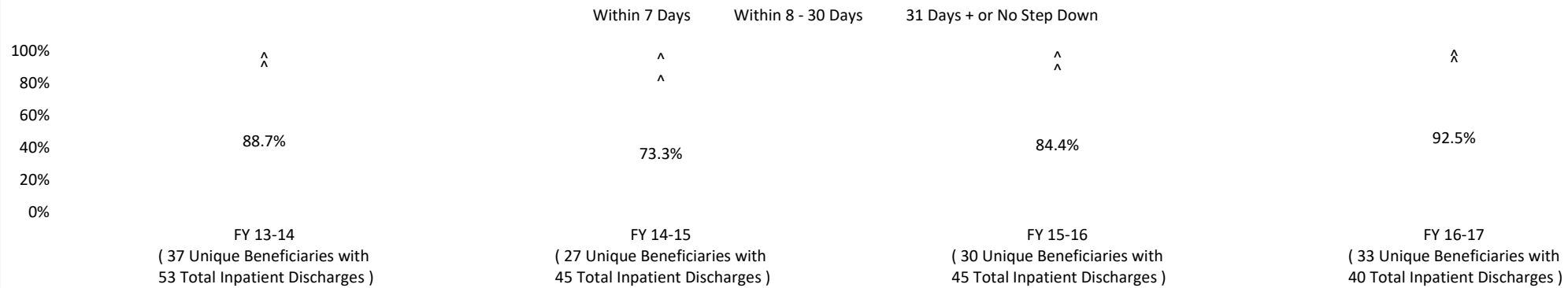
Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Percentage of Inpatient Discharges with Step Down between 8 and 30 days of Discharge	Count of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Percentage of Beneficiaries with a Step Down > 30 Days from Discharge or No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 13-14	47	88.7%	^	^	^	^	0	142	7.9	0
FY 14-15	33	73.3%	^	^	^	^	0	329	14.8	0
FY 15-16	38	84.4%	^	^	^	^	0	339	10.7	0
FY 16-17	37	92.5%	^	^	^	^	0	154	6.2	0

Median Time Between Inpatient Discharge and Step Down Service in Days

Mean Time Between Inpatient Discharge and Step Down Service in Days



CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.
Percentage of Discharges by Time Between Inpatient Discharge and Step Down Service



* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

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