DHCS

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Department of Health Care Services

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DATE: September 9, 2021

QIP POLICY LETTER 21—006

TO: ALL QIP ENTITIES

SUBJECT: PY4 RACE AND ETHNICITY STRATIFICATION

PURPOSE:
This QIP Policy Letter (QPL) informs QIP entities of the race and ethnicity stratification requirements for select measures.

POLICY:
As instructed in the QIP PY4 Reporting Manual (p. 34), entities reporting on the following measures in PY4 must stratify the reported data by race and ethnicity for informational purposes. Detailed instructions on how to report these stratifications are specified in Attachment 1.

- **Q-BCS**: Breast Cancer Screening
- **Q-CMS130**: Colorectal Cancer Screening
- **Q-CBP**: Controlling High Blood Pressure
- **Q-CMS147**: Preventive Care and Screening: Influenza Immunization
- **Q-CMS2**: Preventive Care and Screening: Screening for Depression and Follow-Up Plan

Please note that for **Q-IHE1:** Improving Health Equity 1, entities will be required to report sub-rates for all race/ethnicity groups (as specified in Attachment 1) for CDC-H9: Diabetes Poor Control. The only accountable (i.e., P4P) sub-rates are the Black/African American and Hispanic/Latino sub-rates. The remaining sub-rates are to be reported for informational purposes only. In the table under Attachment 1, the accountable Hispanic/Latino sub-rate is represented by the Total rate under the "Hispanic or Latino" column. The accountable Black/African American sub-rate is represented by the Total rate at the end of the Black/African American row. These accountable rates and

associated numerators and denominators are indicated by the letter "e" and highlighted in yellow in the Appendix 1 table.

The QIP Reporting Application will be updated to require all of the above stratifications during PY4 reporting.

For any measure that necessitates an entity reporting numerator and denominator counts for a race/ethnicity priority population (e.g., **Q-IHE2:** Improving Health Equity 2), entities should follow the same counting guidance as outlined in Attachment 1.

- Note that for Q-IHE2, entities are only required to report the numerator and denominator for the priority population selected for the eligible equity metric in Q-IHE2; entities do not have to report all other race/ethnicity strata.
- The cells that correspond to a race's total numerator and denominator can be found at the end of each race's row, under the Total *column*, in the table in Attachment 1
- The cells that correspond to the Hispanic/Latino ethnicity's total numerator and denominator can be found under the Hispanic/Latino column at the Total *row* in the table in Attachment 1.
- In accordance with Attachment 1, when such information is available, multi-racial individuals identifying, in part, with the race/ethnicity category of the priority population should be counted as an individual within the priority population (e.g., an entity engaging with the Asian priority population for a Q-IHE2 eligible equity measure should count among the measure's priority population those multi-racial individuals who identify, in part, as Asian).

Please note that entities are no longer required to report informational race and ethnicity stratifications of their total Medi-Cal managed care assigned lives population.

Please contact your QIP Liaison or the QIP mailbox at qip@dhcs.ca.gov if there are any questions concerning this QPL.

Sincerely,

ORIGINAL SIGNED BY KAREN MARK

Karen E. Mark, MD, PhD
Medical Director

ATTACHMENT 1

Table A on the following page allows for stratification of reported data by race and ethnicity. Entities should include individuals in the fields corresponding to the appropriate race(s) AND ethnicity.

Level 1 Race Categories:

American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White, Other/Unknown/Declined

Level 2 Race Categories (mandated for collection by State Government Code 8310.5)**:**

- Asian Indian, Cambodian, Chinese, Filipino, Japanese, Korean, Laotian, Vietnamese, Two or more Asian races, Some other or unknown Asian race.
- Guamanian, Hawaiian, Samoan, Two or more Pacific Islander races, Some other or unknown Pacific Islander race.

Guidance for Multiracial Individuals

When such information is available, individuals identifying as **more than one Level 1 Race** should be counted in all applicable categories.
- Example: an individual who identifies as Black (or African American) and White should be counted in **both** the "Black or African American" and "White" rows.
- Individuals identifying as more than one Level 1 Race but for whom the individuals' Level 1 Races are not identifiable should be counted in the "Other/Unknown/Declined" race category

Individuals identifying as **more than one Level 2 Race** whose identified races fall solely within a single Level 1 Race category should be counted only once in the "Two or more [Asian] or [Pacific Islander] row" and once in their Level 1 Race row.
- Example: an Asian individual who is Chinese and Filipino should be counted once in the "Asian" row and once in the "Two or more Asian races" row
- Example: an individual who identifies as Black (or African American), Chinese, and Filipino should be counted once in the "Black or African American" row, once in the "Asian" row and once in the "Two or more Asian races" row.

Legend for Table A
- Num = numerator
- Den = denominator
- AR = achievement rate
- a = auto-calculated by QIP Reporting Application
- e = indicator for accountable sub-rates for Q-IHE1: CDC-H9
- * = must match the aggregate numerator/denominator reported for the measure

Table A: Race and Ethnicity											
	Hispanic or Latino			Not Hispanic or Latino			Unknown/Declined Ethnicity			TOTAL		
	Num	Den	AR	Num	Den	AR	Num	Den	AR	Num	Den	AR
TOTAL - not a sum of underlying rows	e	e	a,e			a			a	a*	a*	a
American Indian or Alaska Native			a			a			a	a	a	a
Asian - not a sum of detailed Asian races			a			a			a	a	a	a
Asian Indian			a			a			a	a	a	a
Cambodian			a			a			a	a	a	a
Chinese			a			a			a	a	a	a
Filipino			a			a			a	a	a	a
Japanese			a			a			a	a	a	a
Korean			a			a			a	a	a	a
Laotian			a			a			a	a	a	a
Vietnamese			a			a			a	a	a	a
Two or more Asian races			a			a			a	a	a	a
Some other or unknown Asian race			a			a			a	a	a	a
Black or African American			a			a			a	a,e	a,e	a,e
Native Hawaiian or Other Pacific Islander - not a sum of detailed Pacific Islander races			a			a			a	a	a	a
Guamanian			a			a			a	a	a	a
Hawaiian			a			a			a	a	a	a
Samoan			a			a			a	a	a	a
Two or more Native Hawaiian or Other Pacific Islander races			a			a			a	a	a	a
Some other or unknown Pacific Islander race			a			a			a	a	a	a
White			a			a			a	a	a	a
Other/Unknown/Declined			a			a			a	a	a	a