



State of California—Health and Human Services Agency  
Department of Health Care Services



EDMUND G. BROWN JR.  
GOVERNOR

DATE: October 14, 2016

TO: ALL MEDI-CAL DENTAL MANAGED CARE PLANS

SUBJECT: **APL 16-017: Performance Measure Implementation**

REF: *APL 16-009 Waiver of the Capitation Payment Withhold for Performance Measures and Benchmarks*

The purpose of this All Plan Letter (APL) is to notify all Medi-Cal Dental Managed Care Plans of the implementation of an updated Performance Measures and Benchmarks Template. This template is to be completed to meet performance measure reporting requirements, as stated in Exhibit A, Attachment 6. The Benchmark row is filled in with gray shading to indicate that the benchmarks are not currently impacting capitation payment withholds, per APL 16-009. DHCS requests that all outstanding quarters be provided by October 21, 2016.

If you have any questions, please contact Eric Mayes at [dmcdeliverables@dhcs.ca.gov](mailto:dmcdeliverables@dhcs.ca.gov).

Sincerely,



Alani C. Jackson, MPA  
Chief, Medi-Cal Dental Services Division  
Department of Health Care Services

Enclosures: Performance Measures and Benchmark Template

## Performance Measures & Benchmarks Reporting \*

*Quarter Year:*

Annual Dental Visits								
Percentage of members who had at least one (1) dental visit during the measurement period.  <b>Numerator:</b> Number of members enrolled at least 90 days continuously in the same plan during the measurement period with no more than a one-month gap in eligibility who received any dental procedure (D0100-D9999) during the period.  <b>Denominator:</b> Number of members enrolled at least 90 days continuously within the last year in the plan.	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
	Numerator							
	Denominator							
	Utilization							
	Use of Preventive Services							
Percentage of members who received any preventive dental service during the measurement period.  <b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received any preventive dental service (D1000-D1999) in the measurement period.  <b>Denominator:</b> Number of members enrolled at least 90 days continuously within the last year in the plan.	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
	Numerator							
	Denominator							
	Utilization							
	Use of Sealants							
Percentage of members ages 6-9 and 10-14 continuously enrolled in the same plan during the measurement period with no more than a one-month gap in eligibility who received a dental sealant on at least one permanent molar.  <b>Numerator:</b> 1.) Number of members ages 6-9 continuously enrolled in the same plan during the measurement period with no more than a one-month gap in eligibility who received a dental sealant (D1351) on a permanent first molar (tooth number = 3, 14, 19, 30). 2) Number of members ages 10-14 continuously enrolled in the same plan during the measurement period enrolled at least 90 days continuously who received a dental sealant(D1351) on a permanent second molar(tooth number=2,15,18,31).  <b>Denominator:</b> Number of members ages 6-9 and 10-14, respectively,enrolled at least 90 days continuously within the last year in the plan.	Age Group	6-9				10-14		
	Benchmark							
	Numerator							
	Denominator							
	Utilization							
	Sealant to Restoration Ratio (Surfaces)							
The ratio of occlusal surfaces of permanent first and second molars receiving dental sealants to those receiving restoration among members ages 6-9 and 10-14 continuously enrolled in the same plan during the measurement period with no more than one-month gap in eligibility.  <b>Numerator:</b> Number of occlusal surfaces of permanent first molars (tooth number = 3, 14, 19, 30) in 6-9 and 10-14 year olds of permanent second molars (tooth number = 2, 15, 18, 31) in 10-14 year olds receiving dental sealant (D1351) among members in those age groups continuously enrolled in the same plan during the measurement period at least 90 days continuously within the last year.  <b>Denominator:</b> Number of occlusal surfaces of permanent first molars (tooth number - 3, 14, 19, 30) in 6-9 and 10-14 year olds and of permanent second molars (tooth number 2, 15, 18, 31) in 10-14 year olds receiving a restoration (D2000-D2999) among members in those age groups enrolled at least 90 days continuously within the last year in the plan.	Age Group	6-9				10-14		
	Benchmark							
	Numerator							
	Denominator							
	Utilization (Ratio)							
	Treatment/Prevention of Caries							
Percentage of members who received either treatment for caries or a caries-preventive procedure during the measurement period.  <b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received a treatment for caries (D2000-D2999) or a caries-preventive procedure (D1203-D1206, D1310, D1330, D1351) during the measurement period.  <b>Denominator:</b> Number of members enrolled at least 90 days continuously within the last year in the plan.	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
	Numerator							
	Denominator							
	Utilization							
	Exams/Oral Health Evaluations							
The percentage of members who received a comprehensive or periodic oral health evaluation or, for members under 3 years of age, who received an oral evaluation and counseling with the primary care giver, during the measurement period.  <b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received a comprehensive or period exam (D0120 or D0150) or, for members under three (3) years of age, who received an oral evaluation and counseling with the primary caregiver (D0145), during the measurement period.  <b>Denominator:</b> Number of members enrolled at least 90 days continuously within the last year in the plan.	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
	Numerator							
	Denominator							
	Utilization							
	Use of Dental Treatment Services							
Percentage of members who received any dental treatment service during the measurement period.  <b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received any dental treatment service (D2000-D9999) in the measurement period.  <b>Denominator:</b> Number of members continuously enrolled in the same plan during the measurement period at least 90 days continuously within the last year.	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							

	Numerator							
	Denominator							
	Utilization							
<b>Preventive Services to Fillings</b>								
Percentage of members who received one (1) or more fillings in the measurement period who also received preventive services (topical fluoride application, sealant, preventive resin restoration, education) in the measurement period.								
	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
<b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received one (1) or more fillings (D200-D2999) in the measurement period and who also received one (1) or more topical fluoride applications (D1203, D1204, or D1206), dental sealants (D1351), preventive resin restorations (D1352) or education to prevent caries (D1310 or D1330) in the measurement period.	Numerator							
<b>Denominator:</b> Number of members continuously enrolled in the same plan during the measurement period at least 90 days continuously within the last year, who received one (1) or more fillings (D2000-D2999) in the measurement period.	Denominator							
	Utilization							
<b>Overall Utilization of Dental Services</b>								
Percentage of members continuously enrolled for the measurement period who received any dental service during that period.								
	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
<b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously who received any dental service (D0100-D9999) during that period.	Numerator							
<b>Denominator:</b> Number of members continuously enrolled at least 90 days continuously within the last year.	Denominator							
	Utilization							
<b>Continuity of Care</b>								
The percentage of beneficiaries under 21 years of age continuously enrolled for two (2) years with no gap in coverage who received a comprehensive oral evaluation or a prophylaxis in both the year prior to the measurement year and in the measurement year.								
	Age Group	<2	2	3-5	6-11	9-11	12-20	0-20
	Benchmark							
<b>Numerator:</b> Number of Medi-Cal eligible beneficiaries in the denominator who also received a comprehensive oral evaluation (D0120, D0150), or a prophylaxis (D1110, D1120) in the measurement year.	Numerator							
<b>Denominator:</b> Number of Medi-Cal eligible beneficiaries continuously enrolled for two (2) years with no gap in coverage who received a comprehensive oral evaluation (D0120, D0150), or a prophylaxis (D1110, D1120) in the year prior to the measurement year.	Denominator							
	Utilization							
<b>Usual Source of Care</b>								
The percentage of beneficiaries under 21 years of age who received any dental service each year for two (2) consecutive years.								
	Age Group	<2	2	3-5	6-11	9-11	12-20	0-20
	Benchmark							
<b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously with no gap in Med-Cal coverage for two years who received at least one (1) dental service in each of those years.	Numerator							
<b>Denominator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously with no gap in Med-Cal coverage for two years.	Denominator							
	Utilization							
<b>General Anesthesia</b>								
Percentage of members who requested and received general anesthesia or intravenous sedation services.								
	Age Group	<1	1-2	3-5	6-9	10-14	15-18	19-20
	Benchmark							
<b>Numerator:</b> Number of members in the same plan during the measurement period enrolled at least 90 days continuously within the last year who received general anesthesia or intravenous sedation services.	Numerator							
<b>Denominator:</b> Number of Medi-Cal eligible beneficiaries continuously enrolled at least 90 days continuously within the last year who requested general anesthesia or intravenous sedation services.	Denominator							
	Utilization							

Measures for eligibility data are gathered on a 90 day continuous enrollment (Form 416) methodology.