

**REASONS FOR RECOUPMENT
OF FEDERAL FINANCIAL PARTICIPATION (FFP) DOLLARS
FOR REVIEWS CONDUCTED IN FY'02-03**

THERAPEUTIC BEHAVIORAL SERVICES (TBS)
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1. No progress note was found for service claimed.

MHP Contract with DMH, Exhibit A, Attachment 1, Appendix C

2. No documentation of a plan for TBS.

DMH Letter No. 99-03, pages 6-7

3. Progress notes clearly indicate that TBS was provided **solely** for one of the following reasons:

- a) For the convenience of the family, caregivers, physician, or teacher
- b) To provide supervision or to ensure compliance with terms and conditions of probation
- c) To ensure the child/youth's physical safety or the safety of others, e.g., suicide watch
- d) To address conditions that are not a part of the child/youth's mental health condition

DMH Letter No. 99-03, page 4

4. The progress note clearly indicates that TBS was provided to a beneficiary in a hospital mental health unit, psychiatric health facility, nursing facility, or crisis residential facility

DMH Letter No. 99-03, page 5

5. The progress note clearly indicates that TBS was provided to a beneficiary in juvenile hall and when ineligible for Medi-Cal.

CFR, Title 42, Sections 435.1008 and 435.1009; and CCR, Title 22, Section 50273(1-9)

6. The progress note indicates that the service was provided while the beneficiary resided in a setting where the beneficiary was ineligible for FFP, e.g., Institute for Mental Disease, jail, and other similar settings, or in a setting subject to lockouts per Title 9, Chapter 11.

CCR, Title 9, Chapter 11, Sections 1840.312(g)&(h) and 1840.360-374; CFR, Title 42, Sections 435.1008 and 435.1009; and CCR, Title 22, Section 50273(1-9)

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7. The progress note indicates that the service provided was one of the following:
- a) Academic educational service
 - b) Vocational service that has work or work training as its actual purpose
 - c) Recreation
 - d) Socialization that consists of generalized group activities that do not provide systematic individualized feedback to the specific targeted behaviors

CCR, Title 9, Chapter 11, Section 1840.312(a),(b),(c), and (d)

8. The progress note does not contain the signature (or electronic equivalent) of the person providing the service.

MHP Contract with the DMH, Exhibit A, Attachment 1, Appendix C

9. The progress note indicates the service provided was solely transportation.

CCR, Title 9, Chapter 11, Sections 1810.355(a)(1)(B), 1840.312(f), and 1810.247, and 1840.110(a)

10. The progress note indicates the service provided was solely clerical.

CCR, Title 9, Chapter 11, Sections 1840.312(f), and 1810.247, 1840.110(a), and 1830.205(b)(3)

11. The progress note indicates the service provided was solely payee related.

CCR, Title 9, Chapter 11, Sections 1840.312(f), and 1810.247, 1840.110(a), and 1830.205(b)(3)