

**Fiscal Year 2017-18**  
**Governor's Budget (Preliminary) Allocation**  
**Overview of Programs, Funding, and Allocation Methodologies**

Exhibit A

This information notice provides funding amounts; describes allocation methodologies; and describes programs and their terms and conditions. For complete information on the terms and conditions of all funds and programs, please refer to your county's State-County contract for substance use disorder services.

**FEDERAL TRUST FUNDS**

The U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA) provides the Federal Substance Abuse Prevention and Treatment Block Grant (SAPT BG) funds. This BG is program number 93.959 in the Catalog of Federal Domestic Assistance. SAMHSA has not released the Federal Fiscal Year (FFY) 2018 BG Award number. It is presumed that the FFY 2018 BG Award will be the same as the FFY 2016 award, since there is no FFY 2017 BG award yet.

**Substance Abuse Prevention and Treatment Block Grant Award**

The FY 2017-18 Budget Act Allocation includes funds provided from the FFY 2018 SAPT BG Award. SAPT funds from the FFY 2018 award normally become available on October 1, but in recent years, SAMHSA has delayed providing the notice of award. These funds must be obligated and spent by June 30, 2018. The preliminary allocation presumes the FFY 2018 SAPT BG will continue to be funded at the same level as the FFY 2016 BG award.

SAPT funds are allocated to counties to provide program funding for specific areas of need. These funds are to be spent on those specific programs and cannot be used for other programs, unless specified. For example, Prevention funds must be spent on Prevention services.

**SAPT Discretionary FFY 2018 Award - \$154,365,456**

SAPT Discretionary funds provide for needed substance use disorder treatment, prevention, and recovery services, including services to specific populations. Those populations include youth and pregnant and parenting women. In accordance with United States Code, Title 42, Section 300x-21, the SAPT Discretionary funds may be spent on planning, carrying out, and evaluating activities to prevent and treat substance use disorders.

DHCS continues the SAPT BG Exchange Program that allows counties with populations less than 40,000 to exchange SAPT Perinatal Set-Aside, and SAPT Adolescent and Youth Treatment funds for SAPT Discretionary funds. FY 2017-18 funds will initially be allocated at the FY 2016-17 (FFY 2017) preliminary allocation level. The allocation will then be adjusted through the Exchange Program. For further information on how the Exchange Program affects a specific county's Discretionary allocation, please refer to Exhibit C.

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**Prevention Set-Aside FFY 2018 Award - \$47,162,776**

Title 42, U.S.C. Section 300x-22(a) requires the State to spend a minimum of twenty percent of the total SAPT BG Award to California on primary prevention services. Primary prevention is defined as strategies, programs and services directed at individuals who have not been determined to require treatment for a substance use disorder. A county's spending of the primary prevention funds that DHCS allocates is integral to meeting federal SAPT spending requirements.

For the SAPT Primary Prevention Set-Aside, counties must have an active prevention plan that adheres to SAMHSA's Strategic Prevention Framework. Priority areas are identified in the plan and strategies are selected, based on evidence where applicable, that will best address the priority areas and populations being served. Strategies may consist of both individual- and population-based services using one or more of the six prevention strategies identified by the federal Center for Substance Abuse Prevention. The strategies are Information Dissemination, Education, Alternatives, Problem Identification and Referral, Community-Based Process, and Environmental.

DHCS has allocated the SAPT Primary Prevention Set-Aside funds based on the FY 2016-17 preliminary allocation level.

**Friday Night Live/Club Live FFY 2018 Award - \$1,104,000**

The Friday Night Live (FNL) program is a youth development program, aimed at high-school youth and designed to prevent alcohol and other drug use. As an extension of FNL, Club Live (CL) aims at middle school-aged students. Also included are FNL Kids, targeting 4<sup>th</sup> through 6<sup>th</sup> grade youth and FNL Mentoring, which co-engages middle- and high-school aged students. The FNL/CL funds are combined into a single allocation and all four programs can be funded with FNL/CL funds and SAPT Primary Prevention Set-Aside funds.

DHCS will allocate \$1,050,000 in combined funding for these programs. The individual county allocations were based on the FY 2016-17 allocation level. Nine counties have elected not to participate in this program: Alpine, Imperial, Inyo, Kings, Lake, Madera, Modoc, Mono, and Siskiyou. The unused budget authority of \$54,000 is held in reserve for use by the DHCS Policy and Prevention Branch.

**Perinatal Set-Aside FFY 2018 Award - \$17,054,000**

Perinatal Set-Aside funds are used for women-specific services for treatment and recovery from alcohol and other substance use disorders, along with diverse supportive services for California women and their children.

Perinatal programs, as part of the Perinatal Services Network, must meet the requirements set forth in the Perinatal Services Network Guidelines 2014. Counties

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must use these funds to increase or maintain existing perinatal treatment capacity and programs. Counties may also use these funds to add new perinatal services or programs or change existing programs. The guidelines are accessible on the DHCS website at: <http://www.dhcs.ca.gov/individuals/Documents/PSNG2014Final21214.pdf>.

SAPT Perinatal is a component of the SAPT BG Exchange Program. DHCS will initially allocate the Perinatal funds at the FY 2016-17 preliminary allocation level. The allocation will then be adjusted through the Exchange Program. For further information on how the Exchange Program affects a specific county's Perinatal Set-Aside allocation, please refer to Exhibit C.

The Siskiyou County allocation has been restored by \$40,000 and the San Bernardino County Allocation received a restoration of \$75,000 due to under-spending. The Siskiyou County restoration was taken from Fresno (\$13,334) and Stanislaus (\$13,333) and San Joaquin counties (\$13,333). The San Bernardino restoration was taken from Alameda, Contra Costa, Sacramento and San Diego counties (\$18,750 each).

**Adolescent and Youth Treatment Program FFY 2018 Award - \$7,326,561**

SAPT Adolescent and Youth Treatment funds provide comprehensive, age-appropriate, substance use disorder services to youth. The Youth Treatment Guidelines, revised in August 2002, are designed for counties to use in developing and implementing youth treatment programs funded by this allocation. The Guidelines are available on the DHCS website at:

[http://www.dhcs.ca.gov/individuals/Documents/Youth\\_Treatment\\_Guidelines.pdf](http://www.dhcs.ca.gov/individuals/Documents/Youth_Treatment_Guidelines.pdf)

Youth Treatment funding is a component of the SAPT BG Exchange Program. DHCS initially allocated the Adolescent and Youth Treatment funds at the FY 2016-17 preliminary allocation levels. DHCS then made under-spending adjustments. DHCS then adjusted the allocation through the Exchange Program. For more information on how the Exchange Program affects a specific county's Adolescent and Youth Treatment funds allocation, please refer to Exhibit C.