

DEPARTMENT OF HEALTH CARE SERVICES  
CONFLICT OF INTEREST CODE

**Title 22. Social Security**

**Division 2. Department of Social Services -Department of Health Services**

**Subdivision 2. Administration**

Amend Chapter 2. to read:

**Chapter 2. Department of Health Care Services - Conflict of Interest Code**

Amend Section 20100. to read:

**§20100. General Provisions.**

The Political Reform Act, Government Code Section 81000, et seq., requires agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations, Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practice Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the Department of Health Care Services.

Designated employees shall file statements of economic interests with the Department of Health Care Services. The Director's original statement shall be sent to the Fair Political Practices Commission and a copy retained by the filing officer.

Note: Authority cited: Sections 87300 and 87311, Government Code. Reference: Sections 87300, et seq., Government Code.

**APPENDIX**

**I. DEPARTMENT-WIDE POSITIONS**

<b>Designated Positions:</b>	<b>Disclosure Categories:</b>
Director	1
Chief Deputy Directors	1
Deputy Directors	1
Assistant Deputy Directors	1
Medical Director	1
Chief Financial Officer	1
Division Chiefs	1
Exempt Appointments	1
Career Executive Assignments (CEA)	1
Negotiators of Contracts for goods and services: contracts awarded through competitive bidding or those who make decisions in conjunction with the competitive bidding process, or negotiate, sign or make decisions on contracts executed pursuant to Section 10122 of the Public Contract Code	9
Consultants (Contractors) and New Positions <sup>1</sup>	1

**II. DESIGNATED POSITIONS BY DIVISION**

<b>Designated Positions:</b>	<b>Disclosure Categories:</b>
<u>Administration Division</u>	
CEA	1
<i>Contracts Services Branch</i>	
Staff Services Manager II	1
Staff Services Manager I	9
Information Technology Associate	9
Associate Management Auditor	9
Associate Governmental Program Analyst	9
Staff Services Analyst	9
<i>Human Resources Branch</i>	
Staff Services Manager III	1
Staff Services Manager II	3
Labor Relations Manager I	3
Staff Services Manager I (Specialist): only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	9

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<sup>1</sup> With respect to Consultants and New Positions, the Director may determine in writing that a particular consultant or new position, although in a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director's determination is a public record and shall be retained for public inspection. (Government Code section 81008).

<i>Program Support Branch</i>	
Staff Services Manager III	1
<i>Telecommunications and Purchasing Services Sections</i>	
Staff Services Manager I-II	7, 9
Information Technology Supervisor I	7, 9
Associate Business Management Analyst	7, 9
Business Services Officer II	7, 9
Information Technology Specialist I	7, 9
Information Technology Associate	7, 9
Associate Governmental Program Analyst	7, 9
Staff Services Analyst	7, 9
<i>Facilities Section</i>	
Staff Services Manager I-II	2, 9
Associate Business Management Analyst	2, 9
Associate Governmental Program Analyst	2, 9
Staff Services Analyst	2, 9
<i>Health and Safety Section</i>	
Staff Services Manager I (Health and Safety Section)	9
<i>Strategic Planning and Workforce Development Branch</i>	
Staff Services Manager I-II	9
<u>Audits and Investigations Division</u>	
CEA	1
Health Program Audit Manager III	1
Staff Services Manager III	1
Supervising Fraud Investigator I-II	1
Health Program Audit Manager II	2, 3
Mental Health Program Administrator	2, 3
Staff Services Manager II	2, 3
Nurse Evaluator IV	2, 3
Research Data Supervisor II	2, 3
Medical Consultant I-II	2, 3
Pharmaceutical Consultant I-II	2, 3
Dental Program Consultant I	2, 3
Investigator	2, 3
Health Program Audit Manager I	2, 4
Staff Services Manager I	2, 4
Nurse Evaluator II	2, 4
Consulting Psychologist	2, 4

Laboratory Field Services Examiner II	2, 4
Information Technology Supervisor I-II	4, 7
Health Program Auditor II-IV	2, 4
Auditor I	4

*Administrative Management Services Section*

Associate Governmental Program Analyst: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	2, 4
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*Behavioral Health Compliance Section*

Staff Services Manager II	2, 3, 6
Staff Services Manager I	2, 4, 6
Staff Mental Health Specialist	2, 4, 6
Health Program Specialist I	2, 4, 6
Associate Governmental Program Analyst	2, 4, 6

*Internal Audits Section*

Senior Management Auditor (Supervisor)	2, 3
Staff Services Manager I	2, 3
Health Program Audit Manager I	2, 3
Health Program Auditor IV	2, 3
Staff Services Management Auditor	2, 3
Associate Management Auditor	2, 3

Benefits Division

CEA	1
Staff Services Manager III	1
Medical Program Consultant	1
Public Health Medical Officer II	3
Health Program Manager II	3
Research Scientist Supervisor I	3
Staff Services Manager II	3
Medical Consultant I-II	3
Nurse Consultant II-III	3
Staff Services Manager I	4
Health Program Specialist II	4

California Medicaid Management Information Systems Division

CEA	1
Information Technology Manager II	1
Staff Services Manager III	1
Information Technology Manager I	7, 9
Information Technology Supervisor II	9

Staff Services Manager II	4
Nursing Consultant III	4
Nurse Evaluator II	4
Information Technology Specialist I-III: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts including, but not limited to, review and approval of contract deliverables.	9
<u>Capitated Rates Development Division</u>	
CEA	1
Staff Services Manager III	1
Supervising Life Actuary	1
Staff Services Manager II	3
Research Data Supervisor II	3
<u>Clinical Assurance and Administrative Support Division</u>	
CEA	1
Medical Program Consultant	1
Staff Services Manager III	1
Staff Services Manager II	3
Health Program Manager II	3
Pharmaceutical Program Consultant	3
Medical Consultant I-II	3
Pharmaceutical Consultant I-II (Supervisor and Specialist)	3
Consulting Psychologist	3
Nurse Evaluator IV	3
Staff Services Manager I	4
Nurse Evaluator II-III	4
Nurse Consultant I	4
Medi-Cal Technician III (Specialist)	4
Health Program Specialist II	4
Information Technology Specialist I-II: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts including, but not limited to, review and approval of contract deliverables.	9
<u>Community Services Division</u>	
CEA	1
Staff Services Manager III	1
Research Data Manager	1
Staff Services Manager I-II	4, 5
Research Data Supervisor II	4, 5
<i>Continuum of Medical Health Care Section</i>	
Staff Services Manager I-II	5, 6
Health Program Specialist I	5, 6
Associate Governmental Program Analyst	5, 6

Director's Office

Exempt Appointments	1
CEA	1
Staff Services Manager I-II	3, 7
Information Technology Manager II	3, 7
Health Program Specialist I-II	3

Enterprise Innovation Technology Services Division

CEA	1
Information Technology Manager II	1
Staff Services Manager III	1
Information Technology Manager I	7, 9
Staff Services Manager II	4, 7
Information Technology Supervisor II	9
Information Technology Specialist I-III: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts including, but not limited to, review and approval of contract deliverables.	9

Fee for Service Rates Development Division

CEA	1
Research Data Supervisor II	3
Staff Services Manager II	3

Financial Management Branch

CEA	1
Accounting Administrator III	3
Staff Services Manager II-III	3
Staff Services Manager I: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4

Fiscal Forecasting Division

CEA	1
Staff Services Manager III	1
Staff Services Manager I-II	3

Information Management Division

Public Health Medical Administrator I	1
CEA	1
Research Scientist Supervisor II-III	3
Associate Governmental Program Analyst: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4
Staff Services Analyst: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4

*Office of Health Information Technology*

Public Health Medical Officer III	3
Staff Services Manager II	3
Staff Services Manager I	4

*External Relations and Operations Sections*

Associate Governmental Program Analyst	4
Staff Services Analyst	4

*Office of HIPAA Compliance*

Information Technology Manager I	3, 7
Staff Services Manager III	3, 7
IT Supervisor II	4, 7
Staff Services Manager I	4, 7
Medical Consultant II	3
Dental Consultant I	3
Nurse Consultant III	3
Nurse Evaluator II	4
Information Technology Specialist I-II: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts including, but not limited to, review and approval of contract deliverables.	4, 7

Integrated Systems of Care Division

CEA	1
Staff Services Manager III	1
Public Health Medical Administrator I	1
Health Program Manager III	1
Health Program Manager II	3
Staff Services Manager II	3
Public Health Medical Officer III	3
Medical Consultant II	3
Pharmaceutical Consultant II	3
Nurse Evaluator IV	3
Health Program Manager I	4
Staff Services Manager I	4
Nurse Evaluator II-III	4
Nurse Consultant I-III	4
Consultant in Physical Therapy for Physically Handicapped Children	4
Health Program Specialist II	4
Associate Governmental Program Analyst: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4

Licensing and Certification Division

CEA	1
Staff Services Manager III	1
Staff Services Manager I-II	2, 5, 6
Nurse Evaluator II	2, 5, 6
Health Program Specialist I	2, 5, 6
Associate Governmental Program Analyst	2, 5, 6
Staff Services Analyst	2, 5, 6

Local Government Finance Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3
Staff Services Manager I	4

Managed Care Operations Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3
Staff Services Manager I	4
IT Supervisor II	9

Managed Care Quality and Monitoring Division

CEA	1
Staff Services Manager III	1
Research Data Manager	1
Medical Program Consultant	1
Medical Consultant II (Supervisor)	3
Staff Services Manager II	3
Medical Consultant I-II	3
Nurse Evaluator IV	3
Nurse Consultant III	3
Health Education Consultant III (Specialist)	3
Staff Services Manager I (Supervisor and Specialist)	4
Research Data Supervisor II	4
Nurse Evaluator II	4
Research Scientist III	4

Medi-Cal Behavioral Health Division

CEA	1
Staff Services Manager III	1

*Program Policy and Quality Assessment Branch*

Staff Services Manager I-II	4, 5
Consulting Psychologist	4, 5



*Plan and Network Monitoring Branch*

Staff Services Manager I-II	2, 4
Health Program Specialist II	2, 4
Associate Governmental Program Analyst	2, 4

Medi-Cal Dental Services Division

CEA	1
Staff Services Manager III	1
Information Technology Manager I	7, 9
Staff Services Manager II	3
Staff Services Manager I	4
Dental Program Consultant	4
Dental Hygienist Consultant	4
Health Program Specialist II	4

Medi-Cal Eligibility Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3
Staff Services Manager I	4

Office of Administrative Hearings and Appeals

CEA	1
Administrative Law Judge I-II	1
Health Program Audit Manager I-III	1
Health Program Auditor IV	1
Staff Services Manager I	1
Staff Services Manager I (Specialist)	1

Office of Civil Rights

CEA	1
Staff Services Manager I-II	1
Associate Governmental Program Analyst	3

Office of Communications

Exempt Appointments	1
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Office of Family Planning

CEA	1
Staff Services Manager III	1
Staff Services Manager II	5
Health Program Manager II	5
Nurse Consultant II-III	5

Office of Legal Services

Chief Counsel	1
Deputy Chief Counsel	1
CEA	1
Assistant Chief Counsel (Civil Service)	1
Staff Services Manager II-III	2, 3, 5, 6
Attorney I-IV	2, 3, 5, 6
Staff Services Manager I (Admin.)	4

Office of Legislative and Governmental Affairs

Exempt Appointment	1
CEA	1
Staff Services Manager I (Specialist)	1

Office of Medical Director

Medical Director	1
Public Health Medical Officer III	1
Staff Services Manager I	3
Medical Consultant I	3
Research Scientist III	3
Health Program Specialist I-II	3

Pharmacy Benefits Division

CEA	1
Pharmaceutical Program Consultant	3
Consulting Optometrist II	3
Staff Services Manager I-II	3
Pharmaceutical Consultant II	4
Consulting Optometrist I	4

Primary, Rural, and Indian Health Division

CEA	1
Health Program Manager I-II	5
Nurse Consultant III	5
Coordinator	5
Health Program Specialist II	3, 5

Provider Enrollment Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3
Staff Services Manager I	4
Associate Governmental Program Analyst: only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4

Research and Analytic Studies Division

CEA	1
Research Data Manager	1

Safety Net Financing Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3
Health Program Auditor IV	3
Staff Services Manager I	4

*Subacute Contracting Unit*

<b>Associate Governmental Program Analyst:</b> only those who are regularly assigned tasks (above a clerical level) involving the development, review, or award of bids/contracts.	4
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Third Party Liability and Recovery Division

CEA	1
Staff Services Manager III	1
Staff Services Manager II	3, 8
Staff Services Manager I	4, 8

## DISCLOSURE CATEGORIES

### **Category 1**

Designated positions in this category must disclose:

All investments and business positions in business entities, sources of income (including receipt of gifts, loans and travel payments) and real property located in California.

### **Category 2**

Designated positions in this category must disclose:

Interests in real property located in California that are used, licensed, certified, funded or partially funded by DHCS, or are of the type that could be acquired, licensed, certified, funded or partially funded by DHCS as well as real property within two miles of that real property.

### **Category 3**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income is of the type that is financed, in whole or in part, by a DHCS health care service delivery program, including but not limited to Medi-Cal, and subject to policy decisions, review, audit, investigation, or other oversight by DHCS. In addition, designated positions in this category shall disclose economic interests in business entities or sources of income of the type which contract with, through, or on behalf of DHCS to provide services (including, but not limited to, services provided to Medi-Cal beneficiaries), equipment, machinery, leased space, materials or supplies.

### **Category 4**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income is of the type subject to policy decisions, review, audit, investigation, or other oversight by the position's section, unit or team. In addition, designated positions in this category shall disclose economic interests in business entities or sources of income of the type which contract with or on behalf of DHCS to provide services, equipment, machinery, leased space, materials or supplies to the position's division.

**Category 5**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income is of the type which contracts with or receives grants or other monies from or through DHCS and when such contract, grant or other money is otherwise reviewed, approved or authorized through the position's division.

**Category 6**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity, or source of income is of the type which is licensed, certified, permitted, or is otherwise regulated, by the position's division.

**Category 7**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income provides information technology or telecommunications goods, products or services including computer hardware or software companies, computer consultant services, IT training companies, data processing firms and media services.

**Category 8**

Designated positions in this category must disclose:

Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income is subject to subrogation or other recovery efforts by DHCS and the designated position has, within the past two years, participated in such subrogation or recovery efforts on behalf of DHCS.

**Category 9**

Designated positions in this category must disclose:

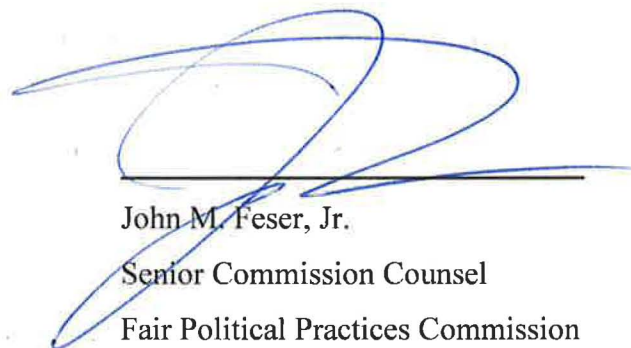
Investments and business positions in business entities, and sources of income (including receipt of loans, gifts, and travel payments) if the business entity or source of income is of the type which contracts to provide services, equipment, machinery, leased space, materials or supplies to or on behalf of DHCS, and the designated position is involved in the negotiation, review, decision-making, recommendation for, management of, or oversight of those types of contracts on behalf of DHCS.

This is the last page of the conflict of interest code for the **Department of Health Services**.



**CERTIFICATION OF FPPC APPROVAL**

Pursuant to Government Code Section 87303, the conflict of interest code for the **Department of Health Services** was approved on 12/18/ 2019.



John M. Feser, Jr.  
Senior Commission Counsel  
Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: