



ICF/DD Workgroup #2

November 4, 2022

Workgroup Meeting Structure

- » Meetings will be conducted via webinar.
- » Workgroup membership and participation is by invitation only.
- » **Meetings are open to interested Stakeholders who are not Workgroup members and will be moderated via webinar.**
- » **Presentations and discussion are welcome from all Workgroup members and all other attendees will remain in listen-only mode.**
- » The Workgroup meetings will be a solution-focused, collegial environment for respectfully expressing different points of view.
- » The Workgroup meetings will be a mechanism for direct communication and problem solving with the Department of Health Care Services (DHCS).
- » Workgroup recommendations will be reflected in, at a minimum, the draft All Plan Letter (APL), an FAQ document, and an Intermediate Care Facilities/Developmentally Disabled (ICF/DD) Best Practices guidance document.
- » Ultimately, DHCS is accountable to finalize the APL.

Roll Call: ICF/DD Workgroup Members

Name	Organization
Craig Cornett	California Association of Health Facilities
Janet Davidson	Health Plan of San Mateo
Beau Hennemann	Anthem
Becky Joseph	JonBec Care Inc.
Linnea Koopmans	Local Health Plans of California
Susan Mahonga	Blue Shield of California
Dennis Mattson	Independent Options
Kathy Mossburg	Developmental Services Network
Scott Robinson	CalOptima
Ysobel Smith	Blue Shield of California
Brian Tremain	Inland Regional Center
Diane Van Maren	Developmental Services Network
Amy Westling	Association of Regional Center Agencies
Karen Widerynski	California Association of Health Facilities

Name	Organization
Lori Anderson	Momentum 4 all
Deb Donovan	Valley Village
Olivia Funaro	San Gabriel/Pomona Regional Center
Mark Klaus	San Diego Regional Center
Larry Landauer	Regional Center of Orange County
Jenn Lopez	Local Health Plans of California
Kim Mills	A Better Life
Matt Mourer	The Arc of SD
Tami Reid	CenCal
Martha Santana-Chin	HealthNet
Stacy Sullivan	Mountain Shadows Support Group
Tiffany Whiten	Service Employees International Union
Sylvia Yee	Consumer Voice
Elizabeth Zirker	Disability Rights Ca

Project Timeline

Milestones	Aug 22	Sep 22	Oct 22	Nov 22	Dec 22	Jan 23	Feb 23	Mar 23	Apr 23	May 23	Jun 23	Jul 23
Conduct Interviews with key ICF/DD facilities and stakeholders.												
Review, research, and create an Inventory of Requirements for ICF/DD.												
ICF/DD Workgroup Meetings <i>*Others may be added as needed*</i>			10/7	11/4	12/16	TBD	TBD					
Identify key themes to address in APL and in other policy guidance as needed.												
Research and work with internal and external stakeholders to draft, vet, and revise APL and other policy guidance.												
Target date to issue Draft APL for public comment.								★				
Issue final APL												★

Shading added to show work progress.

Carve-In Go-Live

Agenda

- » Announcements
- » Inventory of Requirements
 - » Overview of Review Process
 - » Source Documents
 - » Discussion of Crosswalk Categories
 - » Categories and Summaries
 - » Items Requiring More Follow-up
- » Next Steps
- » Wrap-up and Next Meeting

Announcements

- » Request for ICF/DD providers and managed care plans (MCPs) to collaborate on sharing and receiving their lists of contracted/consulting providers.
- » All ICF/DD homes and MCPs received email requests to identify points-of-contact.
 - » Per the email instructions, these points-of-contact are due November 15, 2022.
 - » The points-of-contact should be sent to Michael Hough (michael.hough@mercer.com) and Kayla Whaley (kayla.whaley@mercer.com).

Inventory of Requirements

- » Overview of Review Process
- » Source Documents
- » Discussion of Crosswalk Categories
- » Categories and Summaries
- » Items Requiring More Follow-up

Overview of Review Process

- » Utilizing feedback from various stakeholders involved in the ICF/DD Carve-In work, a stepwise approach was designed for the Inventory of Requirements and crosswalk.
 1. **Inventory:** Determine list of legislation, policy documents, manuals, etc. for review from known primary sources (e.g., DHCS, Department of Developmental Services [DDS], Regional Centers, MCPs, California Department of Public Health [CDPH], and Lanterman Act).
 2. **Initial Review/Organization:** Review documents and set up a spreadsheet to catalog and categorize requirements, pulling exact language from sources.
 3. **Completeness Check:** Solicit feedback on organized inventory from agencies/organizations for completeness and fill in any missing information with review of any necessary additional documents.
 4. **Analysis:** Flag any duplication of requirements, gaps, or conflicting requirements and draft recommendations to address the duplication/gap/conflict.
 5. **Decisions:** Make decisions based on review and discussion.
 6. **Incorporation/Communication:** Determine best route of communication about decisions or changes (e.g., APL, ICF/DD Promising Practices/FAQ guidance document).

Source Documents

» Source List:

- » CA Senate Bill 184 (2022)
- » California Code of Regulations, Title 22 (Tile 22)
- » Health and Safety Code
- » Lanterman Act (January 2022)
- » Managed Care Organization (MCO) Contract
- » Regional Center Memorandum of Understanding (MOU)
- » State Operations Manual (SOM), Appendix J — Guidance to Surveyors: Intermediate Care Facilities for Individuals with Intellectual Disabilities (SOM Appendix J)
- » State Plan Amendment (SPA) Effecting ICF/DD, Developmentally Disabled-Habilitative and Developmentally Disabled-Nursing Providers: Letter to Providers (April 1, 2011) (ICF-DD SPA 07-004)

» **Q&A with Workgroup members:**

- » What's missing?

Discussion of Crosswalk Categories

- » Categories to review:
 - » Care Management
 - » Information Exchange
 - » Outcomes and Quality
 - » Payments and Rates
 - » Regulatory
 - » Member Rights
 - » Services
 - » Training
- » See crosswalk categories and summaries in following slides. We will do a Q&A and discussion after we review two categories.

Crosswalk Category: Care Management

- » Summary:
 - » None of the documents reviewed for crosswalk contained any conflicting information about care management. However, additional clarity will be needed regarding care management role definitions for each entity serving the individuals.
- » Sources included in this category:
 - » Lanterman Act
 - » Regional Center MOU
- » Next steps:
 - » Include guidance in the APL and ICF/DD Promising Practices/FAQ guidance document.

Crosswalk Category: Information Exchange

- » Summary:
 - » None of the documents reviewed for crosswalk contained any conflicting information about information exchange. However, a process will need to be developed regarding how MCPs will be notified of member's address changes/changes in ICF/DD homes.
- » Sources included in this category:
 - » Lanterman Act
 - » Regional Center MOU
- » Next steps:
 - » Include guidance in the APL and ICF/DD Promising Practices/FAQ guidance document.
- » **Q&A with Workgroup members:**
 - » Does this sound correct?
 - » Is anything missing that needs to be included?

Crosswalk Category: Outcomes and Quality

- » Summary:
 - » None of the documents reviewed for crosswalk contained any conflicting information about outcomes or quality.
 - » Because the Lanterman Act predates MCP involvement, there are a couple of items that need to be clarified:
 - » How will conflicts be resolved among MCPs, Regional Centers, and ICF/DD homes (e.g., the MCP may deny a service that Regional Centers or the provider feels is needed).
 - » There also needs to be a notification process to MCPs for situations in which a Regional Center has terminated a provider contract.
- » Sources included in this category:
 - » Lanterman Act
- » Next steps:
 - » Include guidance in the APL and ICF/DD Promising Practices/FAQ guidance document.

Crosswalk Category: Payments and Rates

» Summary:

- » Of the documents reviewed for crosswalk, there was no conflicting information about payments or rates. However, ongoing work is needed to clarify:
 - » The ICF/DD SPA and Lanterman Act expectations regarding the transportation benefit.
 - » Clarification may be included in the APL and ICF/DD Promising Practices/FAQ guidance document to ensure that there is no duplication of billing regarding the transportation benefit.
 - » Also, CA Senate Bill 184 (2022), includes some rate-specific information related to COVID-19 and the directed payment rates.
 - » This information can be provided to the MCPs as a reference (either in the APL and ICF/DD Promising Practices/FAQ guidance document or separately).

» Sources included in this category:

- » Lanterman Act
- » ICF-DD SPA 07-004
- » CA Senate Bill 184 (2022)

» Next steps:

- » Include guidance in the APL and ICF/DD Promising Practices/FAQ guidance document.

» **Q&A with Workgroup members:**

- » Does this sound correct?
- » Is anything missing that needs to be included?

Crosswalk Category: Regulatory

- » Summary:
 - » Of the documents reviewed for crosswalk, there was no written conflicting information about regulatory processes, except that DHCS and DDS will need to continue to collaborate to clarify the expectations of Title 22 regarding the initial and continued stay authorization timelines for ICF/DD services. Provider feedback has indicated that authorizations are currently provided for two years, following the approval of the Regional Centers.
- » Sources included in this category:
 - » Lanterman Act
 - » Title 22
 - » SOM, Appendix J
- » Next steps:
 - » DHCS and DDS to collaborate and refine authorization timelines and processes, consistent with existing rules.

Crosswalk Category: Member Rights

- » Summary:
 - » Of the documents reviewed for crosswalk, there was no written conflicting information about members' rights. However, there are requirements within the Lanterman Act for how members and members' family members can get access to their health information. Because these data are separate from the MCP information, it is recommended that the processes for ICF/DD home provider and Regional Center information and MCP member information remain separate in their own processes.
 - » In addition, there are several pieces of information related to rights restrictions, service availability within the ICF/DD service model, and exclusions that need to be clarified, potentially in the model ICF/DD home provider-MCP contract language, for clarity on role expectations.
- » Sources included in this category:
 - » Lanterman Act
- » Next steps:
 - » DHCS and DDS to draft language regarding the Member Rights requirements and share with the Stakeholder Workgroup for review and feedback, with the guidance included in the APL and ICF/DD Promising Practices/FAQ guidance document.
- » **Q&A with Workgroup members:**
 - » Does this sound correct?
 - » Is anything missing that needs to be included?

Crosswalk Category: Services

- » Summary:
 - » Of the documents reviewed for crosswalk, there was no written conflicting information about services.
 - » There is opportunity within the APL and ICF/DD Promising Practices/FAQ guidance document to clarify coverage of services and limitations related to dental services and transportation services.
- » Sources included in this category:
 - » Lanterman Act
 - » Title 22
 - » ICF-DD SPA 07-004
 - » CA Senate Bill 184 (2022)
 - » MCO Contract
- » Next steps:
 - » Include guidance included in the APL and ICF/DD Promising Practices/FAQ guidance document.

Crosswalk Category: Training

- » Summary:
 - » The trainings related to person-centered planning, addressing behavioral needs, and habilitative services specified in the Lanterman Act and Health Service Code provide an opportunity to strengthen the relationships among ICF/DD homes, Regional Centers, and MCPs. While MCPs are not listed as required attendees, having key MCP staff participate in required trainings could assist in building alignment of philosophies and expectations. In addition, it may also be helpful for those trainings to be offered to other MCP network providers who serve people living in ICF/DD homes and/or those who have an intellectual and developmental disability (I/DD).
- » Sources included in this category:
 - » Lanterman Act
 - » Health and Safety Code
- » Next steps:
 - » Include guidance included in the APL and ICF/DD Promising Practices/FAQ guidance document.
- » **Q&A with Workgroup members:**
 - » Does this sound correct?
 - » Is anything missing that needs to be included?

Items Requiring More Follow-up

Outstanding Items	Course of Action
Care Management role definitions for MCPs, Regional Centers, and ICF/DD providers.	Address in APL and ICF/DD Promising Practices/FAQ guidance document.
Notification processes for allowable ICF/DD home bed reductions.	Follow up on information shared regarding current COHS counties processes in the APL and ICF/DD Promising Practices/FAQ guidance document.
How MCPs will be notified of a member's address change/change in ICF/DD home.	Address in the APL and ICF/DD Promising Practices/FAQ guidance document.
Resolution of disagreement regarding individuals' service needs among MCPs, ICF/DD homes, and Regional Centers.	Address in the APL and ICF/DD Promising Practices/FAQ guidance document.
Notification process to MCPs for situations in which a Regional Center has terminated a provider contract.	Address in the APL and ICF/DD Promising Practices/FAQ guidance document.
ICF/DD SPA and Lanterman Act expectations regarding the transportation benefit.	DHCS and DDS to explore options and address in the APL and ICF/DD Promising Practices/FAQ guidance document.
Clarification of initial and continued stay authorization timelines for ICF/DD services.	DHCS and DDS to collaborate and refine authorization timelines and processes, consistent with existing rules.
Clarification of the service availability within the ICF/DD service model, services exclusions, and role expectations for MCPs, Regional Centers, and ICF/DD providers.	DHCS and DDS to draft language regarding the rights requirements and share with the Stakeholder Workgroup for review and feedback, with the final guidance included in the APL and ICF/DD Promising Practices/FAQ guidance document.
Implications of time and distance standards and availability of services.	Address in the APL and ICF/DD Promising Practices/FAQ guidance document.
Options for expanding training options required of providers to an expanded MCP and MCP network audience.	Address in the APL and ICF/DD Promising Practices/FAQ guidance document.

Q&A with Workgroup Members

Wrap-up and Next Meeting

- » Discussion of how non-members can listen in to the Workgroup meetings.
- » December 16, 2022 — 9:30 am PT

Date/Time*	Topic
Friday, October 7, 2022 3:00–4:00 pm	Refreshed Workgroup Kick-off/Landscape and Orientation of ICF/DDs in California
Friday, November 4, 2022 3:00–4:00 pm	Inventory of Requirements
Friday, December 16, 2022 9:30–10:30 am	Focus on Transition: Contractual Requirements; Emerging topics from ICF/DD, ICF/DD-N, and ICF/DD-H homes
January 2023	<ul style="list-style-type: none">» Plan Readiness» Continuity of Care» Data Sharing
February 2023	<ul style="list-style-type: none">» Communication» Outreach» Education Strategy