



MICHELLE BAASS  
DIRECTOR

State of California—Health and Human Services Agency  
Department of Health Care Services



GAVIN NEWSOM  
GOVERNOR

October 6, 2021

Sent via e-mail to: [lisa.lewis@co.kings.ca.us](mailto:lisa.lewis@co.kings.ca.us)

Lisa Lewis, Ph.D., Director  
Kings County Behavioral Health  
460 Kings County Drive, Suite 101  
Hanford, CA 93230

SUBJECT: Annual DMC State Plan County Compliance Unit Findings Report

Dear Director Lewis:

The Department of Health Care Services (DHCS) is responsible for monitoring compliance to requirements of the State Plan Drug Medi-Cal (DMC) Contract operated by Kings County.

The County Compliance Unit (CCU) within the Audits and Investigations Division (A&I) of DHCS conducted a review of the County's compliance with contract requirements based on responses to the monitoring instrument, discussion with county staff, and supporting documentation provided by the County.

Enclosed are the results of Kings County's State Fiscal Year 2020-21 State Plan DMC Contract compliance review. The report identifies deficiencies, required corrective actions, advisory recommendations, and referrals for technical assistance.

Kings County is required to submit a Corrective Action Plan (CAP) addressing each deficiency noted to the Medi-Cal Behavioral Health Division (MCBHD), Plan and Network Monitoring Branch (PNMB), County/Provider Operations and Monitoring Branch (CPOMB) liaison by 12/06/2021. Please use the enclosed CAP form and submit the completed CAP and supporting documentation via email to the CPOMB analyst at [MCBHDMonitoring@dhcs.ca.gov](mailto:MCBHDMonitoring@dhcs.ca.gov).

If you have any questions or need assistance, please contact me at [michael.bivians@dhcs.ca.gov](mailto:michael.bivians@dhcs.ca.gov).

Sincerely,

*Michael Bivians*

Michael Bivians  
(916) 713-8966

Audits and Investigations Division  
Medical Review Branch  
Behavioral Health Compliance Section  
County Compliance Unit  
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Distribution:

To: Director Lewis,

CC: Mateo Hernandez, Audits and Investigations, Medical Review Branch Acting Chief  
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Katie Arnst, Kings County Clinical Services Deputy Director  
Juan Torres, Kings County SUD Program Manager / AOD Administrator

## COUNTY REVIEW INFORMATION

**County:**

Kings

**County Contact Name/Title:**

Juan Torres, SUD Program Manager / AOD Administrator

**County Address:**

460 Kings County Drive, Suite 101  
Hanford, CA 93230

**County Phone Number/Email:**

(559) 852-2356

[juan.torres@co.kings.ca.us](mailto:juan.torres@co.kings.ca.us)

**Date of Review:**

7/15/2021

**Lead CCU Analyst:**

Michael Bivians

**Assisting CCU Analyst:**

N/A

**Report Prepared by:**

Michael Bivians

**Report Approved by:**

Ayesha Smith

## REVIEW SCOPE

- I. Regulations:
  - a. California Code of Regulations, Title 22, section 51341.1, 51490.1 and 51516.1 – Drug Medi-Cal Substance Use Disorder Services
  - b. California Code of Regulations, Title 9, Division 4: Department of Alcohol and Drug Programs
  - c. Health and Safety Code, Division 10.5, Section 11750 – 11970: Alcohol and Drug Programs
  - d. Welfare and Institutions Code, Division 9, Part 3, Chapter 7, Sections 14021.51-14021.53 and 14124.20-14124.25: Basic Health Care – Drug Medi-Cal Treatment Program
  
- II. Program Requirements:
  - a. Fiscal Year (FY) 2020-21 State-County Contract, herein referred to as State County Contract
  - b. Mental Health and Substance Use Disorders Services (MHSUDS) Information Notices
  - c. Behavioral Health Information Notices (BHIN)

## ENTRANCE AND EXIT CONFERENCE SUMMARIES

### **Entrance Conference:**

An Entrance Conference was conducted via WebEx on 7/15/2021. The following individuals were present:

- Representing DHCS:  
Michael Bivians, Staff Services Manager I (SSM I)
- Representing Kings County:  
Lisa Lewis, Behavioral Health Director  
Katie Arnst, Clinical Services Deputy Director  
Unchong Parry, Administrative Services Deputy Director  
Juan Torres, SUD Program Manager / AOD Administrator  
Jeanette Barajas, Office Assistant II  
Christi Lupkes, Quality Assurance Program Manager  
Amy Brisky, Quality Assurance Licensed Clinician  
Laura Tafolla, Fiscal Analyst II

During the Entrance Conference, the following topics were discussed:

- Introductions
- Overview of the review process

### **Exit Conference:**

An Exit Conference was conducted via WebEx on 7/15/2021. The following individuals were present:

- Representing DHCS:  
Michael Bivians, SSM I  
Ana Lopez, Associate Governmental Program Analyst (AGPA)
- Representing Kings County:  
Lisa Lewis, Behavioral Health Director  
Katie Arnst, Clinical Services Deputy Director  
Unchong Parry, Administrative Services Deputy Director  
Juan Torres, SUD Program Manager / AOD Administrator  
Jeanette Barajas, Office Assistant II  
Christi Lupkes, Quality Assurance Program Manager  
Amy Brisky, Quality Assurance Licensed Clinician  
Laura Tafolla, Fiscal Analyst II

During the Exit Conference, the following topics were discussed:

- Submitting the follow-up evidence
- Due date for evidence submission

## SUMMARY OF FY 2020-21 COMPLIANCE DEFICIENCIES (CD)

<u>Section</u>	<u>Number of CD's</u>
1.0 Administration	0
2.0 Covered Services	0
3.0 DMC Certification & Continued Certification	1
4.0 Monitoring	0
5.0 General Provisions	0

## **CORRECTIVE ACTION PLAN (CAP)**

Pursuant to the State County Contract, Exhibit A, Attachment I A1, Part I, Section 4, 6 a-b each CD identified must be addressed via a CAP. The CAP is due within sixty (60) calendar days of the date of this monitoring report.

Please provide the following within the completed FY 2020- 21 CAP:

- a) A statement of the CD.
- b) A list of action steps to be taken to correct the CD.
- c) A date of completion for each CD.
- d) Who will be responsible for correction and ongoing compliance.

The CPOMB analyst will monitor progress of the CAP completion.

### **Category 3: DMC CERTIFICATION & CONTINUING CERTIFICATION**

A review of the County's certification and re-certification was conducted to ensure compliance with applicable regulations, and standards. The following DMC deficiency in regulations, standards or protocol requirements was identified:

#### **COMPLIANCE DEFICIENCY:**

##### **CD 3.4:**

State Plan DMC Contract, Exhibit A, Attachment I, Part 1, Section 4, B, 2, a-b

- a) The Contractor shall, on a monthly basis, monitor the status of all subcontractors to ensure they maintain active enrollment in the DMC program. Any subcontractor that surrenders its certification or closes its facility shall be reported by the Contractor to DHCS' Provider Enrollment Division at [DHCSDMCRECERT@dhcs.ca.gov](mailto:DHCSDMCRECERT@dhcs.ca.gov) within five business days of notification or discovery.
  
- b) During the monthly status check, the Contractor shall monitor for a triggering recertification event (including but not limited to; change in ownership, change in scope of services, remodeling of facility, or change in location) and report any triggering events to DHCS' Provider Enrollment Division at [DHCSDMCRECERT@dhcs.ca.gov](mailto:DHCSDMCRECERT@dhcs.ca.gov) within five business days of notification or discovery.

**Findings:** The County does not monitor subcontractors for a triggering recertification event on a monthly basis.



## **TECHNICAL ASSISTANCE**

Kings County did not request any Technical Assistance during this review.