

Kern County Plan of Correction
Per the Performance Contract Review Report for Review Dates April 30-May 2, 2019

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
Finding # 1	Kern County submitted the FY 2017-18 Annual Revenue and Expenditure Report (ARER) past the due date. (California Code of Regulations, Title 9, § 3510(b)).	Recommendation #1: The County shall submit the FY 2018-19 ARER no later than December 31, 2019 following the end of the fiscal year.	<p>The County shall submit the FY 2018-19 ARER no later than December 31, 2019 following the end of the fiscal year.</p> <ul style="list-style-type: none"> a) Fiscal Overview and Budget was presented for stakeholder feedback in Stakeholder Meeting 8/20/2019 (attached presentation). b) ARER will post for public comment from November 15th-December 15th. c) Finalized ARER with supporting narrative of stakeholder feedback will be completed by 12/20/19. d) Finalized ARER will be submitted to the state by 12/31/2019. <p>ARER submitted for the Behavioral Health Board (BHB) agenda in 1/2020 following submittal to the state.</p>	The submitted plan is accepted.
Finding # 2	Kern County lacked a narrative analysis of assessment of mental	Recommendation #2: The County must incorporate a narrative analysis of its	The County will incorporate a narrative analysis of an assessment of the County's mental health needs and	The submitted plan is accepted.

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	health needs of unserved, underserved/inappropriately served and fully served county residents who qualify for MHA services; and its capacity to implement the proposed programs/services in their approved FY 2017-20 Three-Year Program and Expenditure Plan (Plan). (Cal.Code Regs., tit. 9, § 3650(a)).	assessment of the County’s mental health needs and its capacity to implement proposed programs/services and address all components of Cal. Code Regs., tit 9, § 3650(a) in the approved FY 2020-23 Plan and thereafter.	its capacity to implement proposed programs/services and address all components of Cal. Code Regs., tit 9, § 3650(a) in the approved FY 2020-23 Plan and thereafter. <ul style="list-style-type: none"> a) Obtain data from Finance Department and Human Resources by 11/1/2019. b) Develop and write Workforce Needs Assessment and Capacity Assessment by 12/10/2019. c) Add narrative of Workforce Needs Assessment and Capacity Assessment to 3 Year Plan. d) Post 3 Year Plan for Public comment by 4/2020. e) Obtain BHB approval by 5/2020. f) Obtain BOS approval by 6/2020. g) 3 Year Plan sent to DHCS and OAC by 6/30/2020. 	
Finding # 3	Kern County did not identify Full Service Partnership (FSP) target numbers by age group (Child, Transitional Age Youth, Adult and Older Adult) in the approved FY 2017-20 Plan.	Recommendation #3: The County shall identify FSP target numbers by age group (Child, Transitional Age Youth, Adult, and Older Adult) in the approved FY 2020-23 Plan each subsequent Plan thereafter.	The County shall identify FSP target numbers by age group (Child, Transitional Age Youth, Adult, and Older Adult) in the approved FY 2020-23 Plan each subsequent Plan thereafter. <ul style="list-style-type: none"> a) Reporting template has been changed to incorporate FSP target number by age group. 	The submitted plan is accepted.

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	(Cal. Code Regs., tit. 9, § 3650(a)(3)).		<p>Annual Plan FY 18/19 was submitted to DHCS on 6/18/2019 reflecting an update with FSP target numbers by age group.</p> <p>b) FSP Policy and Procedure will be developed by 6/30/2020 including addressing target number of age groups to be including in all 3 Year and Annual update Reports.</p> <p>c) 3 Year plan will be written by 4/2020 incorporating target numbers by age group of all FSPs.</p> <p>d) Post 3 Year Plan for Public comment by 4/2020.</p> <p>e) Obtain BHB approval by 5/2020.</p> <p>f) Obtain BOS approval by 6/2020.</p> <p>3 Year Plan to be sent to DHCS and OAC by 6/30/2020.</p>	
Finding # 4	Kern County did not include a description of innovation projects, including start dates, duration, and stakeholder process in the approved FY 2017-20 Plan and FY 2018-19 Update. (Cal.	Recommendation #4: The County shall include a description of all innovation projects and address all components of Cal. Code Regs., tit 9, § 3930(a)(b)(c) in the approved FY 2020-23 Plan, FY 2019-20 Update and each	<p>The County shall include a description of all innovation projects and address all components of Cal. Code Regs., tit 9, § 3930(a)(b)(c) in the approved FY 2020-23 Plan, FY 2019-20 Update and each subsequent Plan and Update thereafter.</p> <p>a) Reporting template has been changed to include a description of all innovation</p>	The submitted plan is accepted.

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	Code Regs., tit 9, § 3930(a)(b)(c).	subsequent Plan and Update thereafter.	<p>projects and address all components of California Code of Regulations (CCR). Annual Plan FY 18/19 was submitted to DHCS on 6/18/2019 reflecting a description of all innovation projects and address all components of California Code of Regulations.</p> <p>b) 3 Year plan will be written by 4/2020 incorporating a description of all innovation projects and address all components of the CCR.</p> <p>c) Post 3 Year Plan for Public comment by 4/2020.</p> <p>d) Obtain BHB approval by 5/2020.</p> <p>e) Obtain BOS approval by 6/2020.</p> <p>3 Year Plan will be sent to DHCS and OAC by 6/30/2020.</p>	
Suggested Improvement # 1	Community Program Planning Process (CPPP) Policies and Procedures	Suggested Improvement #1: DHCS found that the County has a comprehensive and well developed CPPP process but lacks written policies and procedures. DHCS recommends the County put all CPPP procedures, including trainings, staff assignments, and	DHCS found that the County has a comprehensive and well developed CPPP process but lacks written policies and procedures. DHCS recommends the County put all CPPP procedures, including trainings, staff assignments, and stakeholder involvement, into formal written policies and procedures.	The submitted plan is accepted.

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		stakeholder involvement, into formal written policies and procedures.	a) MHPA Coordinator will write CPPP policy and procedure by 9/30/2019. CPPP Policy and Procedure will be posted to Kern Behavioral Health and Recovery Services (KernBHRS) by 11/30/2019.	
Suggested Improvement # 2	FY 2017-20 Plan Local Review Process	Suggested Improvement #2: The County did not include a description of the local review process within the approved FY 2017-20 Plan. DHCS recommends the Plan have a description of the local review process including the start and end date, when the draft plan was circulated and the methods of circulation.	The County did not include a description of the local review process within the approved FY 2017-20 Plan. DHCS recommends the Plan have a description of the local review process including the start and end date, when the draft plan was circulated and the methods of circulation. a) Reporting template has been changed to include a description of the local review process including the start and end date, when the draft plan was circulated and the methods of circulation. Annual Plan FY 18/19 was submitted to DHCS on 6/18/2019 reflecting a description of the local review process including the start and end date, when the draft plan was circulated and the methods of circulation. b) 3 Year plan will be written by 4/2020 incorporating a description of the local review process including the start and	The submitted plan is accepted.

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			<p>end date, when the draft plan was circulated and the methods of circulation.</p> <ul style="list-style-type: none"> c) Post 3 Year Plan for Public comment by 4/2020. d) Obtain BHB approval by 5/2020. e) Obtain BOS approval by 6/2020. f) 3 Year Plan will be sent to DHCS and OAC by 6/30/2020. 	