

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
Finding # 1	Humboldt County submitted the FY 2018-19 Annual Revenue and Expenditure Report (ARER) past December 31, following the end of the fiscal year. (California Code of Regulations, Title 9, § 3510(b)).	Recommendation # 1: The County must submit a complete and accurate FY 2019-20 ARER no later than January 31, following the end of the reporting fiscal year.	Humboldt County submitted its FY 2018-19 ARER on December 31, 2019 with signed Certification following on 1/06/20. References are attached as evidence. Humboldt County will continue to submit the ARER by January 31 following the end of the reporting fiscal year, as set forth in Regulation.	The submitted plan is accepted.
Finding #2	Humboldt County lacked a narrative analysis that assesses mental health needs of unserved, underserved/inappropriately served and fully served County residents who qualify for MHSA services; and an assessment of its capacity to implement the proposed programs/services in the approved FY 2017-20 Three-Year	Recommendation # 2: The County must assess the strengths and limitations of the County and its service providers for their ability to meet the needs of racially and ethnically diverse populations and address all components of Cal. Code Regs., tit 9, § 3650(a)(5) in the approved FY 2020-23 Plan and each subsequent Plan thereafter.	The County has prepared a narrative analysis that assesses mental health needs of unserved, underserved/inappropriately served and fully served County residents who qualify for MHSA services, and includes its capacity to implement the proposed programs/services. This assessment is included in the Three Year Plan for FY 2020-2023 beginning on page 14. The assessment will be included in subsequent Plans. The Three Year Plan for FY 2020-20223 is attached as evidence for this as well as subsequent findings.	The submitted plan is accepted.

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
	Program and Expenditure Plan (Plan). (Cal. Code Regs., tit. 9, § 3650(a)(5)).			
Finding #3	Humboldt County did not provide an estimate of the number of clients, in each age group, to be served in the Full Service Partnership Service (FSP) Category for each fiscal year in the approved FY 2017-20 Plan. (Cal. Code of Regs., tit. 9, § 3650(a)(3)).	Recommendation # 3: The County must provide an estimate of the number of FSP clients to serve in each age group: children (0-15), transitional age youth (16-25), adult (26-59) and older adult (60 and older) for each fiscal year in the approved FY 2020-23 Plan and each subsequent Plan thereafter.	The County has provided an estimate of the number of FSP clients to be served in each age group on page 20 of the Three Year Plan for 2020-2023. This estimate will be provided in each subsequent Plan.	The submitted plan is accepted.
Finding #4	The County's approved FY 2017-20 Plan and FY 2018-19 Annual Update did not clearly identify which Prevention and Early Intervention (PEI) programs fall under each PEI program	Recommendation # 4: The County must identify each program funded with PEI funds as a Prevention Program, an Early Intervention Program, Outreach for Increasing Recognition of Early Signs of Mental Illness Program, Stigma	The County's Three Year Plan for 2020-2023 identifies each PEI program according to its category by <u>underlining the appropriate category</u> for that program. Hope Center, page 26: Prevention and early intervention, stigma and discrimination reduction, and access and linkage to treatment.	The submitted plan is accepted.

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
	category. (Cal. Code Regs., tit. 9, § 3755).	and Discrimination Reduction Program, Suicide Prevention Program (if applicable), or Access and Linkage to Treatment Program, in the FY 2020-23 Plan and FY 2021-22 Update and each subsequent Plan and Update thereafter.	TAY Advocacy and Peer Support, pages 31 and 33: Prevention, early intervention, stigma and discrimination reduction, access and linkage to treatment, outreach for increasing the recognition of early signs of mental illness. Suicide Prevention, page 36: Suicide Prevention. Parent Partners, page 46: Early intervention, access and linkage to treatment. Local Implementation Agreements, page 48: Projects funded can fall into any PEI category. School Climate Curriculum Plan/MTSS, page 50: Prevention and early intervention impacting the early identification of early signs of emotional disturbance. North Valley Suicide Prevention Hotline, page 53: Suicide prevention. Future Plans and Updates will include the identification of categories for each PEI Program.	
Finding #5	The County's approved FY 2017-20 Plan and FY 2018-19 Update does not demonstrate that the	Recommendation # 5: The County's PEI Component must include an Outreach for Increasing Recognition of	As discussed under Finding #4, the County's Three Year Plan for 2020-2023 includes identification of PEI programs by category. The TAY Advocacy and Peer Support Program includes	The submitted plan is accepted.

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
	County has at least one of each of the required PEI programs, specifically; Outreach for Increasing Recognition of Early Signs of Mental Illness. (Cal. Code Regs., tit. 9, § 3705(a)).	Early Signs of Mental Illness program.	Outreach for Increasing the Recognition of Early Signs of Mental Illness.	
Finding #6	Humboldt County did not dedicate at least 51% of PEI funds to serve individuals 25 or younger in FY 2018-19. (Cal. Code Regs., tit. 9, § 3620(c)).	Recommendation # 6: The County must develop and implement accounting and cost allocation policies and procedures that will allow the County to allocate a majority of PEI funds to serve individuals who are 25 years or younger.	The deficiencies in cost reporting in this area are not due to accounting practices, but rather due to scarcity of data for distinctions to the particular population in question. The County received clarification from DHCS staff during the Program Review about the calculation of PEI funds to serve individuals who are 25 years and younger. This clarification is in the California Code of Regulations, section 3706(c), which states “Programs that serve parents, caregivers, or family members with the goal of addressing MHSA outcomes for children or youth at risk of or with early onset of a mental illness can be counted as meeting the requirements in (a) and (b) above.” With this clarification, and through data collection and reporting enhancements	The submitted plan is accepted.

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
			to the data that is made available to Fiscal, allocations can be reported with accuracy and accountability so the majority of PEI funds will be used to serve individuals who are 25 years or younger.	
Suggested Improvement #1	MHSA Transparency and Consistency	Suggested Improvement #1: The Department of Health Care Services (DHCS) recommends programs identified in the adopted Plan and Update (e.g. has distinct program descriptions) match program names and services consistently within the approved Plan, Update, budget and ARER. If the program or service did not occur, report the program or service on the ARER and indicate zero expenditures.	The MHSA Coordinator will work with Fiscal staff preparing the ARER to ensure that program names and services are consistent throughout the Plans, Updates and ARERs. If the program or service did not occur, this will be reported in the Plan or Update, and the ARER will indicate zero expenditures.	The submitted plan is accepted.
Suggested Improvement #2	MHSA Plans and Updates	Suggested Improvement #2: DHCS recommends the County include children census data in the County demographics	The Three Year Plan 2020-2023 has, on page 2, data from the U.S. Census (American Community Survey, estimates for 2018) that includes the percentage of Humboldt County residents who are	The submitted plan is accepted.

Humboldt Plan of Correction
Per the County Performance Contract Review Report for Review Date June 2, 2020

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step / Identify Timeline / and Evidence of Corrections / Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
		and a narrative of how children would be served in the FSP category in the FY 2020-23 Plan and FY 2021-22 Update and each subsequent Plan and Update thereafter.	<p>under the age of five and percentage under the age of 18. The Annual Update for FY 2021-22 and subsequent Annual Updates will continue to include this children census data.</p> <p>The Three Year Plan for 2020-2023 includes a description of how children will be served in the FSP category on page 20. A description will continue to be included in subsequent Updates and Plans.</p>	