

Modoc Plan of Correction
Per the County Performance Contract Review Report for Review Date: July 27, 2021

Finding # or Suggested Improvement #	Finding or Suggested Improvement	Recommendation # (State Corrective Action Step/Identify Timeline/and Evidence of Corrections/Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
Finding #1	Mdoc County did not submit the FY 2019-20 ARER by January 31 following the end of the fiscal year.	<p>Rec #1</p> <p>The County must submit the FY 2020-21 ARER by January 31st following the end of the fiscal year to the Department of Health Care Services (DHCS) and for each subsequent ARER thereafter.</p>	<p>MCBH will ensure submission of the FY 20/21 ARER and future ARERs by January 31 following the end of each fiscal year.</p> <p>a. One of the challenges in meeting this requirement is staff turnover, which impacts workloads and timely completion of activities. Modoc is exploring the feasibility of cross-training “backup” staff in fiscal reporting requirements to ensure continuity of fiscal tasks and workflow, and to improve the timeliness of reporting. A training plan will be drafted by December 31, 2021; cross-training will begin in CY 2022.</p> <p>b. Policy #193 (MHSA Funding Components and Reporting Requirements) has been updated to add procedures for ensuring on-time submissions, distribution, and posting. The updated policy is attached, pending QIC review; the final approved will be submitted to DHCS by November 30, 2021. Refer to evidence file “Finding #1b-Draft Policy 193 Update.”</p>	The submitted plan is accepted.

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Finding #2	Modoc County's FY 2019-20 ARER was not posted to the county's website.	<p>Rec #2</p> <p>The County must post a copy of the FY 2020-21 ARER on the County's website within 30 days of submitting to DHCS, and each subsequent ARER thereafter.</p>	<p>MCBH will ensure posting of the FY 19/20 ARER and future ARERs on the county website, within 30 days of submission.</p> <p>a. Modoc has posted the FY 19/20 ARER to the county website. Refer to evidence file "Finding #2a-Website Link to posted ARER."</p> <p>b. To ensure that future ARERs are posted, policy #193 (MHSA Funding Components and Reporting Requirements) has been updated to add procedures for ensuring on-time submissions, distribution, and posting. The updated policy is attached, pending QIC review; the final approved will be submitted to DHCS by November 30, 2021. Refer to evidence file "Finding #2b-Draft Policy 193 Update."</p>	The submitted plan is accepted.

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Finding #3	<p>Modoc County's approved FY 2019-20 Annual Update (Update) did not include corresponding expenditure plans. Specifically, the approved FY 2019-20 Update did not include a budget for each MHSA component for each fiscal year.</p>	<p>Rec #3</p> <p>The County must include a corresponding expenditure plan or budget worksheet for each MHSA component that corresponds to the same fiscal year as the Update in the approved FY 2020-23 Plan and FY 2021-22 Update and each subsequent Plan and Update thereafter.</p>	<p>Due to a clerical error, MCBH submitted the FY 19/20 Annual Update without the corresponding planning budgets for each MHSA component.</p> <p>Since that submission, the county has ensured that a corresponding expenditure plan or budget worksheet has been included for each MHSA component in the approved FY 2020-23 MHS 3-Year Plan and the FY 2021-22 Annual Update.</p> <p>The county is aware that a corresponding expenditure plan or budget worksheet must be included for each MHS component in each subsequent Plan and Update.</p> <p>a. The complete FY 19/20 Annual Update is included in evidence file "Finding #3a-FY 19/20 Annual Update."</p> <p>b. The complete FY 2020-23 MHS 3-Year Plan is included in evidence file "Finding #3b-FY 2020-23 MHS 3-Year Plan."</p> <p>c. The complete FY 21/22 Annual Update is included in evidence file "Finding #3c-FY 21/22 Annual Update."</p>	<p>The submitted plan is accepted.</p>

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Finding #4	Modoc County did not include performance outcomes for Community Service and Support (CSS), Prevention and Early Intervention (PEI), and Innovation (INN) programs/services in the approved FY 2019-20 Update.	<p>Rec #4</p> <p>The County must include reports on the achievement of performance outcomes for CSS, PEI, and INN programs/services in the approved FY 2020-23 Plan, FY 2021-22 Update and each subsequent Plan and Update thereafter.</p>	<p>In its recent MESA Plans and Updates, MCBH was following previous regulation and state guidance around including performance outcomes only for PEI-Early Intervention programs and INN projects.</p> <p>In future Plans and Updates, MCBH will include reports on the achievement of performance outcomes for CSS, PEI, and INN programs/services, per the County Performance Contract and current regulation.</p> <p>a. To ensure that future Plans and Updates include the required outcome data, policy #193 (MESA Funding Components and Reporting Requirements) has been updated to include this information. The updated policy is attached, pending QIC review; the final approved will be submitted to DHCS by November 30, 2021. Refer to evidence file “Finding #4a-Draft Policy 193 Update.”</p>	The submitted plan is accepted.

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Finding #5	Modoc County did not report the cost per person for PEI and INN services/programs in the approved FY 2019-20 Update.	<p>Rec #5</p> <p>The County must report the cost per person for CSS, PEI, and INN programs in the approved FY 2020-23 Plan, FY 2021-22 Update and each subsequent Plan and Update thereafter.</p>	<p>Per previous guidance from the MHSOAC, the cost per person for PEI and INN were no longer included in recent Modoc MHSA Plans or Updates.</p> <p>Per current regulation, MCBH will ensure that the cost per person is included for PEI programs and INN projects in subsequent MHSA Plans and Updates hereafter.</p> <p>a. To ensure that future Plans and Updates include the required calculations, policy #193 (MHSA Funding Components and Reporting Requirements) has been updated to include this information. The updated policy is attached, pending QIC review; the final approved will be submitted to DHCS by November 30, 2021. Refer to evidence file “Finding #5a-Draft Policy 193 Update.”</p>	The submitted plan is accepted.

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Finding #6	Modoc County did not include a description of County demographics, including, but not limited to: size of the County, threshold languages, unique characteristics, age, gender, race/ethnicity in the approved FY 2019-20 Update.	<p>Rec #6</p> <p>The County must include a description of County demographics, including, but not limited to: size of the County, threshold languages, unique characteristics, age, gender, race/ethnicity in the approved FY 2020-23 Plan, FY 2021-22 Update and each subsequent Plan and Update thereafter.</p>	<p>Per previous guidance from the MHSOAC, MCBH only included a description of county demographics in each 3-Year Plan, not in Annual Updates. As a result, past Annual Updates, including the approved FY 19/20 Annual Update, did not include this information. However, MCBH recognized the error and included this information in the approved FY 21/22 Annual Update.</p> <p>MCBH will continue ensure that a description of county demographics, including, but not limited to: size of the county, threshold languages, unique characteristics, age, gender, race/ethnicity, are included in each subsequent Plan and Update hereafter.</p> <p>a. To ensure that future Plans and Updates include county demographic information, policy #174 (MHSA Planning and Stakeholder Training) has been updated to add these requirements. The updated policy is attached, pending QIC review; the final approved will be submitted to DHCS by November 30, 2021. Refer to evidence file “Finding #6a-Draft Policy 174 Update.”</p>	The submitted plan is accepted.