

Lassen County Plan of Correction
Per the County Performance Contract Review Report for Review Dates 10-12-2021

Finding #, Suggested Improvement # or Technical Assistance #	Finding, Suggested Improvement or Technical Assistance	Recommendation # (State Corrective Action Step/Identify Timeline/and Evidence of Corrections/Mechanisms for Monitoring Effectiveness)		Score – Comments/Notes
<p>Finding #1: Lassen County’s complete and accurate Fiscal Year (FY) 2019-20 Annual Revenue and Expenditure Report (ARER), or any previous fiscal year ARER’s, was not posted to the county’s website. (California Code of Regulations, title 9, section 3510.010(b)(1); Welfare and Institutions Code (W&I) section 5899).</p>	<p>The County must post a copy of the complete and accurate FY 2020-21 ARER to the county’s website within 30 days of submitting to the Department of Health Care Services (DHCS) and for each subsequent ARER thereafter.</p>	<p>Recommendation #1:</p>	<p>11-08-2021: Lassen County Behavioral Health (LCBH) is facilitating Stakeholder meetings for FY 20-21 the week of November 15-19. LCBH will post a copy of the 2020-2021 ARER to the County website 30 days of submitting to DHCS and for each subsequent ARER thereafter. This will be completed in the upcoming Stakeholder process.</p> <p>Recommendation #1 will be completed once information is listed on the website by January 2022.</p>	<p>The submitted plan is accepted.</p>

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<p>Finding #2: Lassen County did not include a description of County demographics, including, but not limited to: size of the County, unique characteristics, age, and gender in the adopted FY 2019-20 Annual Update (Update). However, the County did include County demographics for threshold languages and race/ethnicity in the FY 2019-20 Update. (Cal. Code of Regs., tit. 9, § 3300; Mental Health Services Oversight and Accountability Commission (MHSOAC) FY 2015-2016 MHSOAC Annual Update Instructions (pg 5)).</p>	<p>The County must include a description of County demographics, including, but not limited to: size of the County, threshold languages, unique characteristics, age, gender, and race/ethnicity in the adopted FY 2020-23 Three-Year Program and Expenditure Plan (Plan), FY 2020-21 Update and each subsequent Plan and Update thereafter.</p>	<p>Recommendation #2:</p>	<p>11-08-2021: LCBH will update the FY 2020-2023 Three-Year Program and Expenditure Plan, to include a description of County demographics, including, but not limited to: size of the County, threshold languages, unique characteristics, age, gender, and race/ethnicity and include this information in the FY 2020-21 Update and each subsequent Plan and Update thereafter.</p> <p>Recommendation #2: This will be completed in the upcoming Stakeholder process (December 2021) included in the FY 2020-2023 three-year plan and FY 2020-2021 plan. Completed January 2022.</p>	<p>The submitted plan is accepted.</p>

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<p>Finding #3: Lassen County lacked documentation of achievement of performance outcomes for Community Service and Support (CSS), Prevention and Early Intervention (PEI), and Innovation (INN) programs/services in the adopted FY 2019-20 Update. (County Performance Contract (6.)(A.)(5)(d.); W&I Code section 5848(c)).</p>	<p>The County must ensure program goals are established and data is collected and analyzed to report on its achievement of performance outcomes for CSS, PEI, and INN programs/services provided in the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.</p>	<p>Recommendation #3:</p>	<p>11-08-2021: LCBH will update the FY 2020-2023 Three-Year Program and Expenditure Plan, to ensure program goals are collected and analyzed to report on its achievements of performance outcomes of CSS and PEI. This information will also be included in the FY 2020-21 Update and each subsequent Plan and Update thereafter. This will be completed in the upcoming Stakeholder process.</p> <p>Recommendation #3: This will be completed in the upcoming Stakeholder process (December 2021) included in the FY 2020-2023 three-year plan and FY 2020-2021 plan. Completed January 2022.</p>	<p>The submitted plan is accepted.</p>
<p>Finding #4: Lassen County's adopted FY 2019-20 Update did not include a description of how stakeholder involvement demonstrates a partnership with constituents and</p>	<p>The County must include a description of how stakeholder involvement demonstrates a partnership with constituents and stakeholders throughout the process that includes</p>	<p>Recommendation #4:</p>	<p>11-08-2021: LCBH will update the FY 2020-2023 Three-Year Program and Expenditure Plan, to demonstrate stakeholder process that includes meaningful stakeholder ensure:</p> <ul style="list-style-type: none"> * Mental Health policy * Program planning * Implementation monitoring * Quality improvement * Evaluation 	<p>The submitted plan is accepted.</p>

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stakeholders throughout the process that includes meaningful stakeholder involvement on: mental health policy, program planning and implementation, monitoring, quality improvement, evaluation, and budget allocations. (W&I Code section 5848).	meaningful stakeholder involvement on mental health policy, program planning and implementation, monitoring, quality improvement, evaluation, and budget allocations in the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.		<p>* Budget allocation</p> <p>This information will also be included in the FY 2020-21 Update and each subsequent Plan and Update thereafter. This will be completed in the upcoming Stakeholder process.</p> <p>Recommendation #4: This will be completed in the upcoming Stakeholder process (December 2021) included in the updated FY 2020-2023 three-year plan and FY 2020-2021 plan. Completed January 2022.</p>	
Finding #5: Lassen County did not submit the adopted FY 2019-20 Update to DHCS within 30 days after adoption by the County Board of Supervisors, which occurred on December 1, 2020. (W&I Code section 5847(a)).	The County must submit the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter to DHCS within 30 days of adoption by the Board of Supervisors.	Recommendation #5:	<p>11-08-2021: LCBH will submit the adopted FY 20-21 Update to DHCS within 30 days after adoption by the County Board of Supervisor.</p> <p>Recommendation #5: This will be completed in the upcoming Stakeholder process (December 2021) FY 2020-2021 plan. Completed January 2022.</p>	The submitted plan is accepted.
Finding #6: Lassen County's adopted FY	The County must include a	Recommendation #6:	11-08-2021: LCBH will include the corresponding expenditure plan or	The submitted plan is accepted.

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<p>2019-20 Update did not include corresponding expenditure plans/budget pages, including the total budgeted for each funding category. Specifically, the adopted FY 2019-20 Update did not include a budget for each MHSAs component for each fiscal year. (W&I Code section 5847(e)).</p>	<p>corresponding expenditure plan or budget worksheet for each MHSAs component that corresponds to the same fiscal year as the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.</p>		<p>budget worksheet for each MHSAs component that corresponds to the same fiscal year as the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.</p> <p>Recommendation #6: This will be completed in the upcoming Stakeholder process (December 2021) included in the FY 2020-2023 three-year plan and FY 2020-2021 plan. Completed January 2022.</p>	
<p>Finding #7: Lassen County's MHSAs components of CSS and PEI services/program implementation is inconsistent between the adopted FY 2019-20 Update and the FY 2019-20 ARER. (W&I Code section 5892(g)).</p>	<p>The County must ensure that the program names listed in the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter, are consistent with the names in the adopted ARER. The budget in</p>	<p>Recommendation #7:</p>	<p>11-08-2021: LCBH will ensure that the program names listed in the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter, are consistent with the names in the adopted ARER.</p> <p>If the program or service did not occur, report the program or service on the adopted ARER and indicate zero expenditures. Any discrepancies or</p>	<p>The submitted plan is accepted.</p>

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<p>Specifically, the following programs and components were inconsistent:</p> <ul style="list-style-type: none"> <input type="checkbox"/> For the CSS component, the County listed After Hour Wellness Center in the adopted FY 2019-20 Update but was not listed on the FY 2019-20 ARER. The County also listed CSS General System Development on the FY 2019-20 ARER, but was not listed on the FY 2019-20 Update. <input type="checkbox"/> For the PEI component, the County listed Crisis Intervention Behavioral Health Training on the FY 2019-20 Update, but was not listed on the FY 2019-20 ARER. 	<p>the adopted Plan and Update should be consistent with the adopted ARER. If the program or service did not occur, report the program or service on the adopted ARER and indicate zero expenditures. Any discrepancies or name changes must be explained in the adopted Plan and Update.</p>		<p>name changes must be explained in the adopted Plan and Update.</p> <p>Recommendation #7: The budget in the adopted Plan and Update should be consistent with the adopted ARER. This will be completed in the upcoming Stakeholder process (December 2021) FY 2020-2021 plan. Completed January 2022.</p>	

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The County also listed Crisis Intervention on the FY 2019-20 ARER, but was not listed on the FY 2019-20 Update.				
<p>Finding #8: Lassen County did not have at least one each of these programs listed in the adopted FY 2019-20 Plan: Outreach for Increasing Recognition of Early Signs of Mental Illness Program, a Prevention program, and an Access and Linkage to Treatment Program. (Cal. Code Regs., tit. 9, §§ 3705; W&I Code section 5840).</p>	<p>8a: The County must have at least one of each of these programs: Early Intervention Program, Outreach for Increasing Recognition of Early Signs of Mental Illness Program, Prevention Program, Stigma and Discrimination Reduction Program, and Access and Linkage to Treatment Program listed in both the adopted FY 2020-23 Plan, FY 2020-21 Update and each</p>	<p>Recommendation #8a, #8b, #8c, #8d:</p>	<p>11-08-2021: LCBH will have each of these programs listed in the adopted FY 2020-21 Plan:</p> <p>Early Intervention Program, Outreach for Increasing Recognition of Early Signs of Mental Illness Program, Prevention Program, Stigma and Discrimination Reduction Program, and Access and Linkage to Treatment Program listed in both the updated FY 2020-23 Plan, and FY 2020-21.</p> <p>LCBH which is located in a small county (with population under 100,000) will opt out of the requirement to have at least one Prevention Program. LCBH will obtain a declaration from the Board of Supervisors that the county cannot meet this requirement. LCBH will</p>	<p>The submitted plan is accepted.</p>

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	<p>subsequent Plan and Update thereafter.</p> <p>8b: A Small County (with population under 100,000) may opt out of the requirement to have at least one Prevention Program if the small county obtains a declaration from the Board of Supervisors that the county cannot meet this requirement. A small county that opts out of the requirement must: include in their adopted Plan and Update, documentation describing the rationale for the county's decision and how the county ensured meaningful stakeholder involvement in the</p>		<p>include in their adopted Plan and Update, documentation describing the rationale for the county's decision and how the county ensured meaningful stakeholder involvement.</p> <p>LCBH which is located in a small county (with population under 100,000) will also combine and/or integrate Early Intervention Program(s), Outreach for Increasing Recognition of Early Signs of Mental Illness Program(s), Prevention Program(s), Access and Linkage to Treatment Program(s), and Stigma and Discrimination Reduction Program.</p> <p>LCBH which is a small county (with population under 100,000) will opt out of the use of at least 51% of PEI funds for population 25 years and under if the County has a population of under 100,000. LCBH will documentation in both the approved updated FY 2020-23 Plan and FY 2020-21 Update that describes the rationale for the County's decision to opt out as well as ensuring meaningful stakeholder involvement in the decision to opt out.</p>	

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	<p>decision to opt out. Requirements pursuant to Cal. Code Regs., tit. 9, § 3705 must be met.</p> <p>8c: A Small County (with population under 100,000) may combine and/or integrate Early Intervention Program(s), Outreach for Increasing Recognition of Early Signs of Mental Illness Program(s), Prevention Program(s), Access and Linkage to Treatment Program(s), and Stigma and Discrimination Reduction Program(s) per Cal. Code of Regs., tit. 9, § 3705(c). If the County does combine and or</p>		<p>Recommendation #8 (a-d): This will be completed in the upcoming Stakeholder process (December 2021) included in the FY 2020-2023 three-year plan and FY 2020-2021 plan. Completed January 2022.</p>	

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	<p>integrate PEI programs, the adopted Plan and Update requirements pursuant to Cal. Code of Regs., tit. 9. § 3755(o) must be met. Recommendation</p> <p>8d: A Small County (with population under 100,000) is allowed to opt out of the use of at least 51% of PEI funds for population 25 years and under if the County has a population of under 100,000. However, there must be documentation in both the approved FY 2020-23 Plan and FY 2020-21 Update that describes the rationale for the County's decision to opt out as well as</p>			

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	ensuring meaningful stakeholder involvement in the decision to opt out. Requirements pursuant to Cal. Code Regs., tit. 9, § 3706(d) must be met.			
<p>Finding #9: Lassen County did not specify the methods and activities to be used in each of their Stigma and Discrimination Reduction Programs; to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services, including timeframes for measurement and</p>	<p>The County must include a description specifying the methods and activities to be used in each of their Stigma and Discrimination Reduction Programs; to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services, including timeframes for</p>	<p>Recommendation #9:</p>	<p>11-08-2021: LCBH will include a description specifying the methods and activities to be used in each of their Stigma and Discrimination Reduction Programs; to change attitudes, knowledge, and/or behavior regarding being diagnosed with mental illness, having mental illness and/or seeking mental health services, including timeframes for measurement and collected data for each PEI Stigma and Discrimination Reduction Program.</p> <p>Recommendation #5: This will be completed in the upcoming Stakeholder process (December 2021) included in the updated FY 2020-2023 three-year</p>	<p>The submitted plan is accepted.</p>

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collected data in the adopted FY 2019-20 Update. (Cal. Code Regs., tit. 9, §§ 3750(d), 3755(f)(3)).	measurement and collected data for each PEI Stigma and Discrimination Reduction Program in the adopted FY 2020-23 Plan, FY 2020-21 Update and each subsequent Plan and Update thereafter.		plan and FY 2020-2021 plan. Completed January 2022.	